

Ad Hoc Expert Meeting on

**Climate Change Adaptation for
International Transport:
Preparing for the Future**

16 to 17 April 2019

**Evidence based approach to holistic risk
analysis**

Presentation by

Simon Hodgkinson
Research Fellow
University of Birmingham

Evidence based approach to holistic risk analysis

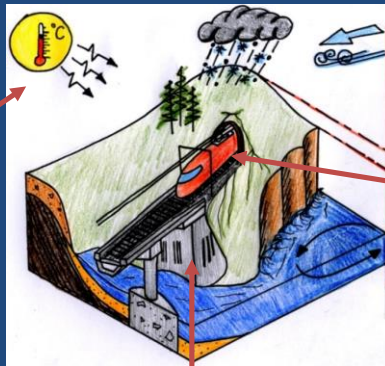
Simon Hodgkinson

University of Birmingham

Risk = f(Hazard, Vulnerability, Consequence)

Hazardous
Weather

e.g. extreme
heat

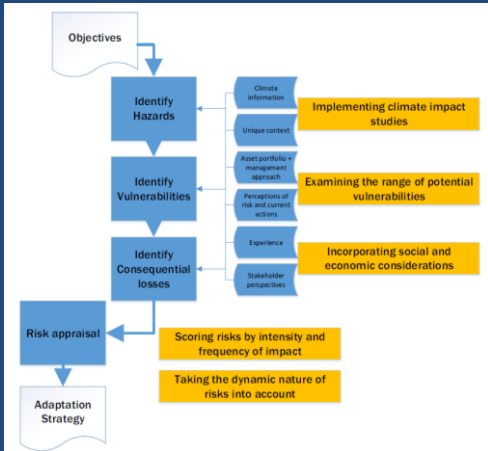


Consequence of
Failure

e.g. organisation,
users or
stakeholders

Vulnerable
Elements

e.g. assets and
services



- How is the **UK climate and weather going to change** in the future?
- What are the **impacts** of climate change and extreme weather going to be on the GB railway?
- What is **being done already** or **can be done** about the impacts of climate change and extreme weather?
- How can we **evaluate the cost and benefits** of dealing with impacts of climate change and extreme weather?

Weather Observations



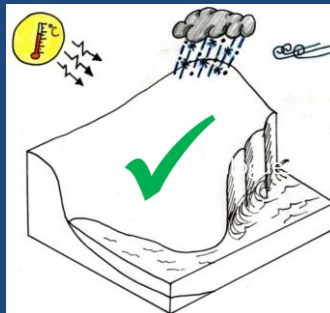
IoT Sensors for Infrastructure



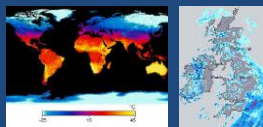
Crowdsourcing



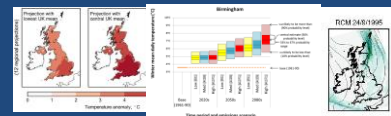
Hazards



Remote Sensing



Probabilistic projected changes and modelling e.g. UKCP09/18, ProCliPs



Technical Documents e.g. IPCC AR5

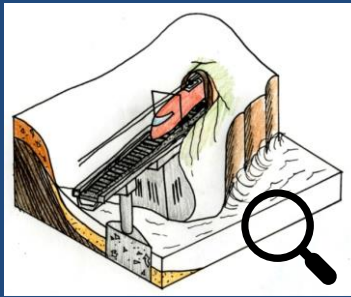
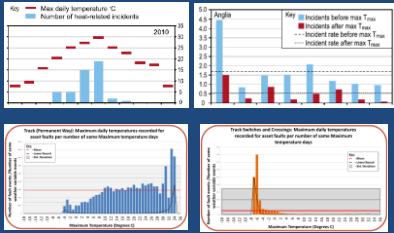


Climate Analogues and Experience



Vulnerability

Failure Rates/Probabilities



International Analogues



Remote Condition Monitoring



Vulnerability Tools



Risk Profiles



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Consequence

What is the purpose of a railway?



Who are the users and stakeholders?

- Passenger / Freight mobility
- Economic and Social activity
- Environmental mitigation (modal shift)
- It provides a service...
- ...which can sometimes fail (risk)
- Investment / maintenance / renewal / repair activities are justified by mitigating risk



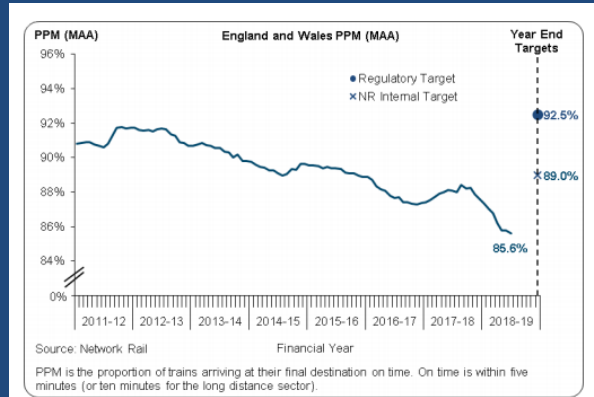
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Key metrics in modern rail

- Public Performance Measure (PPM)
- % of trains arriving "On Time"
- Trains are punctual if they are ≤ 5 mins late for short-distance and ≤ 10 mins late for long-distance



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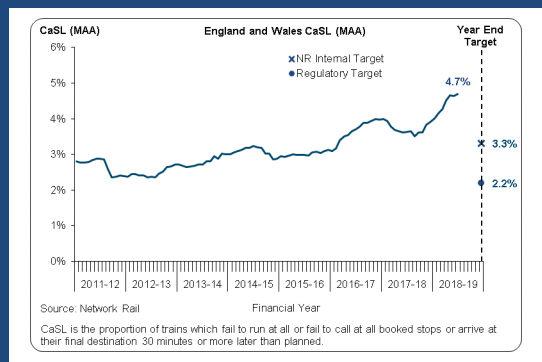
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Key metrics in modern rail

- Cancellations and Significant Lateness (CaSL)
- % of trains which arrive at final destination > 30 mins from planned arrival, or full/part cancelled or missed calls



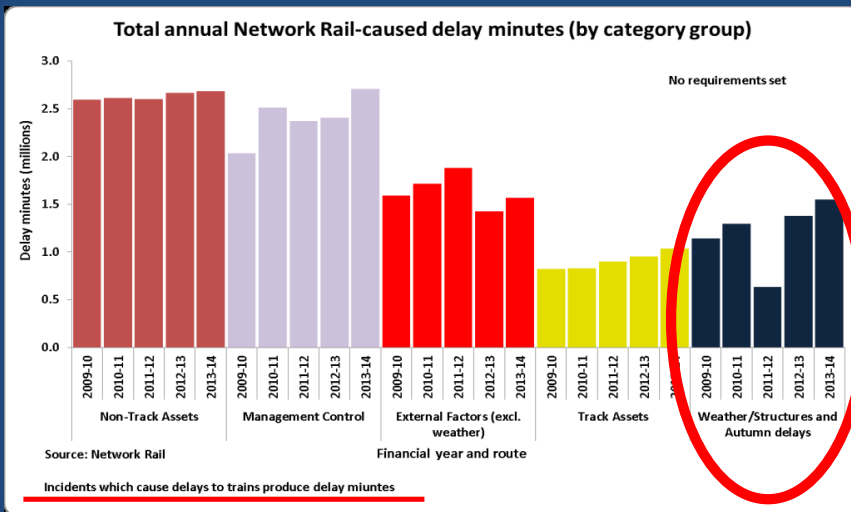
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Delay Minutes



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Life can only be understood backwards...

- Delay minutes (and thus PPM/CaSL)
 - Excludes 'severe' weather
 - “no reasonable or viable economic mitigation was possible against the impact of the weather” (DAB 2014)
 - Calculated versus a daily timetable
- Poor links to infrastructure condition or cause
- Therefore not a basis on which to make adaptation (i.e. investment) decisions
- “Past performance is not indicative of future results”



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...but it must be lived forwards

Soren Kierkegaard

- What is required:
 - basis for adaptation decision making
 - scalability across the industry
 - local identification of 'critical'
 - strategic choices about service
 - next week to next century
 - inclusive of different/external stakeholders and interdependencies...



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Identifying risks to improve resilience

- **Unplanned events** (eg. extreme weather) and asset **breakdown** may disrupt **network operations** and impact **service levels**
- Twin challenge of day-to-day **incident response & maintenance** along with long-term **upgrades/renewals**
- With **finite resources** there is a need for efficient **resource allocation** to **prioritise** interventions/actions

Allocating resources to high risk locations promotes:

✓ Value-for-money

✓ Focused risk management = Improved resilience



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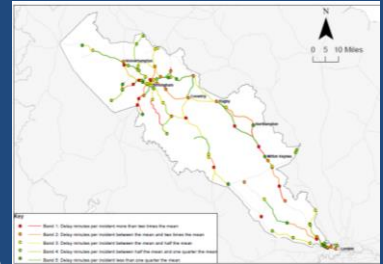
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Network Criticality

- Identify location-based **single points of failure** – formalise **priority locations** with high **service performance risk** to aid **decision-making** for resource allocation
- **Regional-scale** assessment – relative priorities for management regions at high level of **granularity**
- Based on **observed fault/disruption data** – captures network **behaviour** (NR TRUST system) through metrics of **asset failure consequence** as delay minutes



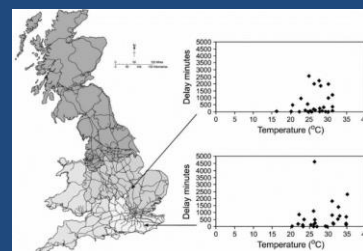
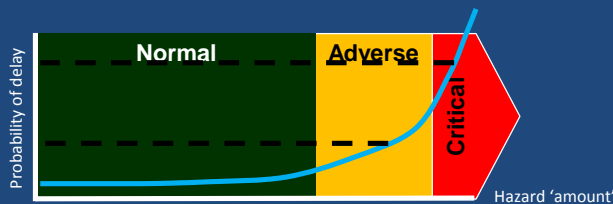
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Infrastructure Service Availability

- In this case ‘journey (un)availability’
- Probability that a given ‘element’ of the system will cause N minutes delay
 - 2 minutes / 5 minutes / 20 minutes
 - May be stakeholder specific



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...it is the journey that matters

Ernest Hemingway

- Individual JA/criticality for 'elements' can be quantified
 - specific high-vulnerability elements identified and targeted for adaptation in a consistent way
- The vulnerability of a route can be quantified
 - combination of elements along that route
 - for a typical 'basket' of hazards ('stress-testing')
- The vulnerability of regions, or nationally
 - aggregation of routes
 - weighted by passenger- or service-numbers
 - weighting factors for different stakeholders
 - Infrastructure only
 - Rolling-stock, staffing, stations etc.



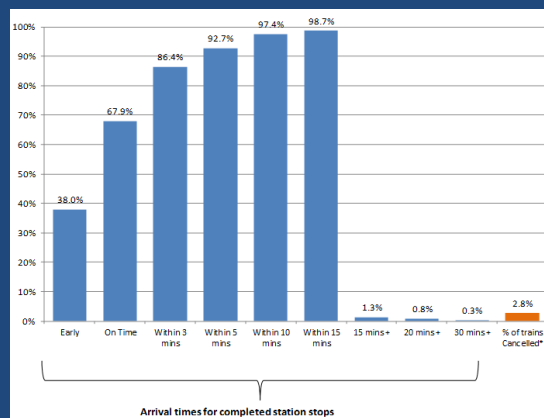
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Network Rail CP6 Metrics

- Station to station
- Minute to minute
- "Whole journey" focus
- % of trains arriving to the minute at every station from 'early' through to '30 minutes after' the timetable
- On Time - % of station stops where train arrived <1min late
- Cancellations - % trains cancelled



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The future depends on what you do today

Mahatma Gandhi

- Poor assumptions:
 - Engineers are representative of general public
 - The future will be just like today (but with extra widgets)
- Future patterns of service use, climate, maintenance, adaptation measures and/or new services / infrastructure can be evaluated with system modelling



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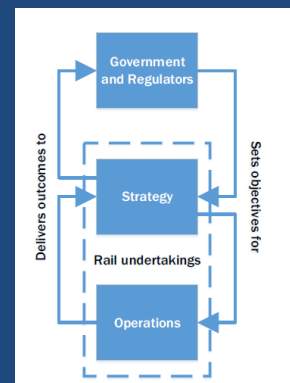
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Recommendations

- Identifying failure pathways (from root cause, to failure, to consequence)
- Multiple perspectives/stakeholders (organisations, passengers, governance)
- System-wide – elements beyond infrastructure (people and operations)
- Role of sensing/IoT – observe weather/hazards and asset condition?
- Data and information sharing/requirements? (asset location and condition information)
- Develop new/standardise metrics – role of regulators? e.g. ORR
- End to end journeys across modes and interdependencies
- What is the best for system users/passengers?



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The University's research programme contributes to improving Britain's railways now and into the future.

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