Single Window as a catalyst for regional cooperation and reducing NTMs

by

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The views expressed are those of the author and do not necessarily reflect the views of UNCTAD
NTM week
NTM – regional cooperation and deeper integration

Single Window as a catalyst for regional cooperation and reducing NTMs

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### Objectives
- Simple, transparent and effective processes for global business;
- Efficient and automated exchange of information

### Key Tools
- Global trade facilitation recommendations
  - eBusiness standards
  - Guidelines
  - Libraries and Directories

### Means
- Public-Private Partnership – over 1000 experts; Meet virtually practically every weeks

### Semantic Hub for Standardization

<table>
<thead>
<tr>
<th>Buy</th>
<th>Ship</th>
<th>Pay</th>
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</thead>
<tbody>
<tr>
<td>Commercial Procedures</td>
<td>Transport Procedures</td>
<td>Regulatory Procedures</td>
</tr>
<tr>
<td>• Establish sales contract</td>
<td>• Establish transport contract</td>
<td>• Obtain im/export licenses etc.</td>
</tr>
<tr>
<td>• Order goods</td>
<td>• Collect, transport and deliver goods</td>
<td>• Provide Customs Declarations</td>
</tr>
<tr>
<td>• Advise on delivery</td>
<td>• Provide waybills, goods receipts, etc.</td>
<td>• Provide cargo Declarations</td>
</tr>
<tr>
<td>• Request payment</td>
<td></td>
<td>• Apply security measures</td>
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### Financial Procedures
- • Provide credit rating
- • Insurance
- • Execute payment
- • Issue statements
Interoperability and integration: example of UNECE contribution with electronic Agriculture standards

• Clear semantic processes and standards for
  • Traceability of agriculture products, fishery products, textile and leather…
  • Agricultural certificates such as eQuality, eSPS
  • General certificates such as eCertificate of Origin

• Supporting countries all along the Belt and Road Initiative (Eastern and Western Europe, Central Asia, Far East Asia and beyond) to align these procedures and use clear international standards
UN/CEFACT – Single Window

- Rec33 – Single Window Recommendation (new release for 2020)
- Rec34 – Data Simplification and Standardization for International Trade
- Rec35 – Establishing a legal framework for international trade Single Window
- Rec36 – Single Window Interoperability
- Rec37 – Single Submission Portal
- Technical Note on terminology for Single Window and other electronic platforms

Work in progress:
- Recommendation Project on Core Principles of Single Window Operations
Single Window
EAEU

- **Armenia**: The SW is based on UN/CEFACT Recommendations 34 (on Data Simplification and Standardization for Single Windows) and 36 (on Cross-Border Interoperability of Single Windows). The platform is being developed using home-grown ICT system, features a risk assessment function, and automatically assigns cargo to clearance/control channels (green for clearance without examination; yellow for documentary examination required; red for documentary and physical examination). (forthcoming study)

- **Belarus**: Preparations are underway to establish a SW following UN/CEFACT Recommendations; 33 and 36 (on Cross-Border Interoperability of Single Windows)

- **Kyrgyzstan**: the Custom Unified Automated Information System (UAIS) and the Single Window Information System (SWIS) functioned as separate systems. In 2016, the SWIS was used for issuing electronic trade documents (i.e., permits) other than the Customs deceleration. The latter was requested, processed and generated through the customs system. Efforts are underway to consolidate the SW to ensure compliance with UN/CEFACT recommendations 33 & 36

- **Kazakhstan**: SW is based on UN/CEFACT Recommendation 33. An automated system for customs administration, ASTANA-1 has been established and piloted. Since 2017, efforts are focused on rolling out the system
Single Window
EAEU

• EAEU Decision No. 63 provides a formal process and methodology that for guiding the establishment of a WS in member countries. The Denison provides a complete and standardized approach to the analysis, harmonization and optimization of trade procedures across the EAEU using international standards and best practices, including those of UN/CEFACT.

• Decision No. 39 (10/05/2016) of the Board of the Eurasian Economic Commission "On the technological documents regulating information interaction in the implementation of the overall process by means of an integrated information system of foreign and mutual trade” sets out rules and responsibilities for exchange of information between EAEU Member States and the EAEU Commission.

• Decision No. 123 (28.09.2015) of the Board of the Eurasian Economic Commission “On the Methods for Assessing the State of Development of the National "Single Window" Mechanisms” sets out the approved methods by which Member states should develop their national single window strategies. This includes the documentation of use case diagrams and business process maps.
Recommendation 33 defines a Single Window as:

- a facility providing trade facilitation that allows parties involved in trade and transport to lodge standardized information and documents with a single entry point to fulfill all import, export, and transit-related regulatory requirements.

- Individual data elements should only be submitted once electronically.

**Single Window: Data Simplification and Standardization**

- **UNECE Recommendation 34** proposes a methodology for streamlining and harmonizing the processes and resulting data requirements between agencies.
- This reduces redundancies, and helps to develop a single process for all agencies involved.
Importance of involving all relevant stakeholders: example from Canadian experience
Interoperability and regional integration

• UNECE Recommendation 36 underlines the potential benefits of Single Window interoperability

  • Regional integration

  • Trade facilitation

  • Aligning standard requirements

  • …
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- [www.unece.org/](http://www.unece.org/)
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- [www.unece.org/cefact/](http://www.unece.org/cefact/)
- [tfig.unece.org](http://tfig.unece.org)
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**UNECE Studies on Regulatory and Procedural Barriers to Trade**

- [http://www.unece.org/trade/meetings.html#/0/0/0/39818](http://www.unece.org/trade/meetings.html#/0/0/0/39818)
- Hana DAOUDI, [hana.daoudi@un.org](mailto:hana.daoudi@un.org)
Upcoming events of UN/CEFACT

• **UN/CEFACT 34th Forum**
  • 28 October – 1st November, London, UK

Conference on Single Window Implementations, Tuesday 29 October

Conference on Application Programing Interfaces (APIs), Wednesday 30 October
Thank you