

UNITED NATIONS CONFERENCE ON TRADE AND DEVELOPMENT

**UNCTAD**



# Second Stakeholders Steering Committee Meeting for BioTrade

7 May 2019

Room XV, Palais des Nations  
Geneva



UNITED NATIONS  
UNCTAD



Schweizerische Eidgenossenschaft  
Confédération suisse  
Confederazione Svizzera  
Confederaziun svizra

Swiss Confederation

Federal Department of Economic Affairs,  
Education and Research EAER  
State Secretariat for Economic Affairs SECO

 @BioTradeGroup

# Welcome remarks

---



Schweizerische Eidgenossenschaft  
Confédération suisse  
Confederazione Svizzera  
Confederaziun svizra

Swiss Confederation

Federal Department of Economic Affairs,  
Education and Research EAER  
**State Secretariat for Economic Affairs SECO**

## Christian Robin



UNITED NATIONS  
UNCTAD

## Lucas Assunção



# Agenda

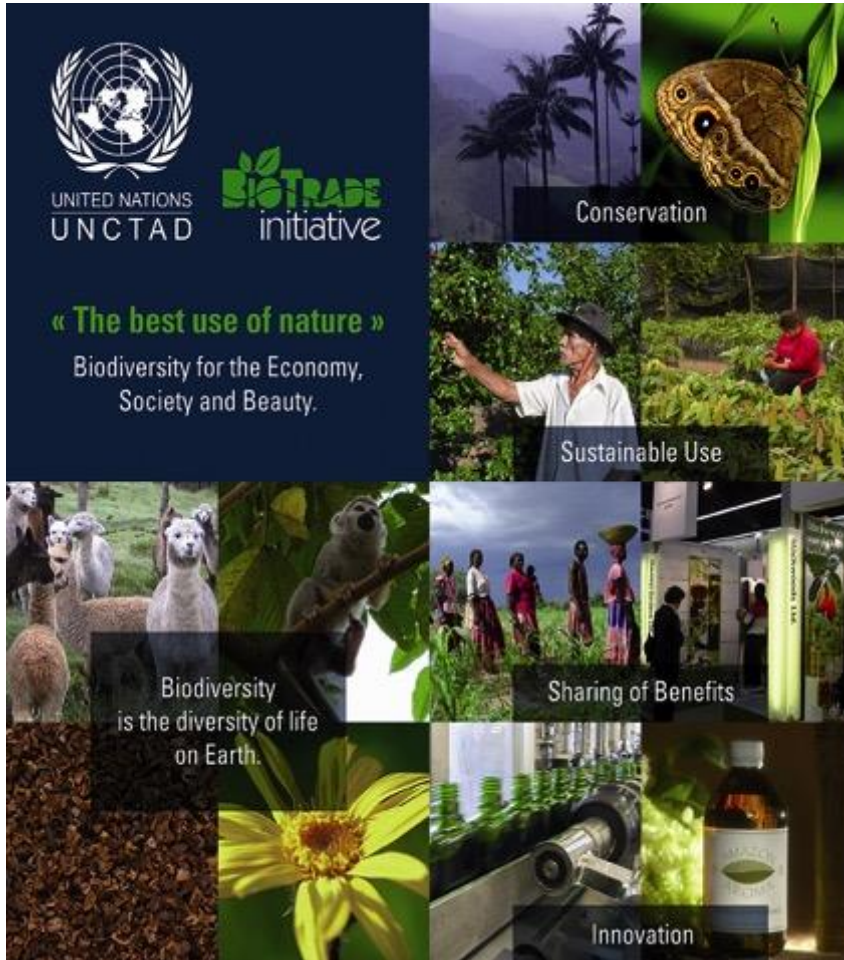
9:00 - 9:15	<b>Welcome remarks</b> - SECO, UNCTAD
9:15 – 11:15	<b>Session I: Highlights of past activities and plan for upcoming months</b> (Moderator-UNCTAD) Introduction by UNCTAD on the Programme – Overview of recent and future activities Interventions by partners of past activities and plan for upcoming months (3min. each)
11:15-11:30	Coffee break
11:30-13:00	<b>Session II: BioTrade Principles and Criteria (P&amp;C) in the post-Aichi and SDG Context</b> (Moderator-UNCTAD) Presentations (10 min. each): <ul style="list-style-type: none"><li>Findings from UNCTAD consultations: UNCTAD BioTrade</li><li>Findings from the UEBT standard consultation process: Union for Ethical BioTrade (UEBT)</li><li>UNCTAD/ITC self-assessment tool for BioTrade P&amp;C: International Trade Centre (ITC)</li></ul> Open discussion (60min.)
13:00-14:30	<b>Working Lunch – Synthetic Biology: Implications for BioTrade (at the Delegates Restaurant)</b> Presentation (15-20 min.): <ul style="list-style-type: none"><li>Synthetic Biology, BioTrade and ABS: UNCTAD BioTrade Initiative</li></ul> Open discussion (60min.)
14:30-15:30	<b>Session III: Financing Mechanism for BioTrade</b> Presentations (10-15 min.): <ul style="list-style-type: none"><li>CAF Green rating tool for companies: Development Bank of Latin America (CAF)</li><li>Biodiversity Pioneer Fund: WWF Switzerland / Triple Jump</li></ul> Open discussion (30min.)
15:30-17:00	<b>Session IV: BioTrade under the post-2020 Biodiversity Framework</b> Presentations (10-15 min. each) & Discussants (5min. each): <ul style="list-style-type: none"><li>State of play and next steps in the definition of the post 2020 framework: CBD Secretariat (via Skype)</li><li>BioTrade contribution in the post-2020 framework: UNCTAD BioTrade</li></ul>
17:00-17:30	<b>Next Steps and Emerging Issues</b>
17:30 -17:40	<b>Closing</b> - SECO, UNCTAD

# Session I

---

**Highlights of past activities and plan for upcoming months**





## Second Stakeholder Steering Committee Meeting, 7 May 2019

### Session 1: Highlights of past activities and plan for upcoming months

*BioTrade team*

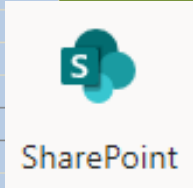
*Global BioTrade Programme - Linking Trade, Biodiversity and Sustainable Development*

# Roadmap

## One monitoring tool under the programme

Lead partner:		UNCTAD	ITC	UNCTAD/ICTD	UNEP	CAAP	UNCTAD/CAAP	SIPO	FLETC	SCA Vietnam	Hydrolyse Africa	ABS Initiative	UNCTAD/ABS Initiative	MAQS Colombia	MINAM (Peru)	RELVEIAS	UNCTAD/RELVEIAS	CITES	UNCTAD/CITES	Corp. Economic Somalia - CES	All partners	
		UNCTAD, UNEP, UNEP, ABS, CAAP	Other organizations																			
		2018				2019				2020				2021				2022				
Strategic Objective	Output	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	
1. Increased coordination and knowledge sharing among stakeholders (SDG 15, SDG 17, Aichi 1)	1.1 SSC meetings	Map trade and biodiversity stakeholders in Latin America and Mekong subregions				Discussions, consultations with partners and regular updating of the Roadmap																
	Indicators:	Discussions, consultations with partners and regular updating of the Roadmap																				
	- <i>Priority issues in relation to SDG 15 and Aichi Target 9 are identified and prioritized by partners</i> - <i>Roadmap is agreed by partners at the initial SSC meeting</i>	Consult partners and organize SSC meeting	Consultations & coordination with partners - 2 SSC meeting	Organize 2 SSC meeting	Consultations and coordination with partners for the SSC meeting	Organize 3 SSC meeting	Consultations and coordination with partners for the SSC meeting	Organize 3 SSC meeting	Consultations and coordination with partners for the SSC meeting	Organize SSC meeting	Consultations and coordination with partners for the SSC meeting	Organize SSC meeting	Consultations and coordination with partners for the SSC meeting	Organize SSC meeting	Consultations and coordination with partners for the SSC meeting	Organize SSC meeting	Consultations and coordination with partners for the SSC meeting	Organize SSC meeting	Consultations and coordination with partners for the SSC meeting	Organize SSC meeting	Consultations and coordination with partners for the SSC meeting	Organize SSC meeting
	1.2 Knowledge and sharing mechanism operational	Define and test the mechanism																				
Indicators:	Define and test the mechanism																					
- <i>10 partners use the mechanism</i> - <i>Contribute to indicator 1.5 - 1,000 social media followers (UNCTAD BioTrade)</i>																						
1.3 Knowledge and sharing events	Organize BioTrade																					
Indicators:	Organize BioTrade																					
- <i>Priority issues addressed and concrete recommendations made at the Congress and events</i> - <i>100 social media followers (UNCTAD BioTrade)</i>	Specialty Coffee Association (SCA) at World of Coffee	Complete information																				
Target: - 10 partners are working together and sharing information. - 2 financial institutions supporting activities not covered in this programme																						
		Outreach and communication through social media (Twitter, LinkedIn and Facebook)																				
		Ongoing efforts for disseminating BioTrade cases, lessons learned and best practices																				
		Compile, share, disseminate and mainstream BioTrade case studies, lessons learned, best practices and success cases generated																				
1.4 Lessons learned, best practices and success cases compiled																						
Indicators:																						
- <i>Short practices/success cases in addressing priority issues are identified</i>																						
		BioTrade units at ITC International Trade																				
		BioTrade & MAQS at UNCTAD RTM Week																				
		BioTrade experiences at the Colombia workshop with Zambia																				
		Coordinate and prepare UNCTAD and partners submissions/note on the CBD draft documents and consultations under the Post-2020 Biodiversity framework process																				
		UNCTAD Budget visit																				
		Prepare a note on BioTrade's contribution to SDGs, Aichi and Post-2020 process																				
		Prepare / publish two compilation studies on BioTrade lessons learned, best practices and success cases (one for policy maker and one for private sector)																				
		Identify and collaborate with other organizations/institutions and share and disseminate BioTrade cases, lessons learned, best practices and other information																				

- One online tool to know WHO and WHAT
- Enables transparent and up to date information on partner's activities
  - Enhances knowledge sharing
  - Further identification of synergies based on interest of partners





# Knowledge and coordination (Comp 1)

## Future cooperation

### Coordination & monitoring mechanisms developed

- SSC meetings, Roadmap, events/webinars, Skype & emails
- Knowledge sharing mechanism: ITC and UNCTAD

15 BioTrade partners currently working together

### Mainstreaming of BioTrade in international events



Synthetic Biology: implications for BioTrade and ABS (prioritized issue)

### Case studies and sharing experiences



#### *CITES and livelihoods*

Un encuentro para el uso sostenible de zamias en horticultura y negocios verdes para la conservación

# Knowledge and coordination (Comp 1)

## Future cooperation

### Post 2020 Biodiversity process

*Coordinated actions: joint submissions, messages and advocacy, CBD meetings & BT Congresses*

*SSC meetings, Roadmap, events/webinars, Skype & emails*

- Knowledge sharing mechanism: ITC and UNCTAD

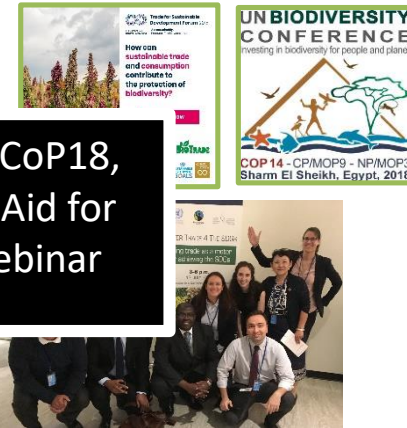
### Knowledge sharing mechanism operational



and ABS (prioritized issue)

15 BioTrade partners currently working together

### Key events: CITES CoP18, UNCTAD XV\*, WTO Aid for Trade, BioTrade Webinar



### On-going efforts to continue mainstreaming BioTrade

### Conclude the SynBio study




*CITES and livelihoods*

Un encuentro para el uso sostenible de zamias en horticultura y negocios verdes para la conservación



# Policy environment for BioTrade (Comp 2)

2018 Highlights & Future cooperation




GEF projects in LAC (CAF)  
2 UN Ocean Conference

ABS and BT policy frameworks

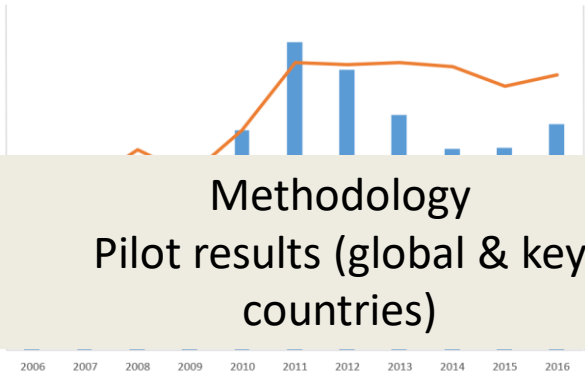
**Blue BioTrade:**  
Harnessing Marine Trade to Support  
Ecological Sustainability and Economic E

BioTrade-related  
information and  
statistics

Blue BioTrade



Model clauses for ABS/BT  
contracts (*commercial purposes*)



Methodology  
Pilot results (global & key  
countries)

# Facilitate market linkages for companies (Comp 3)

## 2018 Highlights & Future cooperation



**Ethical sourcing**  
Exchange of views and experiences

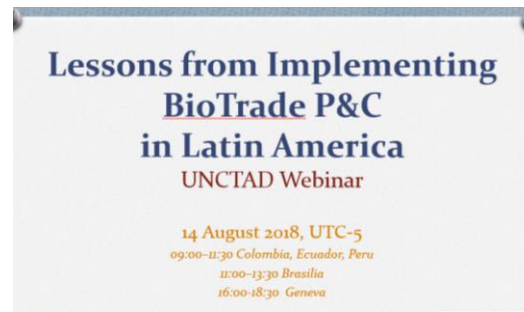
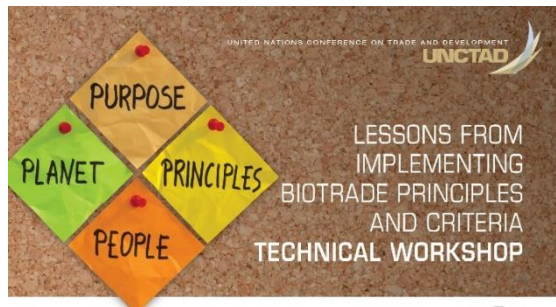


Photo credits UEBT

Weleda, Impacts of integrating Ethical BioTrade practices in company operations



Facilitated market linkages between buyers and BioTrade partners: Mekong & France



- Compilation of LAC's P&C feedback
- P&C comparative analysis
  - UEBT
  - Colombia
  - Ecuador
  - Peru

18 M Room Palais  
Dissemination of business information & facilitate market linkages

# Facilitate market linkages for companies (Comp 3)

## 2018 Highlights & Future cooperation



- Ethical Sourcing Exchange 2019
- 2019 Biodiversity Barometer for Viet Nam
- One practical case study on implementing biodiversity measures in supply chains

**Eth**  
Exchange of views and experiences

Ethical  
erations  
NS

### Facilitated market linkages between buyers and BioTrade partners: Mekong & France



- Finalized the P&C revision process (public consultation)
- Company self assessment tool of P&C
- Promote the tool to other partners and stakeholders

16:00-18:30 Geneva

— Peru

18 M  
Room  
Palais

### Dissemination of business information & facilitate market linkages

Focusing on  
unifying topics

## Coordination and knowledge sharing

- Post 2020 Biodiversity framework process
- Model clauses for ABS contracts (*commercial purposes*)
- BioTrade Principles and Criteria and its self-assessment tools
- Business engagement and development of guidance tools for companies



Working together for a better world

**BioTrade**  
initiative

# We thank all the partners for the continued support

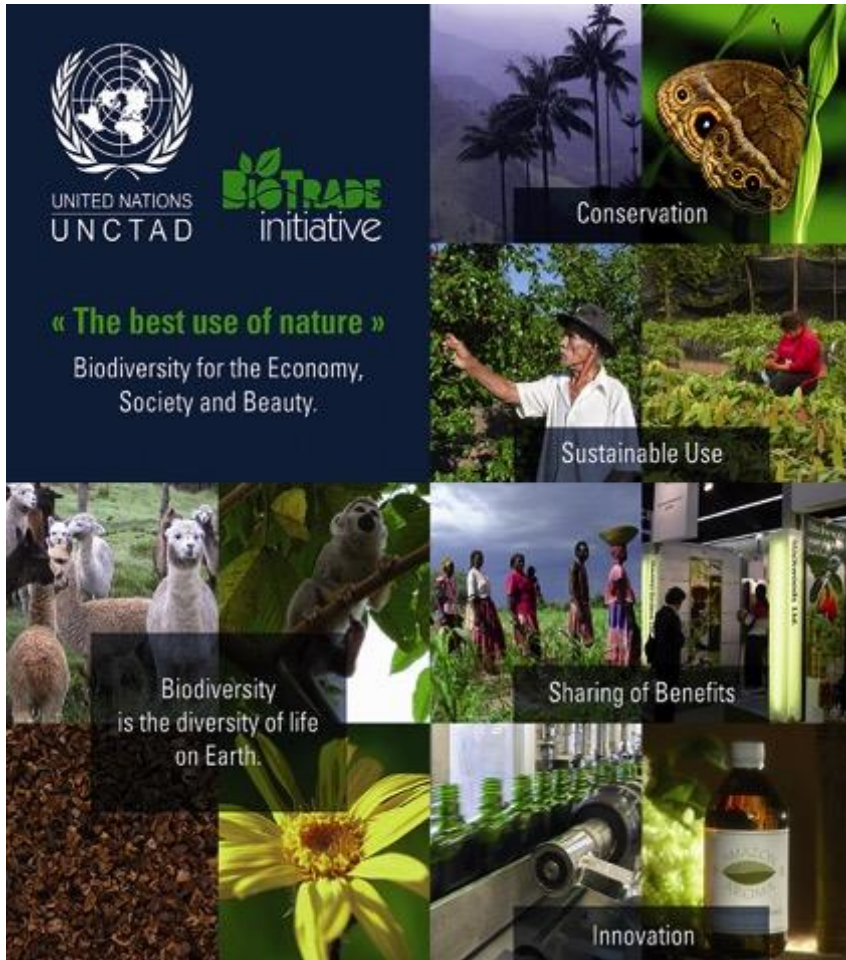
*BioTrade is supported by the Swiss State Secretariat for Economic Affairs SECO, Governments of Norway, Spain, The Netherlands, as well as the UN Foundation and UN development account*

UNITED NATIONS CONFERENCE ON TRADE AND DEVELOPMENT

**UNCTAD**

*Pictures from UNCTAD, BioTrade and programmes, practitioners and partners*





## Linking trade, biodiversity and sustainable development

## Forum for Law, Environment, Development and Governance (FLEDGE)







# Summary of Progress and Plans

Plan	Progress	Remarks
Organizing webinar(s)	Concept shared with UNDP to help 23 countries that are currently finalizing the national ABS frameworks	Expected to organize these during June/July 2019
Support to EMG Nexus Dialogue	Support provided in setting the agenda and chairing a session focusing on issues of biodiversity and development	Report published and contributions used for debates on designing the post 202 biodiversity framework
Post 2020 CBD process	Support being provided to the BioTrade team in finalizing the comments document and developing inputs to the Congress and the related	A session on 'Business and Biodiversity' being planned during the BT Congress





# Summary of Progress and Plans

Plan	Timeline	Remarks
Support to Congress (Organizing the session focusing on business and biodiversity)	2019	FLEDGE will work with UNCTAD team to develop the session to contribute to the post 2020 discussions using the outcomes
Inputs to post 2020 framework for CBD	2019-2020	Contribute to UNCTAD preparedness and inputs to the process (details to be discussed)
Studies on BT and SDG 15 and Synthetic Biology	2019	Contribution to the studies and mainstreaming the findings into national actions (for example, India)





# Summary of Progress and Plans

Plan	Timeline	Remarks
BioTrade India	2019	Follow up discussions for establishing a programme
CITES COP 18	2019	Contribute to UNCTAD preparedness and inputs to the process (details to be discussed)
Others	2019	To be identified during the SSC 2 meeting



**“The ABS Capacity Development Initiative”**

**“ABioSA”**

**“BioInnovation Africa”**

THE **ABS**  
CAPACITY  
DEVELOPMENT  
INITIATIVE



L'INITIATIVE DE  
RENFORCEMENT  
DES CAPACITES  
POUR L'APA

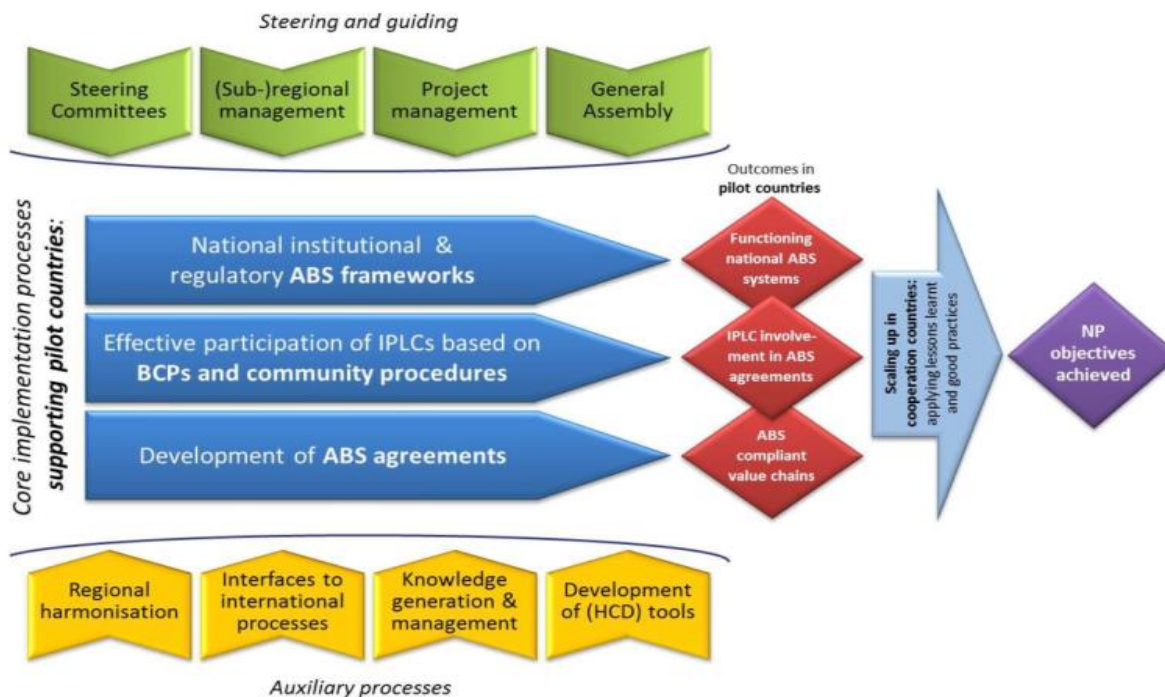
*The overall objective of the ABS Initiative is to contribute – based on business partnerships between South and North at a “level playing field” – to poverty reduction, food security, technology transfer, social development including equity and rights, and biodiversity conservation through implementing the Nagoya Protocol on ABS and the third objective of the Convention on Biological Diversity (CBD) in its entirety*



*Regional scope: ACP countries*



# ABS Capacity Development Initiative - core processes 2015-2020





## Status overview

<p>Outcome 1: In 4 partner countries of the ABS Initiative ABS National Focal Points / Competent National Authorities have submitted <b>drafts</b> to the relevant decision makers for the <b>institutional and legal framework of ABS</b> on the national level.</p> <p>Baseline: <i>3 drafts (2 pre- and 1 post-Nagoya)</i>          Status: <i>+ 5 drafts / concepts</i></p>	<p><input checked="" type="checkbox"/></p> <p><b>very good (almost) achieved</b></p>	<p><input type="checkbox"/></p> <p><b>good (on track)</b></p>	<p><input type="checkbox"/></p> <p><b>Problems (partially) delays in implementation</b></p>	<p><input type="checkbox"/></p> <p><b>Deficiencies (Risk to fail)</b></p>
<p>Outcome 2: In 4 partner countries and at least 5 cooperation countries of the ABS Initiative 20 new ABS agreements have been established.</p> <p>Baseline: <i>no baseline</i> – Target: <i>+12 ABS agreements</i> –          Status: <i>+5 ABS agreements</i></p>	<p><input type="checkbox"/></p> <p><b>very good (almost) achieved</b></p>	<p><input checked="" type="checkbox"/></p> <p><b>good (on track)</b></p>	<p><input type="checkbox"/></p> <p><b>Problems (partially) delays in implementation</b></p>	<p><input type="checkbox"/></p> <p><b>Deficiencies (Risk to fail)</b></p>
<p>Outcome 3: In 4 partner- and at least 3 cooperation countries of the ABS Initiative <b>12 ABS agreements</b> are based on <b>Biocultural Community Protocols</b> (BCPs) or <b>comparable instruments and</b> promote the inclusion / participation of women in benefit-sharing.</p> <p>Baseline: <i>no baseline</i> – Target: <i>+12 ABS agreements</i>          Status: <i>+5 ABS agreements</i></p>	<p><input type="checkbox"/></p> <p><b>very good (almost) achieved</b></p>	<p><input checked="" type="checkbox"/></p> <p><b>good (on track)</b></p>	<p><input type="checkbox"/></p> <p><b>Problems (partially) delays in implementation</b></p>	<p><input type="checkbox"/></p> <p><b>Deficiencies (Risk to fail)</b></p>

## Key elements workplan 2019 / 2020

- **Implementation / capacity development support for national institutional and regulatory ABS frameworks**
- **Workshops / Trainings : contract trainings, blended courses on ABS agreements; GR valorisation and value chain analysis**
- **Support to AU Commission - international coordination, ABS implementation in Africa**
- **12<sup>th</sup> Pan-African ABS Workshop September 2019 (South Africa – value chain focus) & 13<sup>th</sup> Pan-African ABS Workshop 2<sup>nd</sup> quarter 2020 (COP 15 / MOP 4 focus)**
- ***International engagement : CBD IAC on capacity building / development; post 2020 framework;***
- **Publications on: e.g. DSI primer, contribution of ABS/NP to Agenda 2030 and the SDGs, non monetary benefit-sharing and NBSAPs, ABS cases analysis**
- **Human Capacity building: e.g. ABS case simulation, paper on NP implementation options**

## **ABioSA**

### *ABS Compliant Biotrade in South(ern) Africa (2018-2021)*

*In line with the objectives of the Nagoya Protocol on ABS a high-growth, jobs-rich, innovative biotrade sector compliant with national ABS regulations supports sustainable development goals and contributes to livelihoods of rural people and the sustainable use of South(ern) Africa's plant biodiversity.*



Funded by:



Schweizerische Eidgenossenschaft  
Confédération suisse  
Confederazione Svizzera  
Confederaziun svizra

Swiss Confederation

Federal Department of Economic Affairs FDEA  
State Secretariat for Economic Affairs SECO



## Project components

Main components	Outcomes	Intervention approach
Component 1: <b>Technical assistance</b> to South(ern) African and Regional ABS compliant biotrade value chains	Outcome 1: SMEs access new global markets for biotrade products based on national and transboundary value chains with strong participation of IPLCs.	Project providing <b>technical assistance</b> to SMEs and IPLCs
Component 2: <b>Financial assistance</b> through the innovation facility	Outcome 2: SMEs use financial assistance designed specifically for biotrade innovation and growth whilst leveraging own resources and/or government incentive schemes.	Project innovation facility providing <b>financial assistance</b> through grants to SME to implement selected proposals
Component 3: <b>Policy dialogue</b> and <b>enabling environment</b>	Outcome 3: Improved ABS implementation framework supports the biotrade sector development in South Africa and the (sub-) region.	<b>Policy</b> advise and technical support to Government and BSOs

# Milestones in the current implementation phase since July 2018

(resource focus: marula, aloe ferox, vegetal oil cluster, essential oil cluster)

**Component 1.1 – Regulatory Hurdles:** Main regulatory market access hurdles for SA / regional key species in food / cosmetic sector analyzed ; specific species gap analysis: partners / service providers for addressing regulations identified

**Component 1.3: SME Training and Cap Dev** Selection of approx 20 SME to be capacitated from RSA, Botswana, Zambia, Malawi, Namibia; identification of BSO by call, identification of (co-financing) partner institutions, development of training material

**Component 2 – Grant facility :** Establishment OP of innovation grant facility for SME, BSO / laboratories; call for proposals in SA / region (70 / 30 %), average grant size R500k; up to R1.35 k for BSO / labs with sector impact, 10 to be supported (harvest, production, marketing, compliance)

## Key activities for workplan 2019 / 20

- prepare market access dossiers and market reports (1.1)
- identify, train / support IPLCs implied in VCs (1.2)
- technical support of SME in performance (1.3)
- grant Facility round 2 – for „weaker“ SM(M)E (2)
- feedback to regulator (DEA, DST) on regulations addressing ABS / aTK issues (3.1)
- preparing industry sector guidelines / best practices (3.2)
- regional exchange (3.3)





## **BioInnovation Africa**

# Equitable benefit-sharing for the conservation and sustainable use of biodiversity (2019-2022)

*European-African collaborations for biodiversity-based innovations and products with equitable benefit-sharing for the conservation of biodiversity are developed.*

funded by



## Project summary

**Title:** BioInnovation Africa

**Period:** January 2019 to June 2022; inception phase: 1- 6/2019

**Framing:** German Marshall Plan with Africa

**Amount:** € 9 million

**Country focus:** Cameroon, Madagascar, Namibia & South Africa

**Implementation:** GIZ Headquarters, national projects

**On behalf of:** The Federal Ministry for Economic Cooperation and Development (BMZ)

**Status:** Inception phase / country missions / links with bilateral projects

# BioInnovation Africa

## Equitable benefit-sharing for the conservation of biodiversity

### Implementation of national ABS frameworks

- ABS manuals for users and stakeholders (sector / actor specific)
- Enhanced negotiation skills for contracts (legal, business terms, strategy)
- IT-based permitting and monitoring system for CNA

### Benefit-sharing for conservation & sustainable use

- CNA establishes ntl. / regional guidelines on BS for conservation & sustainable use
- European users integrate guidelines into corporate policies
- Concepts for national mechanisms on BS supporting conservation & sust. use

### Biodiversity-based value chains for local development

- Innovations, products and value creation
- European users invest in the development of new products
- Technology and know-how transfer for value creation in provider countries
- New jobs (for women) based on benefit-sharing contracts,

### Biodiversity VC in development cooperation

- BMZ is a partner for biodiversity-based cooperation
- BMZ plays an active role in events that include a benefit-sharing contribution
- BMZ has incorporated the project's advisory services in current and long term strategy

2019 – 2022\*

### SP Bio Innovation

financed and steered by:

**BMZ**

Focus on:

1. Implementation of ABS frameworks
2. Benefit-sharing for conservation
3. Biodiversity-based value chains
4. BMZ is partner for biodiversity-based cooperation

partner countries (tbc):

Cameroon	Madagascar
Namibia	South Africa

2006 – 2022

### GP ABS CDI

financed and steered by:



BMZ	IDDF	EC DG DEV	[SECO]
-----	------	-----------	--------

+ regional representatives

Focus on (Africa):

1. Legal & institutional framework
2. ABS Agreements
3. IPLC participation

core partner countries:

Benin	Kenya
South Africa	Ivory Coast

through GIZ bilateral Projects:  
Algeria, Cameroon, Ethiopia, Madagascar,  
Morocco, Namibia

MoU

African Union  
Commission

financing

2017 – 2021

### ABioSA

steered by:

SECO; DEA; ABS CDI  
Secretariat  
+ observers

Technical and financial  
assistance for SMEs;  
political guidance

Country focus on South Africa  
with support to regional sector

\*inception phase 1-6/2019

**Thank you!**

...more on ABS, the ABS Capacity Development Initiative, ABioSA &  
BioInnovation:

***[www.abs-initiative.info](http://www.abs-initiative.info)***

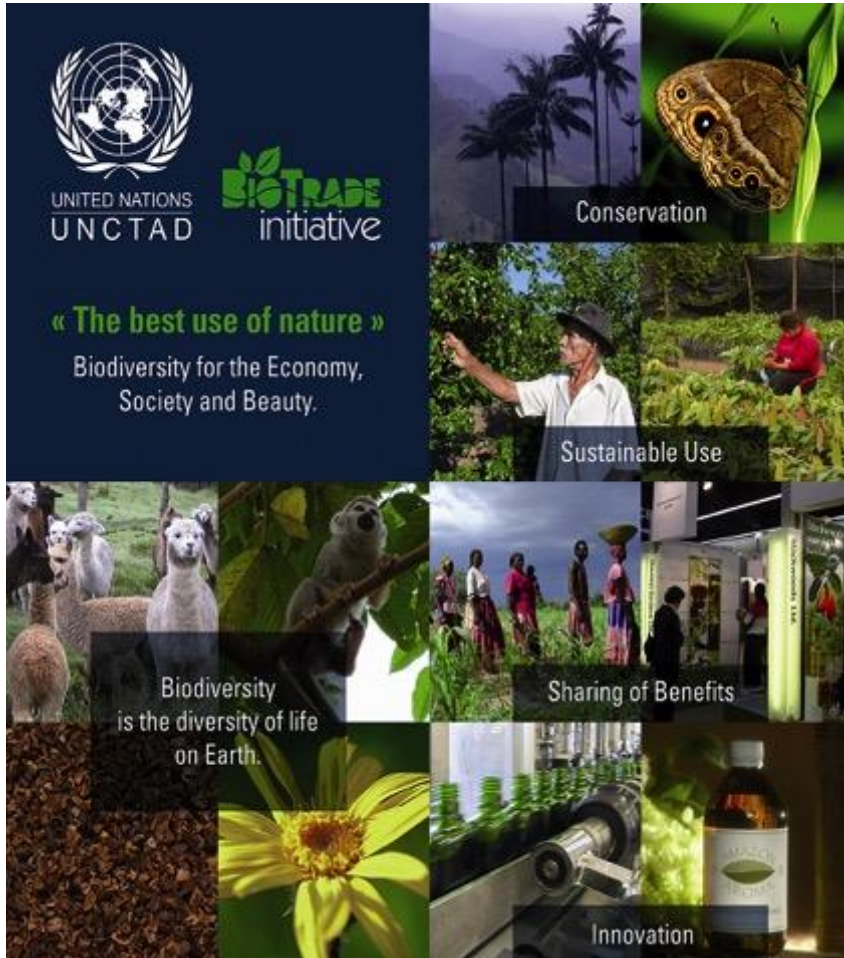
# Session II

---

## BioTrade Principles and Criteria in the Post-Aichi and SDG Context







## Second Stakeholder Steering Committee Meeting, 7 May 2019

### Session 2: BioTrade Principles and Criteria (P&C) in the post Aichi and SDG context

*Global BioTrade Programme - Linking Trade, Biodiversity and Sustainable Development*

# The rationale

## BioTrade Principles and Criteria (2007)

### BioTrade Principles

P1. Conservation

P2. Sustainable use

P3. Equitable benefit-sharing

P4. Socio-economic  
sustainability

P5. Legal compliance

P6. Respect for actors' rights

P7. Clear tenure & resources  
access

**International agreements and development processes emerged and alignment**

- Nagoya Protocol
- Agenda 2030 and SDGs
- Paris Agreement

**Lessons learned from BioTrade P&C implementation and further adaptation to national circumstances and value chains**

**Market trends: Growth in Consumer demand**

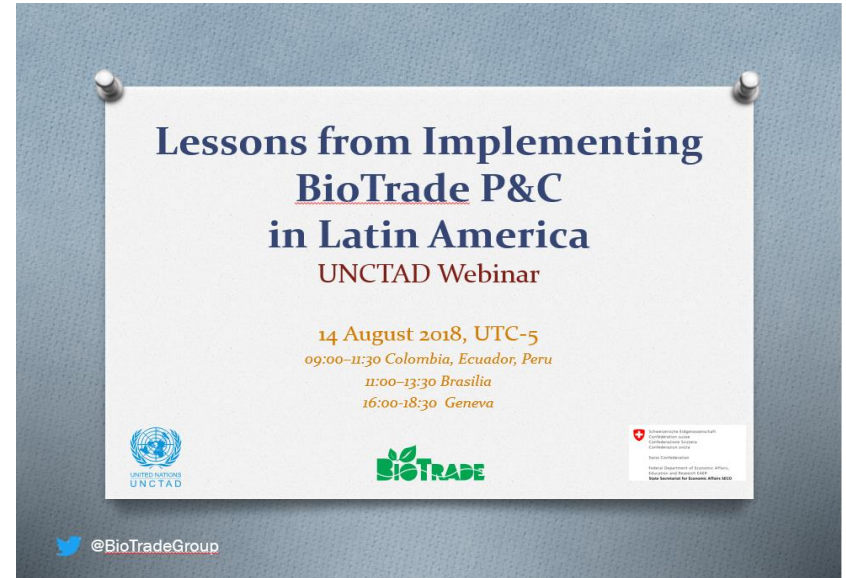
**Tools to raise awareness and understanding of BioTrade and its P&C**

# What we have done



18 May 2018  
Room S4  
Palais des Nations, Geneva

Schweizerische Eidgenossenschaft  
Confédération suisse  
Confederazione Svizzera  
Confederaziun Svizra  
Swiss Confederation  
Federal Department of Economic Affairs,  
Education and Research SAER  
State Secretariat for Economic Affairs SECO



## Comparative analysis on P&C

- UEFT (2012)
- Colombia
- Ecuador
- Peru
- PTA



# Use of BioTrade P&C by partners

BioTrade partner	Scope and level of adaptations (principle/criteria/indicator)
<b>UEBT</b>	Adapted criteria and indicators specific to: <ul style="list-style-type: none"> <li>▪ Natural ingredients</li> </ul>
<b>PERU</b>	Adapted criteria and indicators specific to: <ul style="list-style-type: none"> <li>▪ Wildlife, timber, non-timber, animal breeding (zoocría)</li> <li>▪ Agroforestry/cultivation</li> <li>▪ Eco-tourism</li> </ul>
<b>MADS (Colombia)</b>	Adapted principles, criteria and indicators considering green business classification based on G&S derived from: <ul style="list-style-type: none"> <li>▪ (a) natural resources which relates to BioTrade</li> <li>▪ (b) industrial eco-products and (c) carbon-related</li> </ul>
<b>Bioemprende</b> (Ecuador based on Andean BT Programme)	Adapted criteria and indicators specific to: <ul style="list-style-type: none"> <li>▪ Production from wild collection</li> <li>▪ Production from cultivation</li> </ul> Adapted criteria and indicators specific to: <ul style="list-style-type: none"> <li>▪ Sustainable tourism</li> </ul>
<b>PTA</b>	Adapted principles, criteria and indicators specific to: <ul style="list-style-type: none"> <li>▪ PTA focal and pipeline species (cosmetic, pharmaceutical and nutraceutical ingredients)</li> </ul>

# Inputs from webinar & survey in LAC

Topic	Discussion points
<b>Adaptability of P&amp;C</b>	Overall good degree of adaptability to different sectors, geographical scopes, and at national, regional, programme- and company-based levels. Adaptability to the service sector (e.g. eco-tourism) can present more complex adaptations.
<b>Gradual implementation</b>	Capacity for compliance is a gradual process & may facilitate VSS certification
<b>Confidentiality</b>	If applicable, revisions to the P&C should consider challenges in accessing company-based information
<b>Simplicity and interpretability</b>	Practical/field implementation of the BioTrade P&C can be challenging, hence these should remain simple and easily interpretable
<b>Nagoya Protocol</b>	P&C require alignment to Nagoya Protocol (NP)
<b>Clarity about tenure</b>	Clarification on land tenure can be complex within certain national/regional contexts
<b>Climate change</b>	Some matrices include indicators related to climate change/GHG emissions (e.g. MADS and Ecuador/sustainable tourism)





# Main findings identified so far

	Overall comments	Comments to criteria	Other
UNCTAD P&C Workshop	<p><b>P 3</b> more in line with Nagoya Protocol (NP)</p> <p><b>P 7</b> Tenure to encompass all ecosystems</p>	<p>P 3 criteria: Revise to make more in line with NP</p> <p>P 5 criteria: Consider reference to NP</p> <p>P 6 criteria: Consider adding reference to MAT and PIC (in line with NP)</p>	<ul style="list-style-type: none"> <li>• Maintain Principles; changes at criteria &amp; indicator levels</li> <li>• Recommendation for BTP&amp;C as an international set of P&amp;C to be adapted at country/ programme/ scheme level</li> </ul>
LAC BioTrade partner survey and webinar	<p><b>P 3</b> more in line with NP</p> <p><b>P 7</b> Encompass to include marine environments</p>	<p>P3 criteria: Revise to make more in line with NP</p> <p>C 1.1: Consider ecosystem regeneration</p> <p>C 4.1: Consider issues of commercial privacy</p>	<ul style="list-style-type: none"> <li>• Issues: climate change/agrobiodiversity / ecosystem services/</li> <li>• Consider revising socio-economic indicators (confidentiality and reliable)</li> <li>• Keep P&amp;C simple and easily interpretable</li> </ul>
BioTrade partner matrix comparative study	<p><b>P 3</b> adaptations made in line with NP</p> <p><b>P 7</b> edits to encompass marine &amp; terrestrial activities</p>	<p>P 1 criteria: include “restore”, not only maintain</p> <p>P1 &amp; P2 criteria: Adaptations related to tourism activities (ecosystems used vs. spp used)</p> <p>P 3 &amp; P 5 criteria: Revise to make more in line with NP</p>	<p>Adaptations made by partners:</p> <ul style="list-style-type: none"> <li>• MADS &amp; PTA: adaptations at all levels</li> <li>• UEBT, Peru &amp; Ecuador: minor edits at Principle level; adaptations at criteria level</li> </ul>

# Next steps



Compile comments and prepare updated P&C report: pending inputs from partners



Public consultation



Publish the updated P & C



Develop the company self assessment tool of P&C / enable benchmarking with other standards



Promote the tool to other partners and stakeholders



The seeds  
we plant today

Are the fruits  
of tomorrow

Our future lies  
in our hands

Working  
together for  
a better  
world

**BioTrade**  
initiative

# Thank you

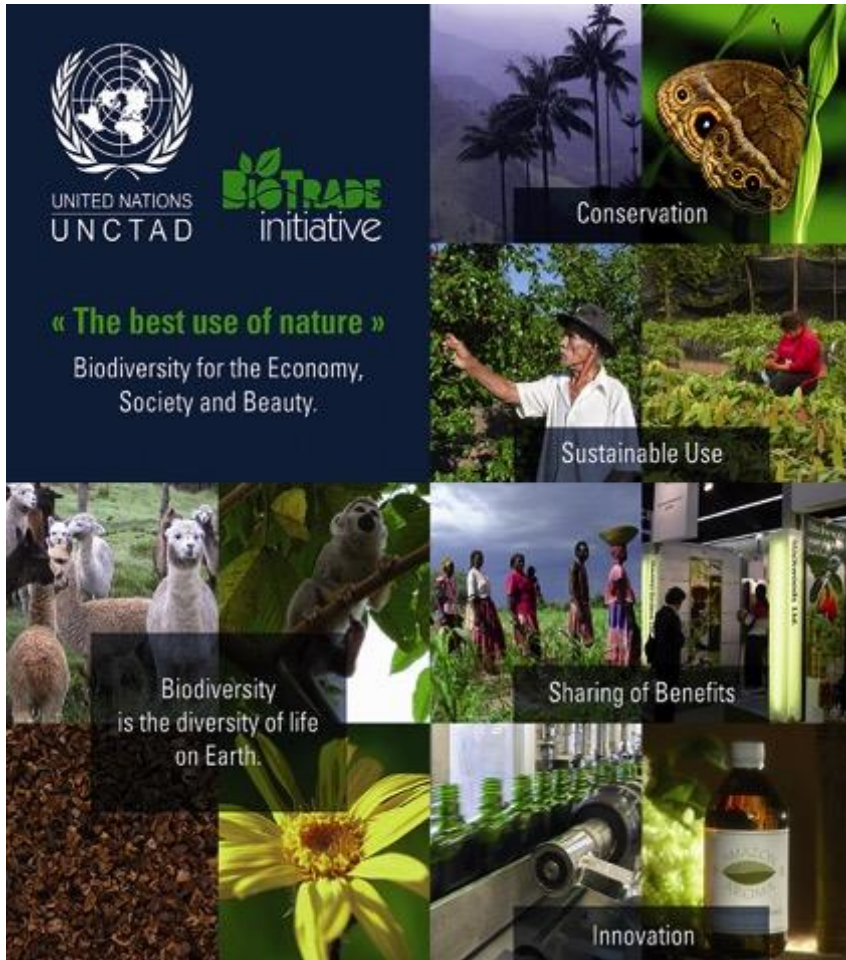
*BioTrade is supported by the Swiss State Secretariat for Economic Affairs SECO, Governments of Norway, Spain, The Netherlands, as well as the UN Foundation and UN development account*

UNITED NATIONS CONFERENCE ON TRADE AND DEVELOPMENT

**UNCTAD**

*Pictures from UNCTAD, BioTrade and  
programmes, practitioners and partners*





## Session II: Findings from the UEBT Standard consultation process

UEBT

---

# Why revision?

- UEBT Ethical BioTrade standard needs to be revised every 5 years (e.g. ISEAL code of practice)
- UEBT STD was last revised in 2012
- Objectives current revision:
  - Improve effectiveness and applicability of UEBT standard and align with evolving UEBT vision and mission
  - Incorporate views and experiences of companies and other stakeholders that actively work with the standard
  - Encourage wide stakeholder participation, acceptance and recognition of the standard

## **UEBT Vision**

Companies' innovation and sourcing of natural ingredients contribute to a world in which people and biodiversity thrive.



# Standard and Assurance Committee



- UEBT Board of Directors sets strategic goals for revision
- UEBT Standard Committee oversees the process
  - ✓ Multi-stakeholder committee (representatives from social, environment and economic stakeholder groups, from developing, developed and emerging economies)
  - ✓ UNCTAD, GNF, ABS CDI, CRED (Vietnam), Indocert (India), VDB (Madagascar), Imaflora (Brazil), Natura, Kaite (Zimbabwe), Rainforest Alliance, Natura, Weleda, Candela, Martin Bauer

---

# Process

- 2018: 1<sup>st</sup> consultation on 2012 UEBT Standard
- public consultation, benchmarking with other standards
  - consultation workshops: with local communities in Brazil (Amazon) and Madagascar, regional (Vietnam, Myanmar, Lao), Peru, Brazil, Madagascar, Paris
  - Total: 223 comments from 80 organisations
- 2019: 2<sup>nd</sup> draft standard
- UEBT Secretariat elaborated and discussed
  - Discussed with Standard and Assurance Committee
- June-July 2019 2<sup>nd</sup> public consultation
- public consultations
  - Workshops (SEAsia, South Africa, Madagascar, Brazil, EU)
  - field tests
- Dec 2019 Final standard

---

# Main changes 2012 vs 2019

- Up-date of the UEBT Theory of Change
- More detail: many indicators from UEBT field checklists now included in the standard
- Additional issues: e.g. climate change resilience of farmers
- Removed issues: financial accounting
- Alignment with regulatory trends: Nagoya Protocol/ABS
- More stepwise approaches and continuous improvement
- Inclusion M&E indicators



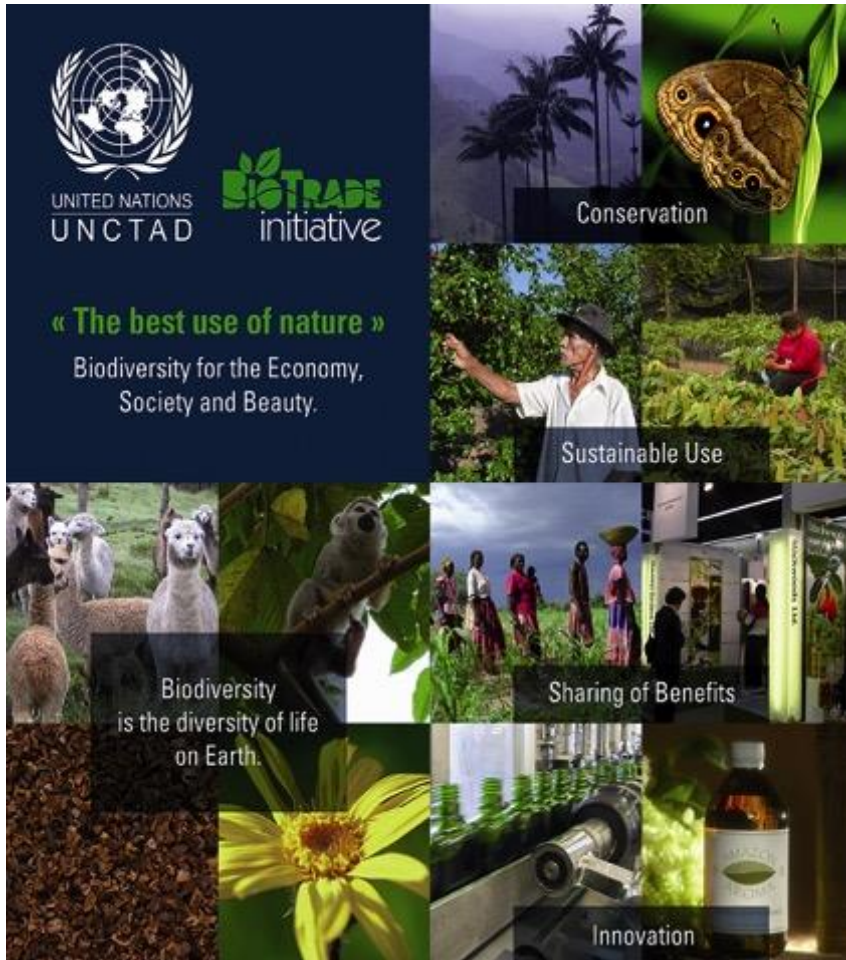


---

# Some difference between 2019 (draft) UEBS standard and (2017) UNCTAD BT P&C

- General
- UEBS does not focus solely on native biodiversity
  - UEBS includes P, C, and many detailed indicators
  - UNCTAD BT P&C are more open ended, UEBS STD needs to be certifiable
- Principle 1
- UEBS introduces enhancement/restoration of biodiversity
- Principle 2
- UEBS clearly recognizes value organic practices
  - UEBS introduces climate change resilience
- Principle 3
- UEBS includes here specific references to ABS, Traditional Knowledge, and contribution to local development
- Principle 4
- UEBS introduced traceability
- Principle 5
- UEBS includes reference to Nagoya Protocol
- Principle 6
- UEBS introduced respect for ILO core conventions
- Principle 7
- UEBS introduced conflict resolution mechanisms and UNDRIP





## Session II: Self-assessment tool for BioTrade Principles & Criteria

Trade for Sustainable  
Development (T4SD),  
International Trade Centre (ITC)

---

# BT P&C Self-Assessment Tool

The tool will enable companies to:

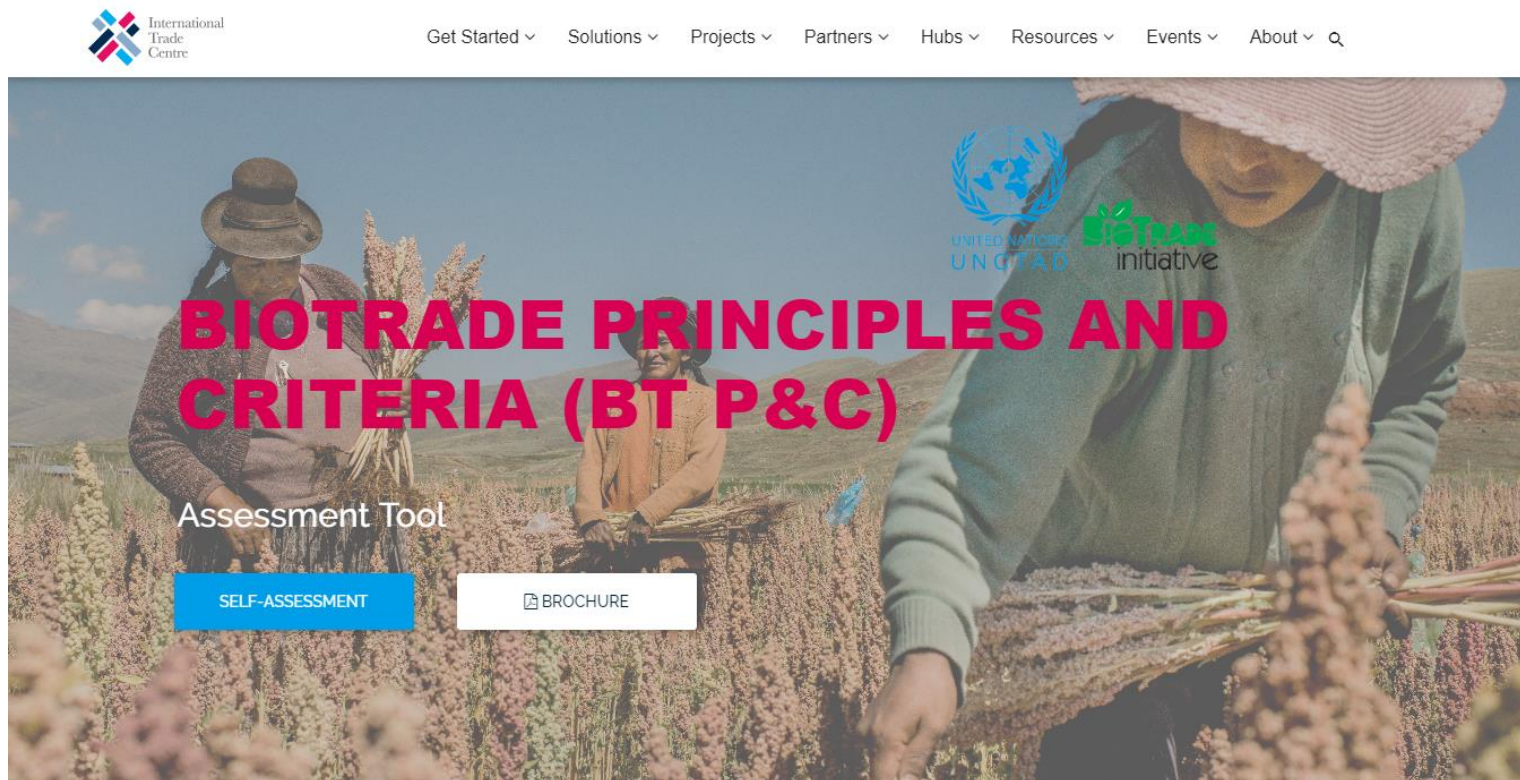
- Increase their **awareness** on the BT P&C.
- Self-assess their business practices with regard to the BT P&C and get a first **diagnostic report** of areas of improvement.
- **Benchmark** the BT P&C against other standards available in the ITC platform [www.sustainabilitymap.org](http://www.sustainabilitymap.org)
- Showcase their diagnostic report in their individual **profiles in Sustainability Map** and share with potential buyers.





# Step-by-step: BT P&C Self-Assessment Tool

1- Access the customized page of the Self-Assessment Tool under ITC Sustainability Map



The screenshot displays the ITC website's navigation bar with the International Trade Centre logo and menu items: Get Started, Solutions, Projects, Partners, Hubs, Resources, Events, and About. The main content area features a background image of farmers in a field. Overlaid on this image is the text "BIOTRADE PRINCIPLES AND CRITERIA (BT P&C)" in large red letters, with "Assessment Tool" below it. Two buttons are visible: a blue "SELF-ASSESSMENT" button and a white "BROCHURE" button. Logos for UNCTAD and the BiTrade Initiative are also present in the top right of the image area.

# Step-by-step: BT P&C Self-Assessment Tool

## 2- Create a profile: *Producers, Companies, Government & other institutions*



### Citrícola Lucato

**Company Type :** Primary Production

**Company GLN**

7898921261012

**Address**

CEAGESP - Av. Dr. Gastão Vidigal, 1946 -  
Vila Leopoldina, São Paulo - SP

**Country State City PostCode**

Brazil Sao Paulo São Paulo 05316-900

**Contact information**

**Contact Name :** Gilson Lucato Junior

@ See the email

☎ See the phone number

🌐 <http://www.citricolalucato.com.br/>

📘 <https://www.facebook.com/LucatoCitricola>

**Company size :** 250 employees

GEOLOCATION

PRODUCTION

CERTIFICATION

MEDIA



### Citrícola Lucato

**Description**

Empresa produtora de frutas cítricas.

**Company Type**      **Company Size**

Producer      250

**Website**      **Country**

<http://www.citricolalucato.com.br/>      Brazil

**Products / Services**      **City**

Oranges  
Mandarins (fresh)

**Existing Certification**

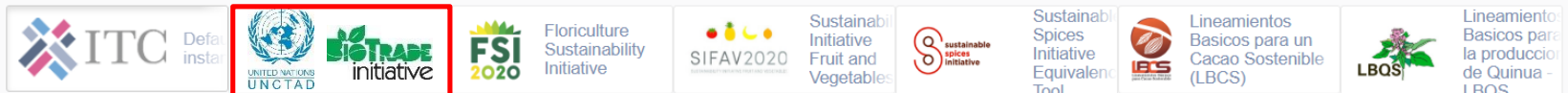


# Step-by-step: BT P&C Self-Assessment Tool

3- Compare the BT P&C against relevant standards, which can be identified through different filters: *sector/product, producing region, destination region, standard name etc.*

## Select a custom view

By default, information is presented from the ITC perspective. You may select to view information as interpreted by different organization by clicking on one of the logos below.



As a UN platform, the Standards module of Sustainability Map does not rank, compare or make any value judgement on standards. It builds on the well established online portal, Standards Map, which had over 200'000 users. The information is collected and review based on a rigorous methodology to ensure global, comprehensive and neutral information on standards.

## Filter by Type

Private ?

Public ?

International ?

## Sector / Product

Plant extracts (except oils) (cosmetics/pharma) ▾

## Producing Region / Country

Africa ▾

## Destination Region / Country

Europe ▾

## Standard name

Search by standard name

[Advanced search ▾](#)

✕ Reset Filter

12 Standards ?

## Union for Ethical BioTrade - UEBT



The Union for Ethical BioTrade is a non-profit association that promotes the "Sourcing with Respect" of ingredients that come from biodiversity. Members commit to gradually ensuring that their sourcing practices promote the conservation of biodiversity, respect traditional knowledge and assure the equitable sharing of benefits all along the supply chain. UEBT Trading members can work in the following sectors, personal care, cosmetics, pharmaceutical and food. UEBT aims to help private sector


Last update : 25.02.2016



# Step-by-step: BT P&C Self-Assessment Tool


## 4- Perform the self-assessment of the BT P&C

1 Standard      2 Pre-assessment      3 Assessment      4 Result      5 Finalize

**Search by name**  
Filter on standard name...  
 See all standards  ✕ Reset Filter

248 standards

**Union for Ethical BioTrade - UEBT**



The Union for Ethical BioTrade is a non-profit association that promotes the "Sourcing with Respect" of ingredients that come from biodiversity. Members commit to gradually ensuring that their sourcing practices promote the conservation of biodiversity, respect traditional knowledge and assure the equitable sharing of benefits all along the supply chain. UEBT Trading members can work in the following sectors, personal care, cosmetics, pharmaceutical and food. UEBT aims to help private sector to be more involved


Sectors : **Consumer products**

Last update : 25.02.2016

Available offline : **No**

[Download for offline use](#)  
[Start an assessment](#)  
[Export questions as PDF](#)

**EU Organic Farming**



In 2007 the European Council of Agricultural Ministers agreed on a new Council Regulation (Council Regulation (EC) No. 834/2007) setting out the principles, aims and overarching rules of organic production and defining how organic products were to be labelled. The regulation set a new course for developing organic farming further, with the following sustainable cultivation systems

Sectors : **Agriculture** **Textiles / Garment** **Processed food** **Fish – Aquaculture** **Livestock** **Consumer products**

Last update : Apr-17

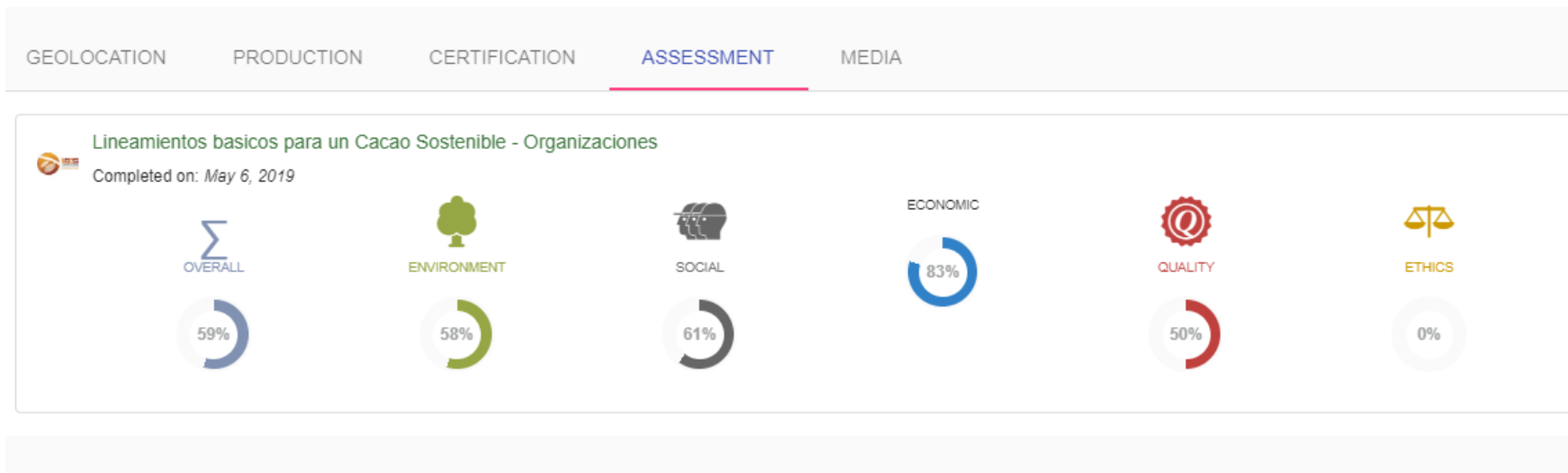
Available offline : **No**

[Download for offline use](#)  
[Start an assessment](#)  
[Export questions as PDF](#)



# Step-by-step: BT P&C Self-Assessment Tool

5- Upon completion of the self-assessment, users obtain a sustainability diagnostic report covering different sustainability areas: *environment, social, economic, quality and ethics*

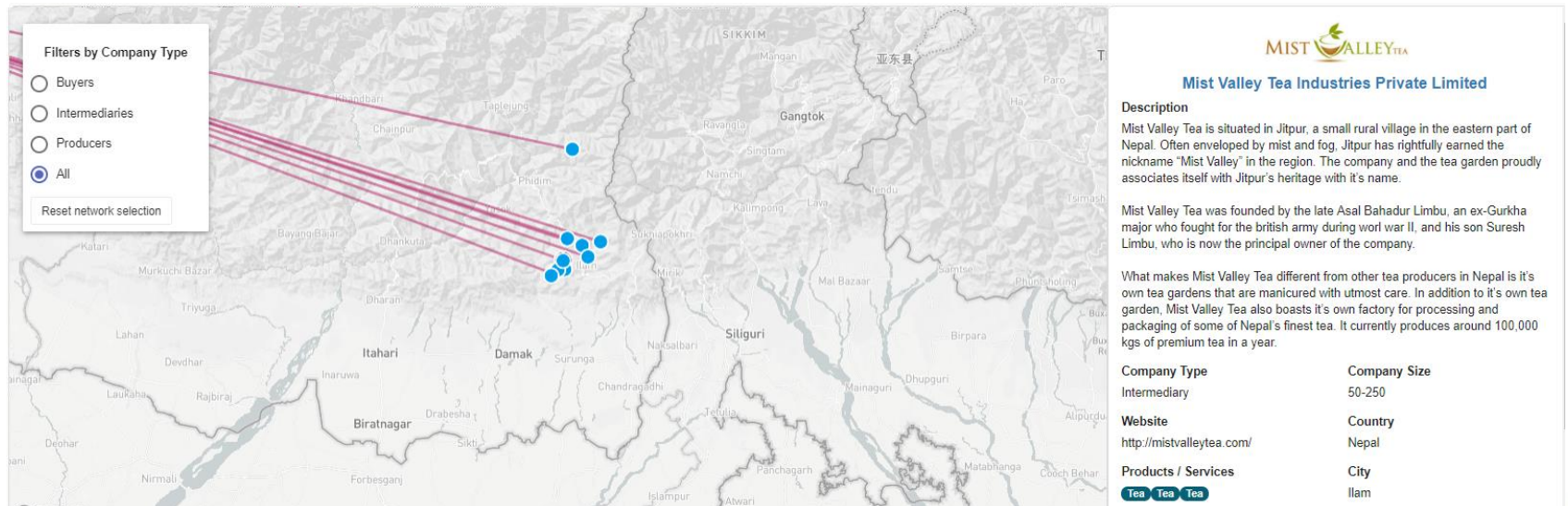




# Leveraging the Self-Assessment Tool

*BioTrade partners and relevant stakeholders can:*

- Invite their project beneficiaries (individual producers, associations, companies) to take the self-assessment and be part of Sustainability Map → visibility and market outreach.
- Collect data about their beneficiaries and create their own networks → endorsement of companies, and monitoring of diagnostic report



The screenshot displays a web-based interface for a Sustainability Map. On the left, a 'Filters by Company Type' menu is visible, with radio buttons for 'Buyers', 'Intermediaries', 'Producers', and 'All' (which is selected). Below the filters is a 'Reset network selection' button. The main area is a map of Nepal with several blue circular markers representing companies. Red lines connect these markers, indicating a network. On the right side, there is a detailed profile for 'MIST VALLEY TEA'. The profile includes the company logo, name, a description of the company's location and history, and a table of key information.

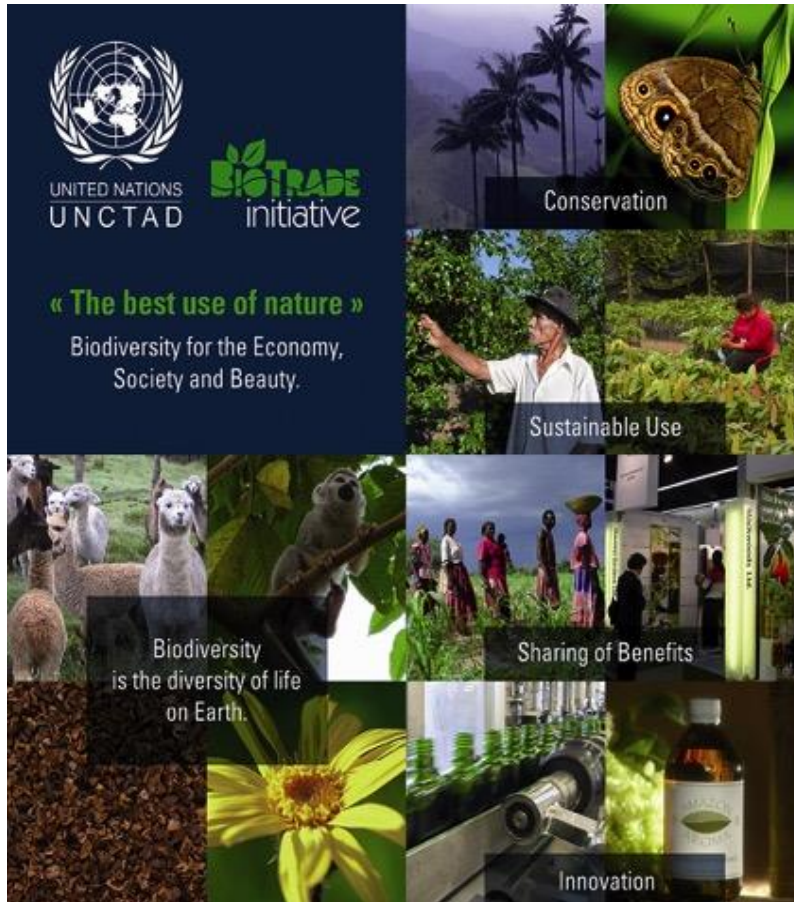
Company Type	Company Size
Intermediary	50-250
Website	Country
<a href="http://mistvalleytea.com/">http://mistvalleytea.com/</a>	Nepal
Products / Services	City
Tea Tea Tea	Ilam

# Working Lunch

---

## Synthetic Biology: Implications for BioTrade





**Second Stakeholder Steering  
Committee Meeting, 7 May 2019**

## **Lunch Session: Synthetic Biology and its Potential Implications for BioTrade and ABS**

***Mr. Frederic Perron-Welch  
ABS Consultant, BioTrade Initiative***



# Background

- Synthetic biology (SynBio) was identified as an emerging issue meriting further research at the 1<sup>st</sup> BioTrade SSC meeting in 2018.
- This study on the implications of SynBio for BioTrade and ABS was subsequently developed in order to provide guidance and further comprehension of the subject.

# What is Synthetic Biology?

There is no universally agreed upon definition, but COP 13 acknowledged the following as a useful starting point for further discussions in the context of the CBD and its Protocols:

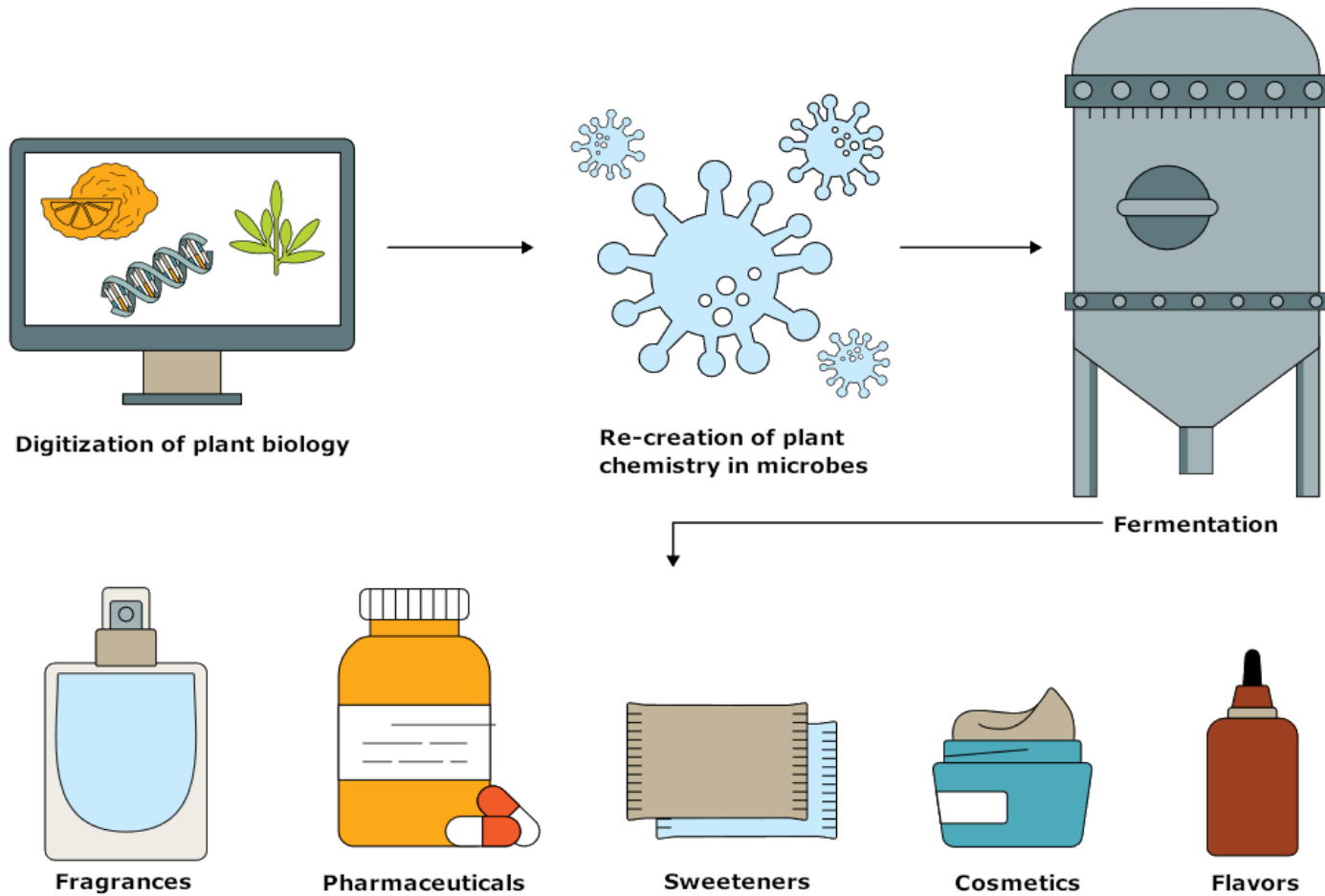
***“a further development and new dimension of modern biotechnology that combines science, technology and engineering to facilitate and accelerate the understanding, design, redesign, manufacture and/or modification of genetic materials, living organisms and biological systems.”***

# What is Synthetic Biology?

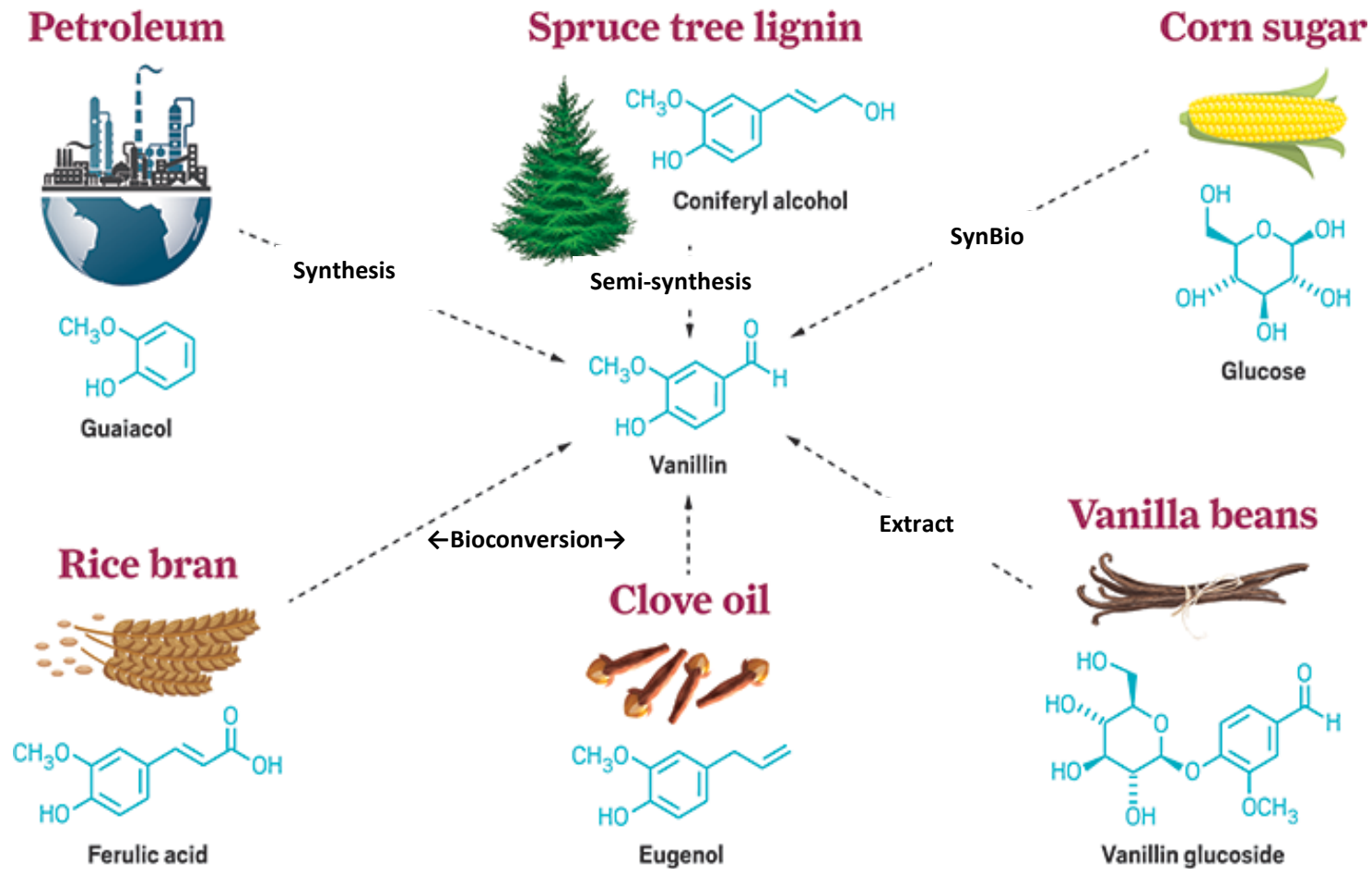
Two key technologies:

1. Next-generation sequencing (NGS) technologies for “reading” DNA, producing genetic sequence data.
2. DNA synthesis technologies for “writing” DNA using genetic sequence data.

The recent development of ground-breaking technologies for design, assembly, and manipulation of genes and metabolic pathways allows for an ever greater manipulation of biological systems.



Source: Adapted from Linda Wang, "Manus Bio" (2018) 96:44 Chemistry & Engineering News 42 at 43.



Source: MM Bomgardner "The problem with vanilla: After vowing to go natural, food brands face a shortage of the favored flavor" (2016) 94 (36) Chemical & Engineering News 38 at 40.

# Regulatory Aspects

- The labelling of SynBio products is an important issue in terms of its implications for BioTrade.
- **Main question:** Should a product of SynBio organisms be considered as a natural ingredient due to its production in a living organism?
- In both the US and Europe, they can be (rather than a GMO or artificial ingredient).

# Regulatory Aspects

- To fill the regulatory gap, a number of third-party voluntary certifications have emerged in the food and cosmetics industries.
- These standards exclude the use of ingredients produced by synthetic biology/GM organisms.



True Friends of  
Natural and Organic  
Cosmetics





# Is there a Risk to BioTrade from Synthetic Biology?

- SynBio does have potential implications for BioTrade, but risks are largely confined to certain BioTrade sectors
  - Cosmetics
  - Fragrances and flavourings
  - Pharma/phytopharmaceutical
- The risk is the displacement of naturally sourced ingredients with ingredients produced through the use of synthetic biology.

# Is there a Risk to BioTrade from Synthetic Biology?

- Displacement in may be limited, as consumers purchasing products with BioTrade ingredients are looking to purchase these types of products.
- Most implications remain prospective because many synthetic biology companies are not at the point of producing economically competitive products.
- Given this, it is an opportune time for BioTrade actors to take a proactive approach to this matter, particularly in the revision of the P&C.

## Recommendations

- Provider countries may want to consider conducting socioeconomic impact assessments for important value chains when a SynBio alternative appears to determine its potential impact on jobs and livelihoods.
- Where there is a significant risk, provider countries may want to assist producers to transition to different BioTrade value chains to prevent the resulting impacts on livelihoods and biodiversity.

## Recommendations

- Consider defining “natural product” or “goods and services derived from native biodiversity” in the context of BioTrade.
- Consider explicitly addressing the broad issue of whether products fabricated using GM/SynBio organisms are allowable inputs for BioTrade products (express prohibition vs case-by-case approach).

## Recommendations

- Consider whether a case-by-case approach is appropriate where they are demonstrably more sustainable than their naturally derived counterparts (e.g. CITES trade ban).
- If a case-by-case approach is adopted, consider the development of a traceability mechanism for ingredients that are derived from CITES-listed species to prove that they have been fabricated using SynBio processes.

Thank you  
for your  
attention

If you have further questions, you may contact  
me at:

[frederic.perron-welch@unctad.org](mailto:frederic.perron-welch@unctad.org)

+41-76-743-26-00

# Session III

---

## Financing Mechanism for BioTrade



Session III:  
Financing Mechanisms for BioTrade  
companies: *Development Bank of Latin  
America (CAF)*



***Federico Vignati, Principal Executive***

Vicepresidency of Sustainable Development  
Division of Sustainability, Inclusion and Climate Change  
Green Business Unit - CAF



## Broad shareholder base

CAF belongs to 19 Latin American, Caribbean and European countries.

14 private Banks from the Andean Region are also CAF's shareholders.





planetBanking



"Banks base their decisions on financing on the characteristics of the client and, in particular, the guarantee available to them"

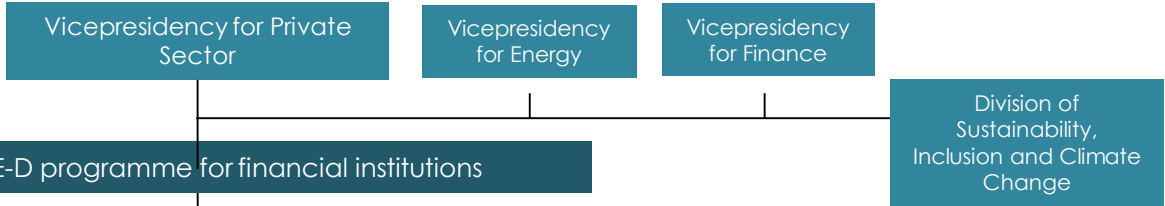
"Reporting should be reduced and simplified"

"Incentives are required for financial analysts"

"The best way to achieve the development objective is to provide technical assistance"

"Dissemination of best practices and other public goods must be made"

Tools and technical assistance



Strengthen and provide technical training and financing to Financial Institutions (FIs) in Latin America to improve their offer of products and services to Latin American companies that seek to invest in Energy Efficiency (EE) and Green Business (NV)



<p>Financing through lines of credit for NV and EE-D</p> <p>Development of capacities to FIs and their officials.</p>	<p>-Mobilization of resources from a third party green source.</p>	<p>-Support in design and execution of public policies and market access strategies</p>

# Value proposition

## 1. Financing

Use of ecosystem goods or services, under a value chain approach, incorporating criteria of economic, social and environmental sustainability.



## 2. Support tools for credit evaluation

Mainstreaming of good practices that seeks to optimize and reduce energy consumption and emissions in production processes.

## 3. Technical assistance

# 1. FINANCING FOR GB AND EE

Reach 20% of the green portfolio - USD \$ 1.2 billion from the credit lines so that the FIs act as financial intermediaries to smaller companies in NV and EE-D.

It is estimated that within a period of five years, IFs that have an environmental management system will be assigned to evaluate their operations.

## Terms:

- Current CAF rates. Possible reduction,
- Benefits: Training and access to information

## 2. GREE RATING - CAF

Tool developed through the PEGASIF to evaluate ESG performance of FI's, as well as of the final clients (companies NV and EE)

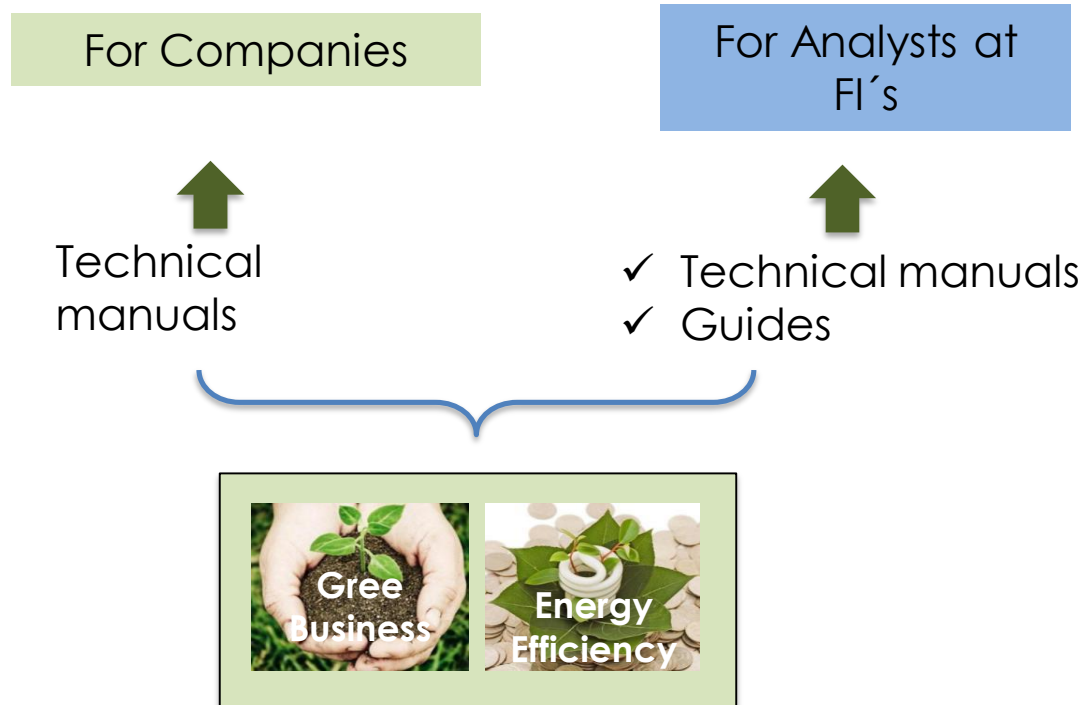
### Objective:

- Identify best practices  Publicly recognize the best actors;
- Identify points of improvement  Provide support to FIs and companies participating in the rating to improve their practices; (PGASIF \*)
- Recognition of CAF in international financial markets.

\*Programa CAF que apoya en identificación, evaluación y gestión de los riesgos ambientales y sociales dentro del proceso de crédito, la ecoeficiencia corporativa, riesgos y oportunidades del cambio climático y el apoyo a la creación de líneas verdes.

<http://www.pgasif.com/quienes-somos/>

# 3. SUPPORT TOOLS FOR CREDIT EVALUATION



# Green Business value chains per country

Colombia	Ecuador	Perú
Flores	Flores	Granos andinos
Ecoturismo en parques naturales	Ecoturismo de litoral (Marino costero)	Ecoturismo rural comunitario
Reforestación	Reforestación	Industria de la madera
Café y cacao	Café y cacao	Café y cacao
Frutas de exportación	Frutas de exportación	Frutas de exportación

## Three tools developed:

**Technical manuals of value chains :**  
Gives information on sectors and their items in NV value chains in Col, Ecu, Peru.

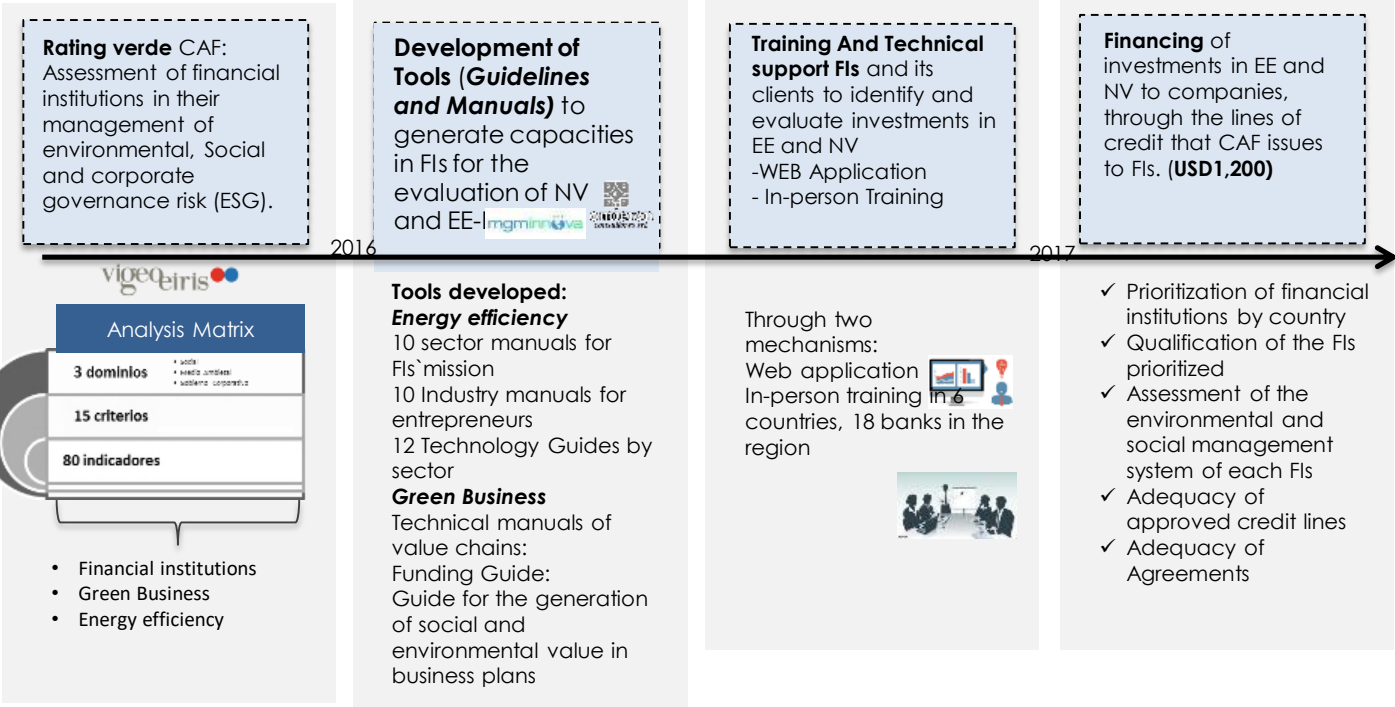
**Financing guide:** Provides tools for the bank to evaluate, projects or green business plans with certifications or with social and environmental criteria.

**Guide for the generation of social and environmental value in business plans :** diagnosis for entrepreneurs, which allows them to know the current state of assimilation, shows possible practices to be implemented and evaluates criteria to be presented to the bank.





# Program major advances



## Value chain evaluation manuals

**Objetivo:** to provide information and technical details to identify and outline financing opportunities for companies that operate in links of value chains with the potential to generate positive social and / or environmental impacts.

INTRODUCCIÓN pg. 3	1 ASPECTOS GENERALES pg. 4	2 DEFINICIONES OPERACIONALES pg. 7	3 MARCO CONCEPTUAL pg. 9	4 RUBROS DE CADENAS DE VALOR pg. 12	5 CEREALES ANDINOS: CASO CADENA DE VALOR DE LA QUINUA pg. 15
	6 CADENAS DE VALOR DE CAFÉ Y CACAO pg. 22	7 FRUTAS Y HORTALIZAS: CASO CADENA DE VALOR DEL MANGO pg. 32	8 FORESTAL: CASO CADENA DE VALOR DE MUEBLES pg. 39	9 CADENA DE VALOR DE ECOTURISMO pg. 44	10 ANEXO: REFERENCIAS pg. 49



# Financing guides

**Objective.** to suggest to the involved of the banks in the decision making to grant credits, methodological criteria of evaluation of green credits based on certifications and environmental and social criteria for its granting and follow-up.



Medio Ambiente	Social
Recursos Hídricos	Seguridad laboral
Biodiversidad	Salud de los empleados
Energía y emisiones atmosféricas asociadas	Desarrollo local
Residuos y contaminación de suelos	Seguridad en los productos y responsabilidad con clientes

INTRODUCCIÓN Pág. 3	Objetivo y alcance de la guía Pág. 4	Financiamiento verde: orientación para los bancos Pág. 6	Evaluación para el otorgamiento de créditos verdes Pág.16	Seguimiento a créditos verdes Pág.38
	Referencias bibliográficas Pág. 47	ANEXO 01: Certificaciones Internacionales Pág. 48	ANEXO 02: Categorización de riesgo ambiental y social Pág. 82	ANEXO 03: Modelo de Declaración Jurada de Protección a la Sostenibilidad (DJPS) - Persona Jurídica Pág. 84

Certificación	Energía y Emisiones atmosféricas asociadas	Recursos hídricos y consumo de Agua	Residuos y contaminación de suelos	Biodiversidad y Conservación de los ecosistemas
<p>European Union Regulation for Organic Production (EEC)</p>	<ul style="list-style-type: none"> <li>• Uso responsable de la energía y de los recursos naturales</li> </ul>	<ul style="list-style-type: none"> <li>• Mantenimiento de la calidad del agua</li> </ul>	<ul style="list-style-type: none"> <li>• Mejora de suelos</li> </ul>	<ul style="list-style-type: none"> <li>• Mantenimiento de la biodiversidad</li> <li>• Mantenimiento del equilibrio ecológico regional</li> <li>• Restricción estricta de aditivos, químicos sintéticos</li> <li>• Prohibición del uso de organismos genéticamente modificados(GMOs)</li> </ul>

## Business plan guides

Diagnostic tool that allows to know the current state of assimilation of environmental and social considerations and complement a conventional business plan in a green business plan.

<b>PRESENTACIÓN</b> Pág. 3	<b>Certificado de sostenibilidad</b> Pág. 8	<b>Gobernanza y Planeamiento Estratégico</b> Pág. 44	<b>Plan de Operaciones</b> Pág. 48	<b>Plan de Marketing</b> Pág. 57	<b>Plan de Organización y Gestión Humana</b> Pág. 64
	<b>Plan Financiero</b> Pág. 75	<b>Resumen a presentar cuando solicite un crédito verde y no tiene certificaciones</b> Pág. 79	<b>Recomendaciones</b> Pág. 84	<b>Bibliografía</b> Pág. 86	<b>Anexos</b> Pág. 88





# 4. Technical Assistance for FI's

From two components:

## 1. Web App:

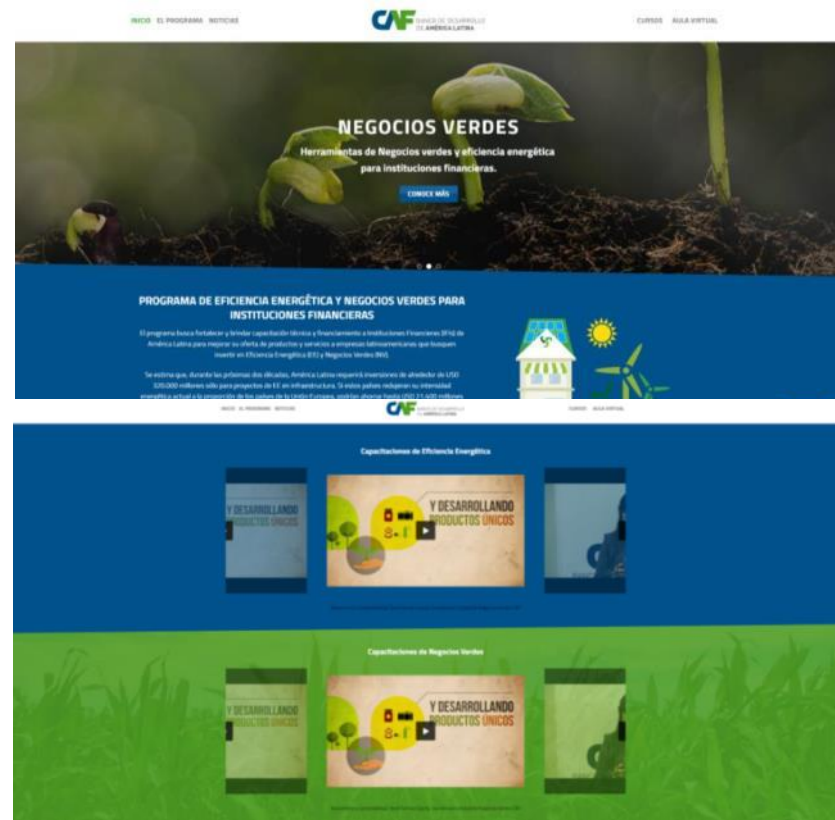
- Overall training through online course in GB and EE
- Tools for FI's control and evaluation
- Green Rating Methodology and tools

## 2. Trainings:

- 6 countries
- 18 banks
- 3000 people



<http://scioteca.caf.com/handle/123456789/1250>



>3500 People trained in ESRA & Sustainable Finance



Support for the creation of 2 national green protocols



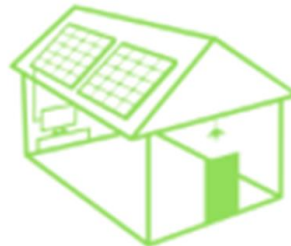
4 Diagnostic studies of Sustainable Finance in LAC's Financial Systems



>14 FIs adopted ESG practices



Green Products in 10 FIs



4 editions of the Award for sustainable finance in Latin America



## Strengthening alliances



## Support in capacity building and/or green financial products



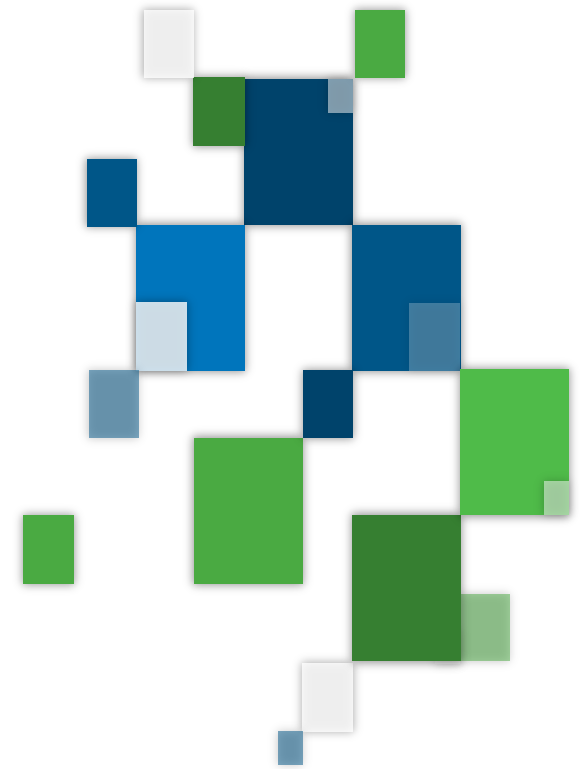


Gracias por su atención

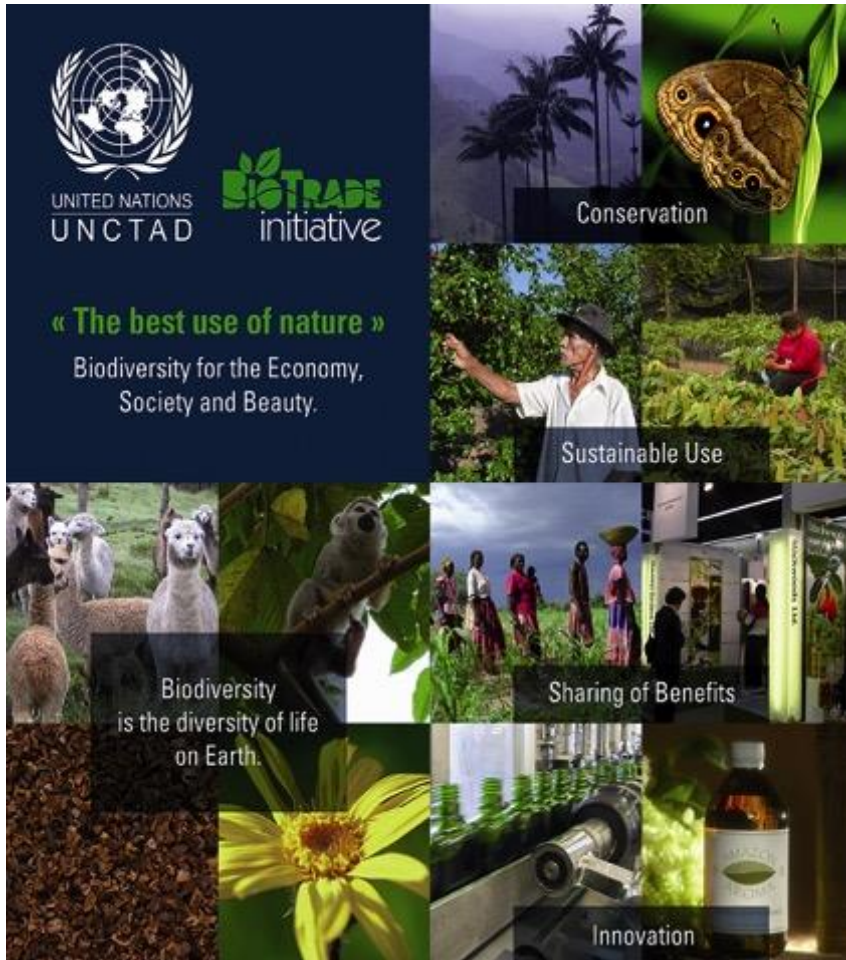
[Fvignati@caf.com](mailto:Fvignati@caf.com)

*Más información:*

[ENNVERDES@caf.com](mailto:ENNVERDES@caf.com)



**Más oportunidades, un mejor futuro.**



## Session III: WWF Impact Ventures and Triple Jump

Zamir Wiget, Head WWF Impact Ventures  
Sybille Borner, Senior Portfolio Manager WWF  
Nicolas Baumgartner, Advisor Triple Jump

# Session IV

---

## BioTrade under the Post 2020 Biodiversity Framework



# Process towards the development of the post-2020 global biodiversity framework

Bianca Brasil, CBD Secretariat

UNCTAD BioTrade Initiative

Second Stakeholder Steering Committee

7 May 2019



Convention on  
Biological Diversity

# Process towards post-2020 framework

- Global Biodiversity Framework – stepping stone towards the 2050 vision “ Living with harmony with nature”
- Supported by coherent, comprehensive and innovative communication strategy
- Invites Parties, other Governments and all relevant organizations and stakeholders
- Under the leadership of two co-chairs and overseen by the COP bureau
- OEWG, SBSTTA, SBI, and WG8J: to be mutually supportive
- Co-chairs: Mr. Francis Ogwal (Uganda) and Mr. Basile van Havre (Canada)
- Co-chairs to ensure coherence and complementarity with other international processes, in particular the 2030 Agenda for Sustainable Development

# Process towards post-2020 framework

## ➤ Overarching Principles

- Participatory
- Inclusive
- Gender responsive
- Transformative
- Comprehensive
- Catalytic
- Visible
- Knowledge-based
- Transparent
- Efficient
- Results-oriented
- Iterative
- Flexible

# Process towards post-2020 framework

## ➤ Consultation Process

- Online discussion – discussion document and inputs
- Regional workshops
- Thematic workshops
- Outcomes will be made publicly available
- Outcomes will be taken to open ended intersessional group



# Process towards post-2020 framework

## Main upcoming events

- Regional consultations (Jan - May 2019)
- Conventions workshop (10 – 12 Jun, Geneva)
- 1<sup>st</sup> meeting OEWG (27 – 30 Aug, Nairobi)
- 11<sup>th</sup> meeting WG article 8 (20 – 22 Nov, Montreal)
- 23<sup>rd</sup> SUBSTTA (25 – 29 Nov, Montreal)
- 24<sup>th</sup> SUBSTTA (18 – 22 May, Montreal)
- 3<sup>rd</sup> SBI (25 – 29 May, Montreal)
- 24<sup>th</sup> SUBSTTA (27 – 31 July, tbc)

# Process towards post-2020 framework

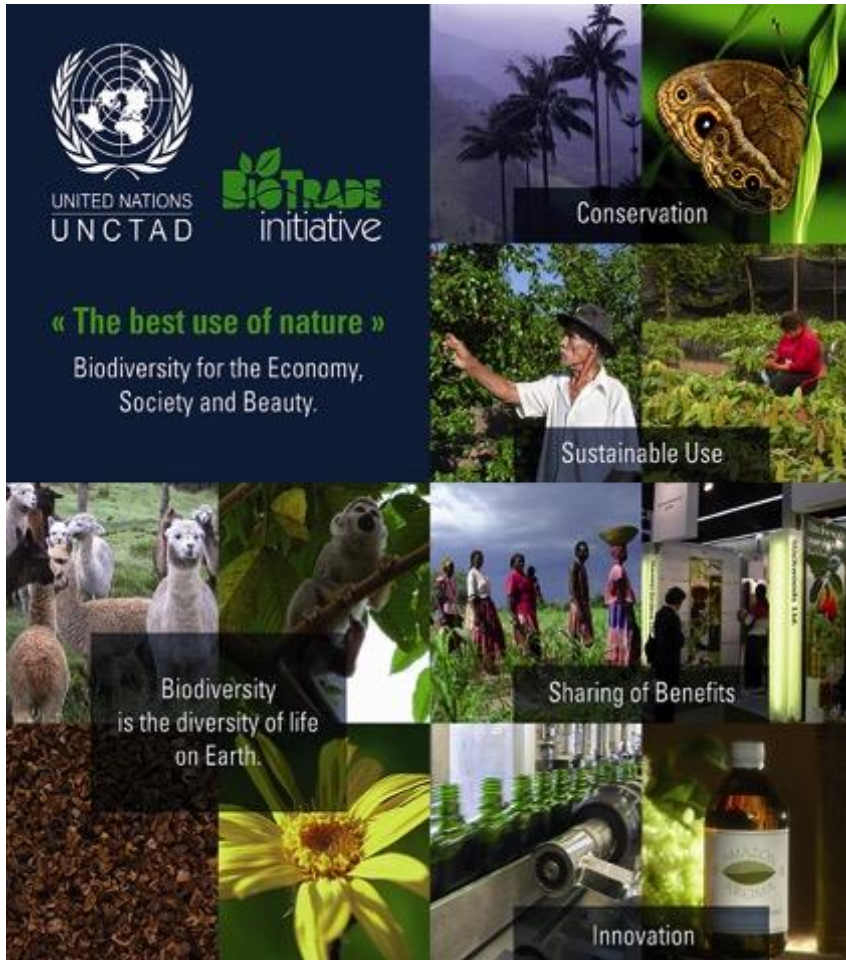
## **Action Agenda**

- Available online
- Commitments will be visible and public

<https://www.cbd.int/action-agenda/>

## **Post 2020 Framework**

<https://www.cbd.int/conferences/post2020>



## Session IV: BioTrade Contribution in the Post- 2020 Global Biodiversity Framework

Second Stakeholder Steering Committee Meeting  
Room XV, Palais des Nations,  
Geneva, 7 May 2019

Global BioTrade Programme - Linking Trade,  
Biodiversity and Sustainable Development

## Overview

- The rationale for a BioTrade contribution
- Considerations on content:
  - Main elements
  - Possible entry points
  - Indicators
- Strategic considerations on process:
  - Participation in the consultation process
  - Engaging with policy makers
  - Outreach to the broader community
- Next steps



## The rationale for a BioTrade contribution to the Post 2020 Process

- **Shape the direction of policies and interventions;**
- **An opportunity to mainstream BioTrade and give it global recognition;**
- **Fill a gap in current framework;**
- **Strengthen government and donor support;**
- **Foster interest of global financing facilities;**



## Considerations on content

### Overall objective:

References to / endorsement of BioTrade through a specific target supported by objective monitoring indicators

### A few pragmatic considerations:

- Build on Aichi and SDGs with only limited “tinkering”



Identify relevant existing targets (Aichi or SDG) as possible “entry points”

- First mover disadvantage



Focus on building the case (as opposed to drafting language) and develop it with key delegations

- The risk of a push back on trade



Use BioTrade definition instead: *“the collection, production, transformation, and commercialization of goods and services derived from native biodiversity under the criteria of environmental, social and economic sustainability”*.

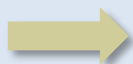


## Possible “entry points”

### Topics

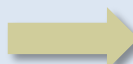
### Example of existing targets

Incentive  
measures



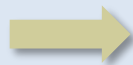
- Aichi target 3: Positive incentives

Sustainable  
use



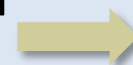
- Aichi target 16 : Nagoya
- SDG 15.6 : Fair and equitable benefit sharing
- Aichi target 4: Sustainable production and consumption

Means of  
implementation



- Aichi target 20: Financial resources

Private sector  
engagement



- SDG 12.6: Companies adopt sustainable practices





# Possible Target and Indicators

## Possible target:

- Example building on Aichi target 4 (sustainable use)
  - By 2030, at the latest, Governments, business and stakeholders at all levels have taken steps to achieve or have implemented plans for sustainable production and consumption, *including through the collection, production, transformation, and commercialization of goods and services derived from native biodiversity under criteria of environmental, social and economic sustainability* [...].

## Indicators of progress:

- The challenge of using certification schemes as indicator:
  - A fragmented landscape, with over 400 VSS;
  - Measuring different things and of different quality;
  - Using private schemes may prompt push back by some delegations.
- Alternative approach: governments to report on the steps they have taken and plans they have implemented;
- Use national reports and updated NBSAP;
- Encourage reporting by private sector.



# National Support for BioTrade Sectors and Statistics/Data Reporting : 2 Best-practice examples

## Promperu (Peru)

### National Support for BioTrade Sectors

- \* Inter-institutional partnership to implement Peru National BioTrade Promotion Programme (NBPP).
- \* Assistance to productive companies and organizations for the development of projects and activities fulfilling BioTrade principles and criteria.
- \* Focus on: (i) Development of a competitive supply (ii) Promotion and Market Access (iii) Fostering Research and Innovation (iv) Political Advocacy and Institutional capacity-building.

### Statistics and Data reporting

- \* Identification of key species, priority products and HS codes (national tariff lines).
- \* Sales data for NBPP BioTrade initiatives

## MADS (Colombia)

### National Support for BioTrade Sectors

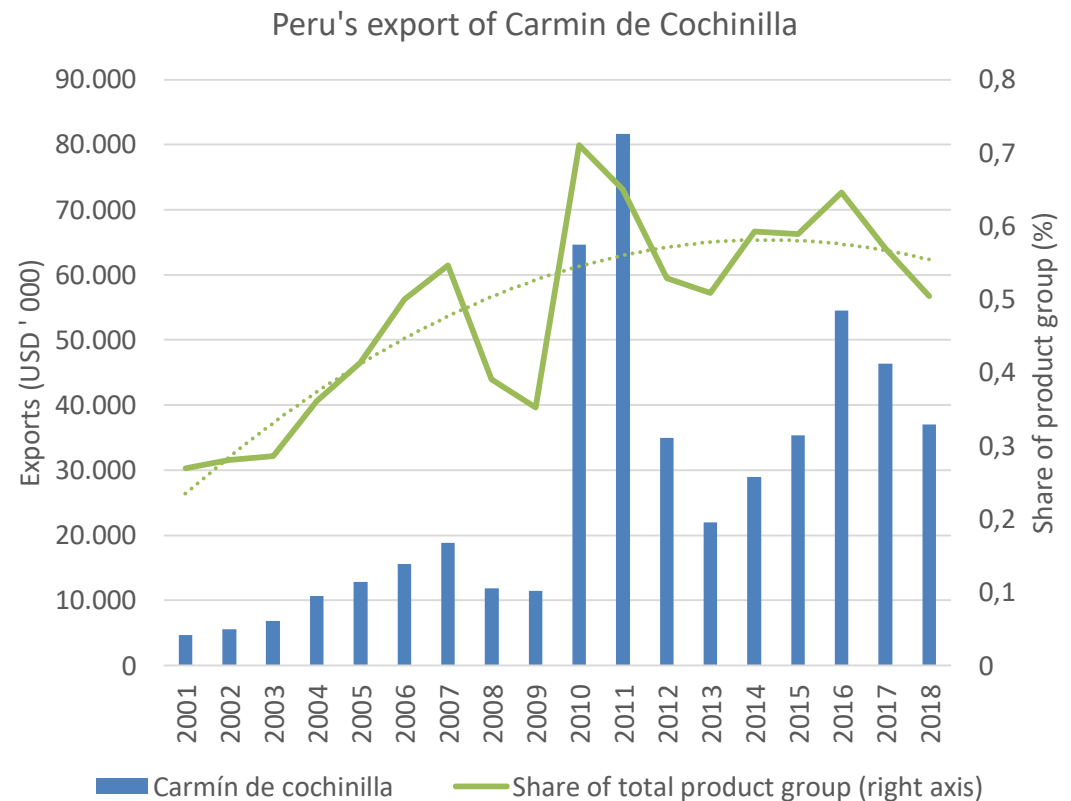
- \* *Programa de Generación de Negocios Verdes*-assisting green (including BioTrade) firms towards business strengthening, marketing assistance and entrepreneurship development
- \* Diagnosis of compliance of enterprises with various SDGs.
- \* Verification and evaluation guide of green business criteria developed by MADS with the support of GIZ

### Statistics and Data reporting

- \* Data on annual sales of green enterprises
- \* Data on employment (including women employed) and no: of families benefited
- \* Data on percentage of economic, social and environmental targets realized

## Examples of possible statistical information to be produced based on government reporting

- Export values
- Sales
- Employment
- Livelihood
- Share of production VSS certified
- Growth in VSS certification
- Trade in CITES species
- Trade in biodiversity-based services (eco-tourism)



## Considerations on process: a four stream approach

1. Supporting material to build the case.

Next step: Submission on revised text to be issued by CBDS.

2. Participating in the consultation process and open-ended working group.

Next step: Consultations with GRULAC and with Biodiv. Conventions.

3. Engaging with selected delegations to refine the approach and build a coalition of countries championing the idea.

Next step: Informal meeting at the margin of Trondheim.

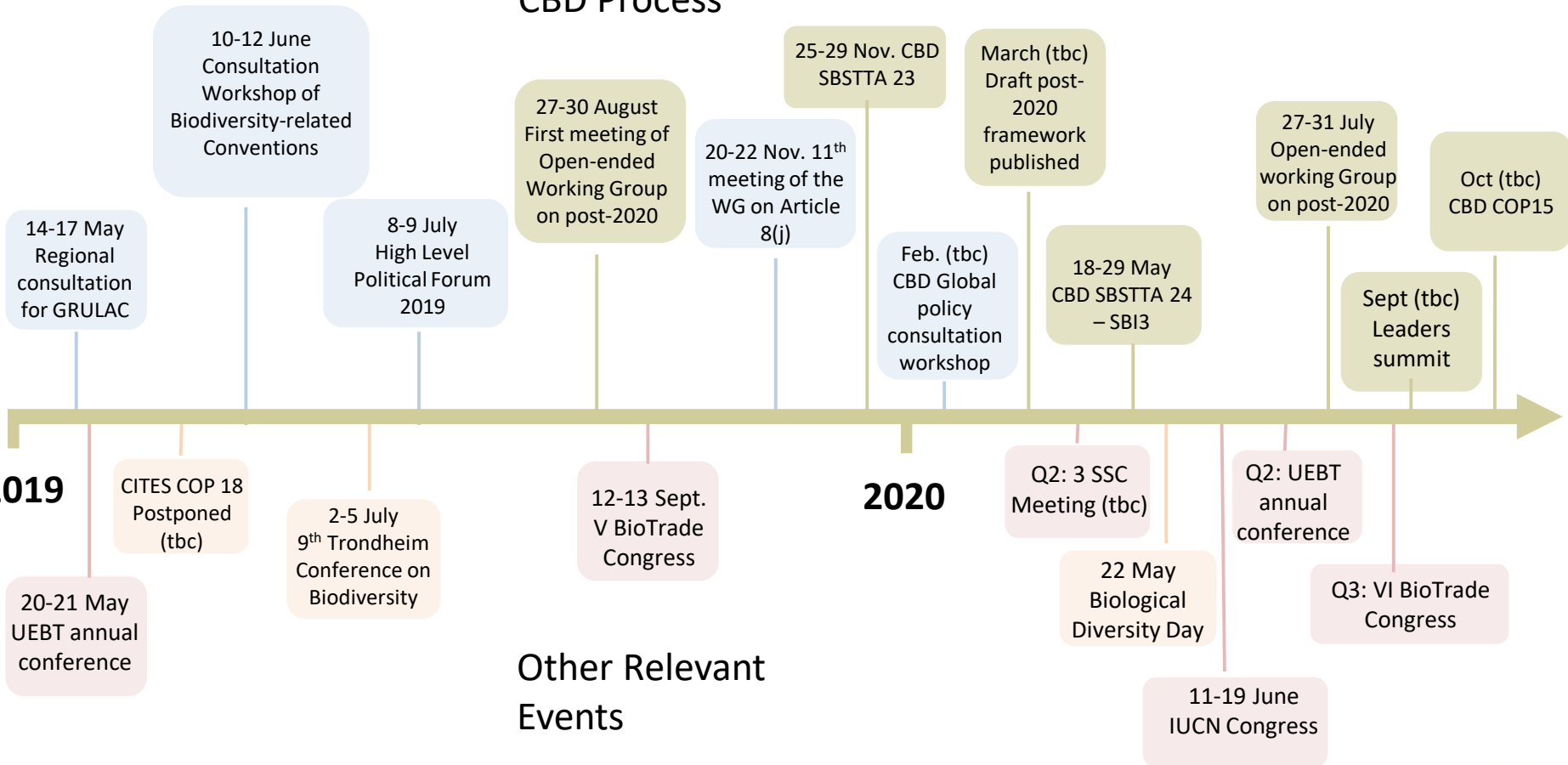
4. Outreach to the broader BioTrade community at key events.

Next Step: UEBT annual conf., BioTrade Congress.



# Timeline of Key Events in 2019-2020

## CBD Process



# Next Steps and Emerging Issues

---



# Closing Remarks

**Christian Robin**

**Lucas Assunção**



# Thank you!

*All presentations and notes shared will be available at*

*<http://www.unctad.org/biotrade>*

