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## Note

Within the UNCTAD Division on Technology and Logistics, the Technology and Innovation Policy Research Section carries out policy-oriented analytical work on the impact of innovation and new and emerging technologies on sustainable development, with a particular focus on the opportunities and challenges for developing countries. It is responsible for this study, which seeks to address issues in science, technology and innovation that are topical and important for developing countries, and to do so in a comprehensive way with an emphasis on policy-relevant analysis and conclusions. The Technology and Innovation Policy Research Section supports the integration of STI in national development strategies and in building up STI policy-making capacity in developing countries.

In this study, the terms country/economy refer, as appropriate, to territories or areas. The designations of country groups are intended solely for statistical or analytical convenience and do not necessarily express a judgement about the stage of development reached by a particular country or area in the development process. Unless otherwise indicated, the major country groupings used in this report follow the classification of the United Nations Statistical Office. These are:

Developed countries: the member countries of the Organisation for Economic Co-operation and Development (OECD) (other than Chile, Colombia, Costa Rica, Mexico, the Republic of Korea and Türkiye), plus the European Union member countries that are not OECD members (Bulgaria, Croatia, Cyprus, Lithuania, Malta and Romania), plus Andorra, Liechtenstein, Monaco and San Marino. Countries with economies in transition refer to those of South-East Europe and the Commonwealth of Independent States. Developing economies, in general, are all the economies that are not specified above. For statistical purposes, the data for China do not include those for the Hong Kong Special Administrative Region of China (Hong Kong, China), Macao Special Administrative Region of China (Macao, China) or Taiwan Province of China. An Excel file with the main country groupings used can be downloaded from UNCTADstat at: http://unctadstat.unctad.org/EN/Classifications.html.

References to sub-Saharan Africa include South Africa unless otherwise indicated.

References in the text to the United States are to the United States of America and those to the United Kingdom are to the United Kingdom of Great Britain and Northern Ireland.

The term "dollar" (\$) refers to United States dollar, unless otherwise stated.

Decimals and percentages do not necessarily add up to totals because of rounding.



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#### I. Introduction

The growing complexity and rapid evolution of new technologies underscore the need for global collaboration in Science, Technology, and Innovation (STI) to address pressing global challenges and advance the Sustainable Development Goals.

Scientific research increasingly takes place among global teams of researchers, creating networks that extend beyond national institutions and single disciplines (Wagner et al., 2015; UNCTAD, 2018). The growing complexity of new technologies, their fast pace of change, and the massive transformation unleashed by recent waves of innovation highlight the urgency of a collaborative approach to Science, Technology and Innovation (STI).

Indeed, in view of the scale of global challenges and the great potential of STI to deliver responses to them, global cooperation in STI is key to achieving the international community's commitment to leave no one behind. As highlighted by the 17th Sustainable Development Goal (SDG),

global partnerships, especially around STI, are important vehicles for mobilizing and optimizing resources available among governments, businesses, academia, civil societies and other stakeholders to ensure progress and long-term sustainability in all countries, particularly developing ones (United Nations, 2023a). Specifically, SDG 17 stresses the importance of knowledge sharing through North-South, South-South and international cooperation in STI, as well as the development, transfer, dissemination and diffusion of environmentally sound technologies to developing countries on favourable terms and the enhanced use of enabling technologies like information and communication technologies.

Global cooperation in STI is key to achieving the international community's commitment to leave no one behind.

Nowadays, the benefits of frontier technologies are not distributed equally among developed and developing countries, and the gap is even widening (UNCTAD, 2023a).¹ Developed countries are seizing most of the opportunities of the technological revolution unleashed by Industry 4.0, Artificial Intelligence (AI) and green technologies, putting developing countries at risk of being left behind as happened in previous waves of technological innovation.

Strengthening the national capacities of developing countries in STI is therefore integral to achieving the 2030 Agenda for Sustainable Development that conforms to the international community's roadmap for a prosperous and sustainable future for all. Responding to such an inclusive agenda will require collaborative efforts to accelerate the development of the national innovation systems (NIS) of countries where these are still emerging so that truly global STI networks can thrive and deliver results.

The international community has a crucial role to play in supporting developing countries to strengthen national capacities in STI and to facilitate their participation in global science and technology networks, which are currently dominated by a few strongly interconnected organizations (Ribeiro et al., 2018). These efforts would help strengthen the national innovation systems of developing countries and guarantee that the global research and innovation agenda meets their interests and needs.

In this regard, this paper explores ways to improve STI cooperation at the global and regional levels to scale up the impact of existing experiences on key development challenges.

The rest of the paper is structured as follows. Section II provides a brief overview of the four key elements for STI development that serves as a framework for a more in-depth analysis of international STI collaboration. Section III examines the status of global STI cooperation under each of the four elements for STI development, focusing on research and development (R&D) that is crucial for the use, adoption and adaptation of technologies and innovations. It discusses collaboration mechanisms, lessons learned and good practices of different initiatives, as a background to formulate recommendations on how to strengthen global cooperation in STI for sustainable development. Section IV reviews the contribution of the CSTD in facilitating global STI cooperation and highlights its role in coordinating and imparting directionality to international STI collaboration. Section V concludes and provides recommendations for the consideration of Member States, the international community and the CSTD.

The UNCTAD's Technology and Innovation Report 2023 examines 17 frontier technologies, defined as new and rapidly developing technologies that take advantage of digitalization and connectivity, which are divided into three broad categories: (i) Industry 4.0 frontier technologies which include artificial intelligence (Al), Internet of Things (IoT), big data, blockchain, 5G, 3D printing, robotics and drone technology, (ii) green frontier technologies which encompass solar photovoltaics, concentrated solar power, biofuels, biogas and biomass, wind energy, green hydrogen and electric vehicles, and (iii) other frontier technologies which comprehend nanotechnology and gene editing.



# II. Key elements for STI development

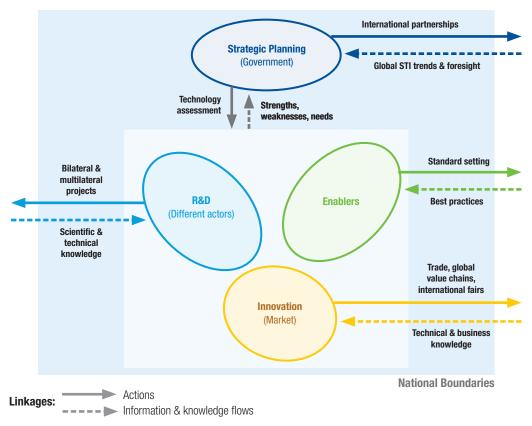
The national innovation system (NIS) approach helps capturing the complex factors shaping a country's innovation capabilities, and can help highlighting cross-border connections and knowledge flows along 4 key elements: strategic planning, STI enablers, R&D and innovation.

The national innovation system (NIS) approach has long represented a framework to conceptualize and identify the many factors contributing to the determination of the innovative capabilities of a country. Given the increasing interconnectedness of STI activities at the global level, it is important to consider the NIS approach from a global perspective, emphasizing

the needs, capacities and interconnections beyond national actors. This paper focuses on four key elements characterizing the STI system and its development; these elements allow us to highlight the connections and knowledge flows that cross national boundaries (Figure 1).



Figure 1
International linkages for the key elements of a national innovation system



Note: The figure is not meant to provide exhaustive coverage of all the interactions and actors of a national innovation system but to highlight the main components and their external relationships.

Source: UNCTAD.

A thoughtful consideration of the strengths and weaknesses of the national innovation system with respect to the global trends in STI will contribute to setting the foundations of successful strategic planning.

The dynamic interactions among the four key elements and the stakeholders involved require constant feedback and revision according to the state of the science, technology and innovation landscape.

The government is part of the wider setting that refers to the institutions contributing to competence building and shaping human interactions in STI (Lundvall, 2016). In particular, the overall direction can be formulated through strategic planning accompanied by the necessary instruments, such as frameworks, policies, guidelines, standards and regulations. For example, the "Ghana STI for SDGs Roadmap" defines vision, targets, strategies, roles and responsibilities, as well as monitoring and evaluation systems to accelerate the achievement of the SDGs prioritized by the Government (Ministry of Environment, Science, Technology and Innovation,

Ghana, 2022). A thoughtful consideration of the strengths and weaknesses of the national innovation system with respect to the global trends in STI will contribute to setting the foundations of successful strategic planning. For example, the recent STIP review of Angola maps and benchmarks the innovation ecosystem, including entrepreneurship and new digital technologies, by combining data analysis and interviews with representatives of the Government, the private sector, academia and specialized institutions (UNCTAD, 2023b). A solid foundation of strategic planning is based on a comprehensive overview of the state and needs of the STI elements, which include tangible (physical and digital) and intangible (human and knowledge) resources.

In this respect, it is useful to distinguish *R&D* (closely linked to science and technology) from *Innovation*. The former can be mapped into the concept of invention, which is the result of different economic and social processes with respect to innovation (Schumpeter, 1939). An invention can be defined as a unique or novel device, method, composition, idea or process; as such, it is associated with an act of creation. Innovation, instead, is the practical implementation of ideas that result in the introduction of new goods or services in the market or improvements of their offering; as such it is associated with commercialization.

R&D includes basic and applied research. as well as experimental or incremental development and can be performed by universities, research institutions or firms. Innovation is mainly performed by firms, and it is related to goods and services, production processes, marketing strategies and the overall organization of businesses. Innovation is affected by a country's strength in science and technology, but it also depends on the industrial and organizational context in which firms are inserted (Morrison and Pietrobelli, 2007). The core of the innovation system is represented by firms and knowledge infrastructures, and it is crucial to support the firms' potential for developing, absorbing or using new technologies (Lundvall, 2016).

In the following subsections, the four key elements for STI development will be discussed in more detail to highlight their specificities in terms of processes and interactions internal and external to the NIS. This will set the ground to review and interpret the status of international STI cooperation presented in Section III.

#### A. Strategic Planning

Setting specific and achievable goals is the first step towards success. National strategies to meet the SDGs can be set through multi-year plans, which should include STI as a driver of change. A well-informed planning for STI requires at least two components: *i)* an understanding of the global STI trends; and *ii)* a clear overview of the country's strengths and weaknesses in STI.

In recent years, there has been a multiplication of technological foresight exercises aiming to look into the longerterm future of STI. These exercises require resources and knowledge about frontier technologies from scientific, technological and societal points of view. Often, small and less developed countries do not have the necessary critical mass to engage in these types of exercises and may find it difficult to understand the implications of the multiple existing assessments. Coordinated efforts at the international level can make sense of the different forecasting and foresight exercises to support the strategic planning of less advanced countries.

Moreover, the accelerated technological change makes it particularly challenging to keep up with the latest and upcoming technological developments. For example, the astonishing pace of development and application of generative AI in creating new content, including text, image, audio and video, raises concerns about the spread of misinformation, intellectual property rights (IPRs) infringement, data privacy violation and amplification of existing bias (UNCTAD, 2023c). The international dimension of these challenges calls for quick responses to deal with complex matters that may necessitate the development of specific normative and/or institutional frameworks. This requires a shared understanding of the implications of current technological change to build consensus on a common vision that reflects the needs and aspirations of all countries. Global cooperation supporting the sharing of best practices and lessons learned, as well as the results of technological foresight exercises plays a key role in this regard (Box 1).



#### Box 1

#### Cooperation in technology foresight for assessment

Technology foresight provides a framework to identify and assess challenges and opportunities related to new technologies and support policymakers and stakeholders in the implementation of the 2030 agenda for sustainable development.

During the 19<sup>th</sup> Annual session of the CSTD (2016), it was highlighted the importance of undertaking systemic research on new trends in science, technology and innovation, as well as in information and communications technologies and their impact on development.

In particular, among other points, it was recommended to:

- undertake strategic foresight initiatives on global and regional challenges at regular intervals and cooperate towards the establishment of a mapping system to review and share technology foresight outcomes making use of existing regional mechanisms, and in collaboration with relevant stakeholders;
- use strategic foresight as a process to encourage structured debate among all stakeholders towards creating a shared understanding of long-term issues and building consensus on future policies; and
- conduct (technology) assessments of national innovation systems, drawing from foresight exercises, at regular intervals, to identify weaknesses of the systems and make effective policy interventions to strengthen their weaker components, and share outcomes with other Member States.

Source: CSTD 19th Annual Session Resolution on Science, technology and innovation for development.

Technology assessment exercises, drawing from international experiences, help policymakers and stakeholders identify specific challenges and opportunities for a country, which are strongly related to the state of the NIS. A whole-of-government approach is essential to ensure that new STI instruments and targets are tailored to the strengths and weaknesses of the country and aligned with existing actions in other domains, including at least energy, industry and education<sup>2</sup> to leverage complementarities across different policy spheres.

#### **B. STI Enablers**

Every technological revolution has set higher requirements for the infrastructures supporting the functioning of the economy.

Nowadays, it is no longer only about the provision of stable and affordable electricity or functioning transport and mobile networks; the current economic paradigm requires affordable internet connection and high standards of bandwidth and latency (International Telecommunication Union, 2022). The diffusion of digital technologies and AI is blurring the boundary between the physical and digital worlds, and their integration into science, technology, and production will strongly depend on supportive infrastructure.

For instance, research shows that the distance in the stringency of environmental policy between countries hinders the intensity of technological collaborations in energy-related technologies (Corrocher and Mancusi, 2021).

Moreover, intangible (human and knowledge) resources are even more crucial in today's economy (Corrado et al., 2009). Competencies and skills are needed at all levels, from those required to use new applications and products to those needed to develop new technologies or adapt imported ones to better fit specific needs and conditions. The digital revolution makes mastering STEM<sup>3</sup> skills (from mathematics and statistics to coding and data analytics) crucial to empower the workforce to adapt to technological advances (UNCTAD, 2018). The competencies needed vary across sectors, countries and levels of industrial development, thus educational policies should be calibrated according to countries' readiness to engage and benefit from STI development.4 In terms of human capital, the skills necessary to adapt to hybrid work environments (e.g., on-site and remote workers and contractors) often require multiple expertise, such as interpersonal communication, collaboration and emotional intelligence (Marr, 2022; WEF, 2016).

Importantly, the lack of appropriate skill sets in government directly results in insufficient representation of technical and analytical expertise in legislative and regulatory framework development processes (UNCTAD, 2021c), which may limit the capability to effectively design and implement STI policy. This further stresses the problem of how to support the development of competencies within developing countries and the importance of triggering an upgrading process at all levels of education. The strengthening of the knowledge base will also provide countries with the human resources to participate in and profit from international STI cooperation.<sup>5</sup> Financial and technical assistance are crucial to support the development of an enabling environment for STI and the international community plays an active role in supporting capacity building in developing countries, in particular for disadvantaged groups. Moreover, the

set-up of formal and informal collaborations strengthening the participation of developing countries in international STI networks would facilitate knowledge flows and could be even more functional for the development of their STI capacities.

## C. Research and Development

R&D is characterized by a high degree of uncertainty about the results of a given endeavour and by a long-term horizon. Funding and expertise are the two major challenges for R&D.

R&D involves a dynamic interaction among different stakeholders. It comprises creative and systematic work undertaken to increase the stock of knowledge and to devise new applications of available knowledge (OECD, 2015). Basic research often creates the foundation for future technical applications. For example, the theory of relativity has led to the development of the Global Positioning System. However, the relationship between science and technology is not unidirectional and the two co-evolve. For instance, the development of the electron microscope allowed the exploration of the structure of tissues at a level of detail never observed before, contributing to new advancements in biological sciences.

R&D is characterized by a high degree of uncertainty about the results of a given endeavour and by a long-term horizon.

Moreover, science and technology typically follow a cumulative process and tend to become increasingly complex and require large investments to stay at the frontier.

Undertaking basic research is essential to develop a critical mass of actors with STI skills that enable interactions with international counterparts. These actors can facilitate the identification of new scientific methods and technologies developed abroad and their acquisition, adaptation and diffusion at the national level.

Intangible (human and knowledge) resources are even more crucial in today's economy.



<sup>&</sup>lt;sup>3</sup> STEM stands for Science, Technology, Engineering and Mathematics.

<sup>&</sup>lt;sup>4</sup> Contribution from the Governments of Brazil and Türkiye and UNWTO.

<sup>&</sup>lt;sup>5</sup> Contribution from the Government of Cameroon.

Funding and expertise are the two major challenges for R&D.6 For this reason large companies and highly specialized firms in high-tech sectors are the ones, among private actors, that traditionally invest in R&D. The private sector tends to focus on applied research to create profitable products and services relying on specific industry knowledge. Basic research without specific commercial applications is instead largely funded by governments and is conducted mainly by universities and research institutions. In both cases, competing at the technological frontier requires significant accumulation of human and financial capital, practical experience and critical mass that takes place over time horizons that, in the absence of more intense international collaboration, are too distant to be relevant to the global challenges confronting the world.

The average
R&D investment
of low middleincome
countries is
about 0.53
per cent of
their GDP, a
figure much
lower than the
2.63 per cent
representing the
world average.

R&D challenges are more evident in developing countries. The average R&D investment of low middle-income countries is about 0.53 per cent of their GDP, a figure much lower than the 2.63 per cent representing the world average (UNCTAD, 2023a). Many developing countries will unlikely close this gap without external support. Therefore, it appears crucial that the international community provides financial and technical support to developing countries for the strengthening of their R&D capacities. The increased support to STI can be coupled with a collaborative design to promote inclusion in the international research network and contribute providing the critical mass that many countries are not able to build internally.

While science is traditionally associated with scientific publications, the result of R&D activities often leads to new technologies with potential industrial applications typically protected by intellectual property rights. Figure 2 reports the number of patents by income country group and the share of patents filed by resident and non-resident actors. Apart from the low number of patent applications, in low and lowermidd le-income countries the majority of patents are filed by foreign companies. In low-income countries, more than twothirds of patents are filed by foreign actors, highlighting the weaknesses of national actors in developing patentable inventions.

Large and innovative companies, both national and foreign, can be leveraged for projects aiming at closing the gap between universities and research institutions and the market. For example. Microsoft Research India's Center for Societal Impact through Cloud and Artificial Intelligence (SCAI) is focused on developing technologies with potential large-scale impacts on society, establishing collaborations with academic groups, startups, NGOs and other organizations. Collaborations can originate from own research projects that are deployed at scale with external collaborators, or with external collaborators to identify and develop solutions for problems.7 This kind of partnerships can facilitate technological transfer and provide support to accelerate the uptake and development of new technological solutions.

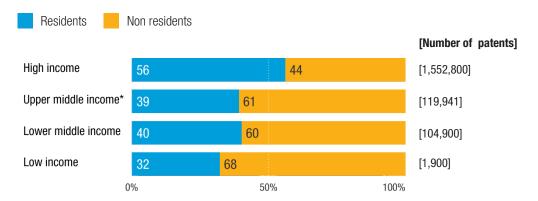
<sup>&</sup>lt;sup>6</sup> Contribution from the Governments of Brazil, Burundi, China, Cuba, Ecuador, Peru, Türkiye and United Republic of Tanzania. Funding constraint is a particular serious problem in the Global South. Low investment in implementing programs and projects in STI development is due to the fact that investment in STI does not give immediate results and profit and thus less attractive to investors. Also, it was noted that different countries and institutions have different ways to finance and organize research projects, and their budget cycle do not always align, making research collaboration difficult.

<sup>&</sup>lt;sup>7</sup> For more information, see: <a href="https://www.microsoft.com/en-us/research/collaboration/scai/">https://www.microsoft.com/en-us/research/collaboration/scai/</a>



#### Figure 2

## Patents filed by residents vs. non-residents (%) and total by country income groups



*Note:* Data refers to the 2020. Patent applications are worldwide patent applications filed through the Patent Cooperation Treaty procedure or with a national patent office. \* Excluding China, which has almost 1.5 million patents and a rate of non-resident patents of about 10%.

Source: World Bank Development Indicators (accessed, May 2024).

#### **D.** Innovation

Innovation is not only deriving from R&D activities but also requires a set of competencies and skills that includes technical and business knowledge. A fundamental issue is how to link the transfer of technologies to the development of competencies that support further innovation.

Many promising R&D projects and new technologies never reach the market because the transition from labs to successful innovation is particularly challenging; this failure is often named the "Valley of Death" (Hudson and Khazragui, 2013). This failure is due to two main reasons: *i)* the role of public versus private investments; and *ii)* skills and the identification of needs.

Public funding generally focuses on basic research, while the private sector tends to invest in innovative products and services. On the one hand, stronger public-private partnerships (e.g., universityindustry collaborations) could play a key role in overcoming the Valley of Death; connecting the local industry with the international community could further facilitate technological uptake.8 On the other hand, incubators and accelerators play an important role in fostering innovation and knowledge sharing by providing the necessary support to speed up the business process from ideation to commercialization. Also, test environments that mimic real-life conditions (e.g., test bed and sandbox) can facilitate product trials and enhance the fit with customer needs. Given the cost and difficulty of setting up test environments and collecting user feedback, the creation of international open testing platforms can represent a valuable alternative for less endowed countries.

Supporting innovation also requires that private financial institutions bear the risk of investing in innovative business projects.

Only about 31% of innovating firms in developing countries invest in R&D activities.

Innovation includes new or improved products and services, as well as business processes covering production, organizational models and sales. Therefore, it is not only deriving from R&D activities but also requires a set of competencies and skills that includes technical and business knowledge. Indeed, only about 31% of innovating firms in developing countries invest in R&D activities (Cirera et al., 2020); also in developed countries, a large share of innovative firms do not introduce products or services new to the market or invest in R&D activities.

To accumulate knowledge and build a competitive edge, firms largely rely on activities not formalized as R&D, such as using information from clients and suppliers, importing technology or adapting solutions introduced by other firms. Research shows that managerial and entrepreneurial capabilities are an important determinant of both country and firm differences in productivity (Bloom and Van Reenen, 2010). A fundamental issue is how to link the transfer of technologies (and possibly technical ideas) to the development of business competencies to support further innovation (UNCTAD, 2014).

Technology platforms (or production centres) aim to facilitate the iteration between technology transfer, learning, and business ideas. These platforms involve technology development centres devoted to a specific domain and financed by public development assistance, as well as public-private partnerships. To support innovation, they provide: i) technological services for the development of appropriate innovations; ii) support to structure and test initial business ideas and to pinpoint demand for technology from local entrepreneurs to materialize the ideas; and iii) access to the financing of innovation by local banks, either by supporting a given project or by creating credit lines from developed countries. A key feature is to cover the whole process from technological development to

innovation, thus helping to face the different factors stifling innovative entrepreneurship (UNCTAD, 2014). RECPnet, the Global Network for Resource Efficient and Cleaner Production, represents a case of the set-up of global-local partnerships for knowledge transfer fostering collaboration among similar centres around the world with the support of UNIDO and UNEP, which also provide a knowledge management system and support business development advertising procurement opportunities.<sup>9</sup>

Interactions among firms through trade and participation in global value chains can also be leveraged through programmes that favour knowledge transfer among the involved players. The benefits of technology and knowledge transfer are manifold and could lead to enhanced competitiveness for both the source and recipient (UNCTAD, 2021a). For example, the conception and creation of prototypes with essential functionality and features enable ventures to test the potential value of new solutions with minimal resources. This helps gather insights on how products can be modified to meet the users' needs and what further investment is needed to scale the innovation in an effective manner. Moreover, incubators and accelerators play an important role in fostering innovation and knowledge sharing by providing the necessary support to speed up the business process from ideation to commercialization.

#### **E. Summary**

This section has introduced a series of possible areas for global collaboration activities in the field of STI. Table 1 presents the four core elements for STI development together with their main components and some potential areas for global collaboration that will be further discussed in the next section.

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<sup>&</sup>lt;sup>9</sup> For more information, see: <a href="https://www.recpnet.org/">https://www.recpnet.org/</a>

Table 1: Areas of global collaboration under the four key elements for STI development

Key elements	Main components	Areas of global collaboration	
Strategic Planning	<ul><li>Agenda setting</li><li>Policies, standards and regulations</li></ul>	<ul> <li>International STI agenda</li> <li>Multilateral STI foresight and assessment system</li> <li>Supportive international rules</li> </ul>	
STI enablers	<ul><li>Physical and digital infrastructure</li><li>Human and knowledge resources</li></ul>	<ul><li>Digital infrastructure &amp; interoperability</li><li>Capacity building activities</li></ul>	
R&D	<ul> <li>Basic and applied research</li> <li>Experimental development</li> <li>Research funding</li> <li>International research collaboration</li> <li>Alternative modes of technology creation ar distribution</li> </ul>		
Innovation	<ul><li> Production and logistic</li><li> Marketing and sales</li></ul>	<ul><li>Technology and knowledge transfer</li><li>Test beds</li><li>Incubators and accelerators</li></ul>	

Source: UNCTAD.



## III. Status of global STI cooperation

Global challenges need global solutions as evidenced by the threats of pandemics or climate change. In this regard, inclusiveness is not merely a matter of equity but also effectiveness. The development of significant STI capabilities at a truly global scale is therefore a shared interest of the international community.

International collaboration to support inclusive international innovation and research networks will also help provide the critical mass that many countries are not able to build internally at the required speed. Concerning the four key elements for STI development discussed above, this section provides a review of the status of global STI cooperation. It examines key examples from the United Nations system, international and regional organizations, research institutions and CSTD member countries, highlighting collaboration mechanisms, advancements, lessons learned and good practices. The analysis emphasizes Industry

4.0<sup>10</sup> and green frontier technologies that form the basis of the current technological paradigm and offer significant opportunities for developing countries to accelerate sustainable development, provided that timely and decisive action is taken.

The findings illustrate possible approaches to foster international collaboration in STI at different levels that can promote the emergence of global solutions to accelerate progress towards the SDGs.

<sup>10</sup> Industry 4.0 refers to the integration of digital technologies such as IoT, cloud computing and analytics, and Al and machine learning into firms' production and operations.

#### A. Strategic Planning

## 1. Inclusive international STI agenda

The formulation of the international STI agenda and the evolution of the global innovation system have been historically skewed towards the perspective of developed countries. This is due to their superior technological capabilities and the capacity to manage extensive networks (Lundvall et al., 2002), which reflect a cumulative and path-dependent process that has led to strong asymmetries in resources and power.

The development of the international STI agenda needs to be driven by more inclusive forces. This demands active and equitable participation from all nations and diverse stakeholders. Indeed, a multistakeholder approach can lead to a holistic treatment of the increasingly complex and interconnected STI questions. Fostering inclusivity will also

help enhance the legitimacy and credibility of STI activities by governments and large actors, which are increasingly subject to critical scrutiny. A shift towards a more inclusive and participatory approach requires stakeholder engagement and practical actions to create or support collaborative settings that facilitate exchanges of knowledge and recognize the needs of less endowed countries.<sup>11</sup>

Collaborative activities may take different forms and be framed within different organizational settings. Highly international settings involve different views resulting both from cultural, and political specificities, as well as the state of development. Participation in these settings involves costs and burdens, especially for less advanced countries, that may limit the ability to collaborate. This can be partly compensated by reducing the cognitive heterogeneity of the topics handled: the more focused the topic, the lower the cognitive distance among participants due

A multistakeholder approach can lead to a holistic treatment of the increasingly complex and interconnected STI questions.

Increasing Focus of STI topics

Table 2: A framework for the International STI agenda

	<b>Geographical level</b>	Main Activities	STI focus
<b>A</b>	International (Coordination)	<ul><li>Coordinating experiences</li><li>Consolidating agendas</li><li>Making sense of topics</li></ul>	Mixing depth and broad
Increasing Socio	International (Initiatives)	<ul><li>Specific instruments</li><li>Connecting regional actors</li><li>Facilitating knowledge sharing</li></ul>	Specific topic in depth
Economic Heterogeneity	Regional	<ul><li>Common STI instruments</li><li>Best practices and mutual learning</li><li>Consensus building</li></ul>	Broad spectrum of topics
	National	• See Figure 1	Integration with other national priorities

Source: UNCTAD.

Contribution from the Governments of Brazil, Cuba, Peru and Türkiye. Also, it is important to conduct a thorough needs assessment in developing countries to identify their specific development priorities and agendas. Cooperation programs should be tailored to address their most pressing needs to maximize their impact.



to a more homogeneous knowledge base (Nooteboom, 2008; Malerba, 2002). Table 2 connects the geographical level at which STI activities take place with the broadness of the STI topics handled, suggesting that it might be more effective to increase focus when enlarging participation.

In general, STI organizations at different levels reflect this principle. Well-functioning national innovation systems are those able to bring together complementary knowledge and foster interactions and spillovers among different actors. While Figure 1 highlights the key elements of a NIS with respect to international linkages, at the national level strong linkages should be secured by taking a broad perspective that encompasses the different functions contributing to the strengthening of STI capacities. In other words, at the national level, STI should not be seen as a standalone feature but should be integrated with other socio-economic functions like education, industry, competition and finance.

Effective STI cooperation under a unified regional approach can address common needs and issues of the region. Some of the key features for successful regional cooperation include a lean governance structure, coupled with clear and transparent decision-making and implementation processes. Political commitment and stable funding over a multi-year period are key for the build-up of new STI capacities, while mechanisms to consolidate feedback from different stakeholders would favour the development of an inclusive STI agenda that takes into account the specific needs of different actors and countries.

The ASEAN Plan of Action on Science, Technology and Innovation 2016–2025 (APASTI) represents a well-coordinated regional approach that developed policies and mechanisms to support active cooperation in STI (The ASEAN Secretariat, 2017). Its implementation plan is based on a common vision and shared objectives, and outlines key strategic actions and components with specific timelines, deliverables and key performance indicators. The plan also provides guidance to improve monitoring and evaluating mechanisms for an effective resource mobilization. Among the strategic pillars, the plan gives great importance to public and private collaborations and integration through the mobility of talents (The ASEAN Secretariat, 2017); this is done with an inclusive approach to strengthen engagement and expansion of opportunities in STI for women, youth and the disadvantaged groups. 12 Similarly, the African Union's STI Strategy for Africa 2024 (STISA-2024) anchors on six priority areas that would contribute to the achievement of the AU Vision (African Union Commission, 2014). Yet the progress in implementing STISA-2024 is generally slow, partly hampered by a lack of pragmatic initiatives. 13 Finally, the Policy Partnership for Science, Technology and Innovation (PPSTI) of the Asia-Pacific Economic Cooperation (APEC) promotes STI cooperation through common approaches, policy coordination and by prioritizing connectivity. This is done by supporting both digital and people-topeople connectivity through mobility of researchers and science and technology personnel, thus integrating digitalization and innovation.14 These initiatives underscore the importance of setting shared objectives supported by political commitment that lead to the implementation of practical actions, and of cultivating collaboration on multiple STI aspects though mobility and the integration and development of digital technologies for innovation.15

Effective STI cooperation under a unified regional approach can address common needs and issues of the region.

<sup>&</sup>lt;sup>12</sup> Contribution from the Government of the Philippines.

The slow progress in implementation is manifested in the lack of programmatic initiatives dedicated to the strategy, low levels of investment in STI and relatively slow progress in establishing the African STI Fund, partly due to low levels of knowledge and information on STISA-2023, low levels of policy literacy, insufficient monitoring, evaluation and accountability, as well as inadequate budgets for implementation at national, regional and continental levels. For more information, please refer to <a href="https://archive.uneca.org/sites/default/files/uploaded-documents/IDEP/Cours2020/Cours\_en\_ligne/STISA/brochure\_for\_the\_stisa-2024\_web\_based\_course.pdf">https://archive.uneca.org/sites/default/files/uploaded-documents/IDEP/Cours2020/Cours\_en\_ligne/STISA/brochure\_for\_the\_stisa-2024\_web\_based\_course.pdf</a>.

<sup>&</sup>lt;sup>14</sup> Contribution from the Governments of China and the Philippines.

<sup>&</sup>lt;sup>15</sup> Contribution from the ITU.

While most of global STI efforts are led by developed countries and generally reflect their priorities, there are successful examples of collective research which equitably incorporate the views and priorities of different partners. These initiatives are generally based on consensual models of governance and the cocreation of innovative solutions to favour the creation of trust across participants, and build long term cooperations.

For instance, the European Organization for Nuclear Research (CERN) is an international mega-science collaboration that adopts a partnership-oriented approach with clear common goals permeated by the scientific spirit embodied in its Convention. 16 Acknowledging the fact that no single national organization can undertake the scope, cost, complexity and associated risks on their own, the CERN community declares and celebrates that their collaborations are not desirable but essential. It upholds the core value of open science and data sharing to generate knowledge as a common good to support global inclusive development. CERN has been established as an intergovernmental organization to avoid undue influence from any particular country or organization, and its members are elected solely on scientific merits and without reference to nationality. In addition, CERN employs a light leadership approach and exercises consensual governance among member states to effectively manage multi-polarity and avoid gridlocks, i.e., breakdowns in cooperation of countries in international institutions to address policy problems that span borders (Robinson, 2019). This secures scientific collaborations from political influences, which may hamper the development of the scientific community.<sup>17</sup>

The Consultative Group on International Agricultural Research (CGIAR) represents a long-lasting experience of global partnership focusing on research related to food security. It offers a useful reference on how to work with the Global South to co-identify, co-create and co-deliver solutions to global food security priority challenges. The CGIAR works with more than 3,000 partners in nearly 90 countries around the world and operates globally through its 15 research centres, consulting with a large number of stakeholders to develop priorities and the development rationale. In 2019, the CGIAR System Council approved the concept of a unified and integrated approach to adapt to the changing global conditions and make the CGIAR system more relevant. Research in agriculture, land and water has become much more interconnected than before, and the fragmented nature of its governance and institutions was recognized as a limiting factor. Therefore, the new approach moved the CGIAR toward a unified governance, an integrated operational structure, and pooled funds to consistently deliver best practices and effectively scale research solutions to counterbalance the increasing interconnectedness of food security issues.

Rapid technological change and the global impact of emerging technologies challenge the design of science and technology policies. Inclusive discussions about emerging issues and possible alternative solutions at the international level are key to increasing the capacity of countries to leverage STI for sustainable development.

The UN Commission on Science and Technology for Development (CSTD) plays an essential role in facilitating discussions and consensus-building on critical issues related to science and technology (UNCTAD, 2023d). The CSTD provides a forum

Rapid technological change and the global impact of emerging technologies challenge the design of science and technology policies.

The scientific method concerns the practical aspects of how to pursue valid scientific questions according to a relatively well-defined set of rules of investigation using the latest scientific methods. The scientific spirit is a much broader, all-encompassing approach to science based on three elements: enthusiasm, creativity, and integrity (Lefkowitz, 1988).

<sup>&</sup>lt;sup>17</sup> Contribution from the Government of Russian Federation.

<sup>18</sup> For further discussion of the role of the CSTD in facilitating global STI cooperation, please refer to Section IV of the document.

for strategic STI policy processes; its inclusive agenda-setting and consensusbuilding process on emerging topics represent a major comparative advantage. Moreover, the CSTD is closely related to the Technology Facilitation Mechanism (TFM), which plays a role in linking national innovation approaches to those at the regional and international levels, and to the annual Multi-stakeholder Forum on STI for the Sustainable Development Goals, which facilitates discussions on STI-related cooperation on selected Goals. The CSTD therefore, represents a natural reference to frame the strengthening of global STI collaboration activities and link up national innovation approaches at the regional and international levels.

2. Multilateral technology foresight and assessment system

Technology foresight and assessment exercises can help countries understand the potential societal, economic and environmental impacts of emerging technologies.<sup>19</sup> Technological foresight supports strategic planning by looking into the possible longer-term scenarios of science and technology; technology assessment has also an anticipatory approach but focuses more on the specific status of a given national innovation system. Both are key to selecting priorities and shaping the STI agenda and strategy to set the direction of science and technology toward the achievement of the 2030 Agenda for Sustainable Development.

Strategic STI planning requires capacity among national policymakers and other stakeholders to evaluate the implications for their economies and societies of the development and deployment of specific technologies. Technical support to assess national STI systems and design or reframe national STI policies and plans is key for countries with limited resources. In this respect, UNCTAD conducts pilot

projects on technology assessment to strengthen the capacities of national policymakers and other stakeholders to evaluate the implications for their economies and societies of the development and deployment of specific technologies (UNCTAD, 2021b). In addition, UNCTAD's Science, Technology and Innovation Policy Review programme provides tailored technical support to countries in assessing national STI systems and designing or reframing national STI policies and plans (UNCTAD, 2019). A key feature of the programme is the systematic effort made to involve a broad range of stakeholders towards transformative STI policymaking that advances an inclusive and sustainable development agenda. Key to these assessment activities is the development of a comprehensive understanding of a country's relative strengths, weaknesses and needs, through the involvement of national stakeholders. This, together with of the opportunities offered by technological development, can facilitate the formulation of STI policies accompanined by the necessary instruments.

National technology foresight and/ or assessment exercises could also be delivered through an international standing mechanism that includes different approaches to support a well-informed decision-making and consensus-building process. The mechanism may leverage regional organizations (through consultations and regional technology assessment exercises) to foster the convergence on priority themes/needs and share issues in implementing STI agendas that can be elevated at the international level to foster mutual learning. The system can be further reinforced by the consolidation of technology foresight exercises and the monitoring of emerging technologies at the global level. An international system of technology foresight and assessment could offer a comprehensive analysis of global STI development, thereby providing directionality to technological change,

Technology foresight and assessment exercises can help countries understand the potential societal, economic and environmental impacts of emerging technologies.

An international system of technology foresight and assessment could offer a comprehensive analysis of global STI development.

<sup>&</sup>lt;sup>19</sup> Contribution from the Government of the United Republic of Tanzania.

promoting the alignment of national, regional and international STI agendas with the SDGs and fostering international collaboration.

For example, an international system of technology foresight and assessment could help countries understand better the opportunities and challenges brought by the rapid development of AI as well as facilitate international cooperation in sharing good practices and lessons learned, thereby ensuring the formulation of consistent policies, standards and regulations. The Ethics Guidelines for Trustworthy AI, released by the High-Level Expert Group on AI set up by the European Commission, is an initiative to ensure the ethical and responsible development of Al. The guidelines emphasize that AI should embody principles such as human agency and oversight, privacy and data governance, as well as societal and environmental well-being. At the international level, the UN multi-stakeholder High-level Advisory Body on AI is expected to advance recommendations for the international governance of AI (United Nations, 2023b). An international system of technology foresight and assessment on AI and other frontier technologies could complement these initiatives and foster understanding and consensus building on opportunities, concerns and actions related to the emergence of new technological solutions.

#### 3. Supportive international rules

Apart from consistent international STI policies, the alignment of standards and regulations, international trade rules and intellectual property rights (IPR) systems can support international collaborations and the diffusion and transfer of essential knowledge and technologies to unfold the innovative capacities of developing countries and at the same time face global issues.<sup>20</sup>

For example, the set-up of international standards and regulations by the ITU helps ensure the compatibility of telecommunication systems across the world, underscoring that harmonization and coherence in the global digital landscape is critical. In addition, WTO rules governing international trade have direct effect on STI activities. While efforts to align trade and intellectual property regimes with the needs of developing countries have been made, a more ambitious agenda is needed to support STI development at a scale commensurate with global challenges.

For example, international trade rules with a bearing on technology transfer could be made more consistent with the Paris Agreement on climate change. In particular, articles 10 and 11 advocate the importance of fully realizing technology development and transfer in order to improve resilience to climate change and reduce greenhouse gas emissions, as well as the necessary capacity-building. Article 66.2 of the TRIPS agreement provides a sound institutional framework requiring developed countries to provide incentives to enterprises and institutions in their territories for the promotion of technology transfer to least developed countries and enable them to create a sound and viable technological base. To monitor the implementation of the agreement, developed countries are asked to submit annual reports on actions related to Article 66.2.21 Nowadays, the reporting of the implementation of Article 66.2 is not harmonized, making it difficult to map and compare existing initiatives. The definition of reporting standards could help structure information and facilitate analyses to boost our understanding on a relevant leverage for knowledge transfer. Moreover, during the Informal Working Groups Meeting of Trade and Environmental Sustainability Structured Discussions

Underscoring that harmonization and coherence in the global digital landscape is critical.

<sup>&</sup>lt;sup>20</sup> Contribution from the Governments of Belize, South Africa and Türkiye.

<sup>&</sup>lt;sup>21</sup> Contribution from the WTO. In the last full reporting cycle (2018-2020), nine developed members - namely Australia, Canada, the European Union and its Member States, Japan, New Zealand, Norway, Switzerland, the United Kingdom and the United States of America - submitted reports containing over 754 incentives programmes in various technology fields.

(TESSD) held in May 2023 at the WTO, several countries agreed that the green transition is both necessary and beneficial for developing countries and pointed out weak infrastructure and the lack of access to technology and expertise as barriers to greater adoption of green technologies in developing economies (WTO, 2023).

Extending more flexibilities to developing countries in the context of the Trade-Related Aspects of Intellectual Property Rights (TRIPS), especially for environmentally sound technologies, would support the implementation of the STI agenda towards sustainable development and help make the multilateral trade regime more consistent with international climate change agreements (UNCTAD, 2021a). Similarly, more flexibilities could be provided to technologies that contribute addressing global challenges or guaranteeing human-rights, as the right to health recognized in the WHO Constitution.

#### **B. STI Enablers**

## 1. Digital infrastructure & interoperability

Digital infrastructure is an important area of global collaboration for bridging the digital divide and enabling interconnectivity for inclusive global participation in STI development.<sup>22</sup> International support and public-private partnerships for infrastructure development are key to enhancing access to stable and affordable electricity, mobile networks and the internet<sup>23</sup>, particularly in developing countries. While international and regional organizations<sup>24</sup> have actively provided funding and technical assistance to build robust and sustainable infrastructure systems, the private sector is investing heavily in digital infrastructure (e.g., Google

is building an open subsea cable "Firmina" that runs from the East Coast of the United States to Las Toninas, Argentina to improve connectivity and increase Latin America's access to digital services; Microsoft's Airband initiative has helped more than 51 million people globally gain access to the internet), which can change the way decisions on global and local connectivity will be taken in the coming years.

With the increasing digitalization and automation of production, the adaptation of physical infrastructure and its linkage with digital infrastructure is becoming more important for the deployment of connected devices. Coordination between different sectors (e.g., energy and ICT) is essential to build integrated infrastructure systems that guarantee access to stable and affordable electricity, mobile networks and the internet, thus tackling a long-lasting limiting factor for many developing countries.

In this regard, the Programme for Infrastructure Development in Africa (African Union, 2023) and the Central Asia Regional Economic Cooperation Program (Asian Development Bank, 2021) showcase efforts to boost regional integration by facilitating interconnected infrastructures. These multi-sector programmes have played a significant role in improving transportation corridors, energy and ICT connectivity to serve common regional needs and interests by adopting a coordinated approach to infrastructure development. The Asia-Pacific Information Superhighway (AP-IS), spearheaded by the United Nations Economic and Social Commission for Asia and the Pacific (ESCAP), also contribute bridging the digital divide and accelerating digital transformation by promoting connectivity, and the use of data and digital technologies in the Asia-

<sup>&</sup>lt;sup>22</sup> Contribution from the ITU.

<sup>23</sup> The origins of the internet and the World Wide Web are rooted in a small group of scientists. The explosion in popularity and application came along with the development of common protocol which signifies the importance of international cooperation.

<sup>&</sup>lt;sup>24</sup> Including the World Bank, Asian Infrastructure Investment Bank, African Development Bank, Caribbean Development Bank, Islamic Development Bank, New Development Bank and Asian Development Bank.

Pacific.<sup>25</sup> A main outcome of this initiative is the adoption of the AP-IS Action Plan 2022-2026, which provides Member States with common goals to move towards an inclusive digital society.<sup>26</sup>

Beyond building infrastructure for connectivity, collaboration is key to ensure interoperability across systems through international standards and regulations. The work of the International Telecommunication Union (ITU) and the Broadband Commission for Sustainable Development contribute both supporting seamless global connectivity and promoting inclusivity in the digital realmby fostering public-private initiatives, facilitating standard setting, and targeting areas lacking digital infrastructure and marginalized groups (Broadband Commission, 2023).<sup>27</sup> Similarly, the Alliance for Affordable Internet (A4AI), hosted by the World Wide Web Foundation, is a global coalition that focuses on creating affordable and accessible internet by supporting policy and regulatory reforms that have driven internet prices down, contributing to making internet more accessible particularly in low- and middle-income countries (A4AI, 2022).

The fast growing value of digital data as an economic resource makes international cooperation in data governance, and crossborder data flows, particular crucial given the risk of fragmentation in data regulation and concerns about the implications for the capacity of countries to harness data for development (UNCTAD, 2021c).

At the regional level, the EU first defined the General Data Protection Regulation (2016), a series of rules to protect and regulate the free movement of personal data.<sup>28</sup> It then passed the Data Governance Act (2022) and

the European Data Act (2023) to regulate data sharing between public and private actors, unlock industrial data by optimizing its accessibility and use, and foster a competitive and reliable European cloud market. These experiences can provide a base to build consensus on approaches to data that can fuel an international discussion on the issue. A recent report by UNCTAD provides an in-depth analysis from the development perspective of data governance, interoperability, security and more both at the regional and international levels (UNCTAD, 2024). Moreover, at the 2024 CSTD annual session, countries agreed to the creation of a dedicated working group within the CSTD that would engage in a comprehensive and inclusive multi stakeholder dialogue on the fundamental principles of data governance at all levels, as relevant for development under the auspices of the United Nations

The interface between physical and digital infrastructure supports a wide variety of use cases, from digital road infrastructure for traffic management systems to digital power infrastructure that improves the operational efficiency and performance of appliances. Against this backdrop, it is important to build on the successful experiences of regional and international collaboration to foster cooperation in these emerging issues. At the same time, the private sector, especially the leading technology companies, should play a more active role in supporting inclusive digital development, especially considering that a few companies currently control most of the world's digital infrastructure in the form of cloud storage and computing power.

Beyond building infrastructure for connectivity, collaboration is key to ensure interoperability across systems through international standards and regulations.

Available at <a href="https://www.unescap.org/our-work/ict-and-disaster-risk-reduction/asia-pacific-information-superhighway-platform">https://www.unescap.org/our-work/ict-and-disaster-risk-reduction/asia-pacific-information-superhighway-platform</a> (accessed 28 May 2024).

<sup>&</sup>lt;sup>26</sup> Contribution from ESCAP. It was also mentioned that some of the main difficulties encountered include securing a stable budget for the implementation of the AP-IS Action Plan and coordinating efforts across different levels of countries and contexts.

The ITU supports the set-up of international standards and regulations to ensure that various telecommunication systems across the world are compatible. Its effort underscores the importance of homogeneity and coherence in the global digital landscape to facilitate seamless communication and connectivity (International Telecommunication Union, 2023).

<sup>&</sup>lt;sup>28</sup> The GDPR is grounded on the fundamental right to the protection of personal data.

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#### 2. Capacity-building activities

Human capital is the key engine of technological development, and a skilled workforce can drive the transition to a digital and knowledge-based economy. Mastering Science, Technology, Engineering, and Mathematics (STEM) skills (including coding and data analytics) is crucial to empower the workforce to adopt and adapt to technological advances. However, digital competencies do not only concern technical skills but also cover cognitive, social and emotional aspects of working and living in a digital environment. Such competencies are also needed at various levels of complexity from basic adoption and use to those needed to create new digital technologies. Building complementary skills such as complex problem-solving, critical thinking, and creativity, is essential to endow the workforce with the flexibility required to match current and future labour demand.

Inclusive education and training programmes should target the needs of different groups and ensure the development of digital skills for all and a fully inclusive digital society. For example, the participation of women in STI is key to reduce gender bias and increase diversity in research, yet women represent less than one-third of researchers globally.<sup>29</sup> In this respect, UNCTAD has partnered with Okayama University and launched the Young Female Scientist Programme (UNCTAD, 2020) and Young Scientist PhD Programme (UNCTAD, 2023e) to build the capacity of women researchers in developing countries working in the STI fields by offering opportunities to engage in cutting-edge research activities. Meanwhile, UNCTAD has also collaborated with the Government of Thailand to develop the Bio-Circular-Green Economic Model (BCG

Model), which aims to provide a platform for female researchers and entrepreneurs to learn from others' expertise, share best practices and build a network on the topic.<sup>30</sup>

The promotion of STEM skills worldwide can also serve to tackle regional and global challenges, and increased collaboration among STI organizations could further enable knowledge exchange and enhance the expertise and capabilities of researchers, engineers and other professionals.31 The partnership between Portuguese universities and R&D institutions and American universities launched by the Portuguese Foundation for Science and Technology (FCT, 2023a) promote the internationalization of Portuguese scientific and higher education institutions and the mobility of highly qualified human resources through thematic knowledge networks, through collaborative research projects and various educational programmes, the partnership The partnership has further been expanded to foster the creation of qualified jobs and the attraction of qualified human resources to Portugal via the goPORTUGAL initiative (FCT, 2023b), which couple the definition of clear objectives aligned with the priorities and needs of participating institutions, with a strong leadership focused on coordination among participants.32

In terms of regional efforts, the Africa
Higher Education Centers of Excellence
(ACE) Project, a collaboration between
the World Bank and African governments,
aims to enhance STEM in African higher
education institutions. The project has
established and strengthened 43 Centers of
Excellence across the continent promoting
regional specialization to address common
development challenges. Strengthening
existing capabilities should be coupled with

<sup>&</sup>lt;sup>29</sup> UNESCO Institute for Statistics (UIS) for 2020 (most update information at the time of writing).

There are also many other capacity-building activities conducted by the UN agencies on specific sector, for example, the UN Platform for Space-based Information for Disaster Management and Emergency Response (UN-SPIDER), Access to Space for All, UNWTO's Tourism Online Academy on Al in hospitality, the International Atomic Energy Agency (IAEA) Connect platform, etc. Contribution from IAEA, UNOOSA and UNWTO.

<sup>&</sup>lt;sup>31</sup> Contribution from the Government of Türkiye.

<sup>32</sup> Contribution from the Government of Portugal, key organizational elements are in line with the contribution from the Government of Gambia and ITU.

the development on new capabilities to deal with emerging needs, this is why the project also targets high-quality training and applied research for the expansion into new areas vital for Africa's economic growth, such as sustainable cities, energy and public health (Africa Higher Education Centers of Excellence (ACE) Project, 2022).

The EU, has a long tradition of programmes supporting the development and integration of research activities across countries. For example, the Marie Skłodowska-Curie Actions (MSCA) target doctoral education and postdoctoral training, aiming to equip researchers at all stages of their careers with new knowledge and skills, and dedicating a large share of funds to projects creating networks among institutions or promoting exchanges of staff members involved in research and innovation activities worldwide (European Commission, 2023a). An interesting feature of the MSCA is its adaptability to emerging needs across the EU. For example, in line with the European Green Deal the programme has increased its support to frontier research that tackles climate and environmentalrelated challenges. Anexample of a program dedicated to the development of skills related to environmental issues is the Global Learning and Observations to Benefit the Environment (GLOBE) Program<sup>33</sup> lead by the National Aeronautics and Space Administration (NASA). GLOBE is an international science and education program that focuses on promoting literacy and connections between people to increase environmental awareness and scientific understanding of the Earth, and to support improved student achievements in science and mathematics. Since its launch in 1995, GLOBE has provided authentic environmental science learning experiences

for students, educators and scientists of diverse background from 127 countries.<sup>34</sup>

The capability to effectively design and implement STI policy is also a key asset that requires programmes dedicated to public institutions. This is why UNCTAD offers customized training for developing countries integrating STI with trade, finance and investment perspectives to support the development of a coherent integration of STI into the overall national development strategy.35 The collaboration with the UN interagency task team on STI for the SDGs (IATT), aims at building synergies across different training experiences (United Nations, 2023c). For example, activities under the work stream 6 on capacity building, collaboratively design and deliver training courses and workshops on STI Policy for SDGs, including also a global repository of training materials, guidelines and case studies for policy implementation, particularly for developing countries.

One of the common factors contributing to the success of the cooperations discussed is the thorough consideration of the needs of the participants. As the competences and skills required for STI development vary across industries and countries, it is important to design programmes that fit the specific needs and conditions of different actors while emphasizing the empowerment of disadvantaged groups.<sup>36,37</sup>

<sup>&</sup>lt;sup>33</sup> For more information, see: <a href="https://www.globe.gov/">https://www.globe.gov/</a>

<sup>&</sup>lt;sup>34</sup> Contribution from the Government of the United States of America.

<sup>&</sup>lt;sup>35</sup> For more information, see: <a href="https://unctad.org/topic/science-technology-and-innovation/STI4D-Capacity.">https://unctad.org/topic/science-technology-and-innovation/STI4D-Capacity.</a>

<sup>&</sup>lt;sup>36</sup> Contribution from the Government of Türkiye. The emphasis is on the potential impact of STI cooperation on women's empowerment, both direct and indirect.

<sup>&</sup>lt;sup>37</sup> In line with the contribution from UNESCO. Also, more awareness and advocacy are required to build robust, inclusive and human-centred STI ecosystems that engage the beneficiaries of STI from the outset in the design of policies and empower marginalised and vulnerable groups through knowledge.

#### C. R&D

#### 1. Research funding

Research funding is key to supporting the unfolding of STI in developing countries.

To strengthen innovation ecosystems, STI funding should cover the full spectrum of research and innovation activities, from curiosity-driven research to partnerships with industry for advanced demonstrators.

Research funding is key to supporting the unfolding of STI in developing countries. Indeed, the R&D investment gap for low- and middle-income countries is wide not only in absolute terms but also when compared to GDP (0.53% versus a world average of 2.63%). Uncertainty about returns and the lack of critical mass limits the investment from the private sector, which makes it important to mobilize public funding and create the conditions to attract private investment. Collaborative research funding mechanisms should take into account the specificities of different research areas and leverage possible synergies, as well as finding ways to ensure stakeholders' commitment. To strengthen innovation ecosystems, STI funding should cover the full spectrum of research and innovation activities, from curiosity-driven research to partnerships with industry for advanced demonstrators (Bogers et al., 2018).

Since the onset, EU framework programs have an explicit collaborative design aiming at the creation of an integrated European Research Area: a large share of the budget is dedicated to projects with at least 3 partners from 3 different EU countries. The creation of an integrated research area complements policies aimed at integration and convergence among EU countries from a market and economic point of view (Archibugi et al., 2022). The current edition, Horizon Europe, represents the largest public fund for research and innovation with a budget allocation of about EUR 95 billion for the 2021-2027 period. In addition to the geographical dimension, the program facilitates interdisciplinary research to enable solutions for complex issues, as the

most transformative breakthroughs often occur at the crossroads of different sectors and disciplines (Bogers et al., 2018).

EU framework programs are based on the principle of co-financing to ensure a shared financial responsibility among member states and other stakeholders, and the inclusion of STI topics that can have an international outreach.38 In this respect, in the last editions, a focus on global challenges was added to favour non-EU countries accessing resources, expertise and joining the international collaborative networks.39 To further widen participation, Horizon Europe includes coordination and support actions such as the European Cooperation in Science and Technology (COST) designed to support the creation of networks of researchers and stakeholders from public and private institutions, NGOs, industry, and small and medium enterprises (SMEs). These open and inclusive networks bring together different expertises providing funds for 4 years, thus ensuring a reasonable time horizon for research activities (COST, 2023).

To favour participation and the success of international experiences, international STI projects should be aligned with the priorities and plans of the involved countries. The Green Climate Fund (GCF) aims at assisting developing countries in adaptation and mitigation practices to promote lowemission and climate-resilient development pathways and can represent crucial research funding for green frontier technologies. The GCF operates on the principle of "country ownership" (Asfaw Solomon et al., 2019), ensuring that projects are aligned with the recipient country's priorities and plans for addressing climate change. Acknowledging that public funding alone is not sufficient to address the climate challenge, the GCF also aims at catalyzing private sector investment in climate initiatives (Asfaw Solomon et al.,

<sup>&</sup>lt;sup>38</sup> For more informations on the complementary funding mechanisms in third countries and territories, please refer to: <a href="https://ec.europa.eu/info/funding-tenders/opportunities/docs/2021-2027/horizon/guidance/complementary-funding-mechanisms-in-third-countries-he-en.pdf">https://ec.europa.eu/info/funding-tenders/opportunities/docs/2021-2027/horizon/guidance/complementary-funding-mechanisms-in-third-countries-he-en.pdf</a>.

<sup>&</sup>lt;sup>39</sup> Contribution from the Government of Latvia. Also, by participating in the program, developing countries could shape the research agenda and ensure that it aligns with their own development priorities. Yet it is noted that small private innovative companies have limited capacity to come up with new initiatives and implement several projects at the same time.

2019). However, despite being the largest international public climate fund, its budget is rather small compared to the world climate-related finance, and it struggles to attract funding from donor countries, which often prefer bilateral agencies (Kumar, 2015). A better coordination of STI support to address climate change issues at the international level could help rationalize resources, guarantee stable funding over a reasonable horizon to scale up financed activities toward self-sustainability, and give more voice to developing countries in pinpointing those areas of intervention closer to their more pressing needs.

This example remarks the importance of establishing a clear and equitable allocation of funding responsibility for the success of international research funding mechanisms. One of the possible solutions proposed to reduce the variability and subjectivity of pledges is a burden-sharing scheme for allocating responsibilities among donors (Cui and Huang, 2018) (Dellink et al., 2009). In line with the "Polluters Pay Principle" first proposed by the OECD in the 1970s (OECD, 2022), contributions could be determined by the historical emissions of donor countries; alternatively, the abilityto-pay principle first proposed by Adam Smith, would use GDP as a benchmark.

Public-private cooperation and coordination are fundamental for the success of STI cooperation mechanisms, 40 especially when considering global challenges. Besides financial apects, reducing administrative burdens and providing more flexibilities in project agreements (e.g., to respond to changing business environments) could incentivize the participation of private partners. The Bill and Melinda Gates Foundation, the world's largest private grantmaking foundation and leading philanthropic organization, offers useful references about how to facilitate co-funding schemes and cooperation involving the public and

private sectors. By allowing for flexibility in their projects, especially with regard to intellectual property rights and research contracts, the Gates Foundation has been able to make collaborative initiatives attractive to the private sector. For instance, IPR arrangements allow pharmaceutical companies to profit from drugs developed within their research projects. In particular, the arrangements allow them to keep exclusive licenses and sell drugs at market price in developed countries if they commit to selling drugs at marginal prices in developing countries.<sup>41</sup> Another element that characterizes the Bill and Melinda Gates Foundation is the emphasis on thorough preparatory work and priority-setting when selecting projects. Instead of relying on annual result reports, the foundation has always followed a milestone-driven approach to oversee various stages of a project and directed its efforts toward the achievement of tangible outcomes (OECD, 2012).

The above examples offer valuable lessons that can inform the design and implementation of collaborative international research funding mechanisms. For instance, supporting not only basic research but also more applied one through partnerships with the private sector could increase the likelihood of research breakthroughs becoming a reality. This can be done by designing co-financing mechanisms that ensure shared financial responsibility among stakeholders and by offering incentives to mobilize financial and technical support from the private sector. This approach can be particularly fruitful for developing countries that have limited R&D investment from the private sector. Having said that, each funding mechanism has unique strengths and shortcomings but continuous monitoring and evaluation, as well as flexibility to adapt to the shifting landscape of research needs, challenges and opportunities are common ingredients leading to success.

Public-private cooperation and coordination are fundamental for the success of STI cooperation mechanisms, especially when considering global challenges.

<sup>&</sup>lt;sup>40</sup> Contribution from the Government of Brazil.

Another example is the setup of the Advance Market Commitment which is intended to create a market stimulus for the development of a new pneumococcal vaccine that protects against more strains of the disease by guaranteeing to buy the vaccine at a set price when it is developed, and thereby creating a market where none existed before. For more information, please refer to: <a href="https://www.oecd-ilibrary.org/science-and-technology/meeting-global-challenges-through-better-governance-9789264178700-en">https://www.oecd-ilibrary.org/science-and-technology/meeting-global-challenges-through-better-governance-9789264178700-en</a>.

International research collaborations play an important role in promoting the sharing of scientific and technological resources, improving efficiency and achieving

breakthroughs.

## 2. International research collaboration

International research collaborations play an important role in promoting the sharing of scientific and technological resources, improving efficiency and achieving breakthroughs (Zu et al., 2011). Key factors for successful international collaboration are the operationalization of common academic and business standards, which can favour the sharing of data and material, and the removal of administrative burdens, including the international mobility of researchers. Collaborations should focus on building the capacity of participating countries to effectively address their development priorities.<sup>42</sup> Technology dissemination and innovation networks are key to fostering STI and tackling global challenges addressing the SDGs, especially in emerging economies.<sup>43</sup> From the exchange of ideas and data sharing to a close partnership on a specific project, global research collaborations could be arranged in different formats based on the goal and commitment of stakeholders.

The Asia-Pacific Research and Training Network on STI Policy (ARTNET on STI Policy) is a knowledge platform initiated by the ESCAP to promote the sharing of knowledge and ideas. It has contributed to dialogues on STI policy and academic research, as well as capacity-building projects, thereby fostering an environment of shared knowledge and collaborative growth in the region. The partnership with the public sector, including policy council, university networks, and the private sector (e.g., Google), helps in bringing the perspectives of different stakeholders to support research and policy formulation for sustainable development in the Asia-Pacific area.

The Ibero-American Science and Technology for Development Program (CYTED), created in 1984 through an Inter-Institutional Framework Agreement signed by 21 Spanish-Portuguesespeaking countries, aims to foster Ibero-American STI cooperation. Its aim is to promote the integration of the science and technology communities, facilitating joint research and transfer of knowledge, as well as engaging the business sector in the innovation processes.44 The program is organized as a decentralized model through specialized committees for different thematic areas addressing regional needs. Various strategic projects and thematic networks have involved more than 25,000 researchers and 1,000 private firms, financing about 500 networks in different areas of knowledge (CYTED, 2023).

At the international level, EUREKA is the world's biggest public network for international cooperation in R&D.45 It promotes collaboration among enterprises and research institutes and contributes to the growth of market-led R&D through a network present in 45 countries. Eureka's programmes are tailored to the needs of different actors with a strong focus on ICT but also biotech and industrial research, facilitating linkages between research groups and prominent large companies.46 Various programmes deal specifically with: funding for industry-led mid- to longterm R&D projects; supporting innovative SMEs on international collaborative R&D; assisting research and business ventures in new markets; and promoting international partnerships (EUREKA, 2022). Its bottomup approach enables stakeholders to achieve market-oriented outcomes through strategically targeted projects, which are reflected in the improved return of assets

<sup>&</sup>lt;sup>42</sup> Contribution from the Government of Türkiye. Meanwhile, regular monitoring and evaluation are essential to track progress and collect feedback to improve the relevance and effectiveness of the collaboration.

<sup>&</sup>lt;sup>43</sup> Contribution from the Governments of Brazil and Türkiye.

<sup>&</sup>lt;sup>44</sup> Contribution from the Governments of Peru and Portugal.

<sup>&</sup>lt;sup>45</sup> EUREKA offers researchers and innovators the widest geographic scope to access funding to date. In 2022, public-private investments of EUREKA amounted to over 560 million euros. For more information, please refer to: <a href="https://eurekanetwork.org/app/uploads/2024/04/annual-report-2022.pdf">https://eurekanetwork.org/app/uploads/2024/04/annual-report-2022.pdf</a>.

<sup>&</sup>lt;sup>46</sup> Contribution from the Government of Hungary.

of participating firms (Bayona-Sáez and García-Marco, 2010). However, the cofunding scheme could be improved to avoid that eventual late contributions from member states jeopardize the projects' kick-off.<sup>47</sup>

Cooperative scientific research is facilitated when advances in science are considered as a global public good, and initiatives aim at bringing together varied scientific communities, promoting knowledge exchanges and co-designing future scientific agendas. Following this approach, the International Science Council (ISC) stands as an example of the power of cooperative scientific research that emphasizes on bridging the science-policy interface at the international level (International Science Council, 2023). ISC is recognized as one of the initiatives that successfully foster the mobilization of the academic community towards the SDGs (Dibbern and Serafim, 2021), with a particular emphasis on promoting data stewardship and dissemination (de Sherbinin et al., 2021).

Data sharing is a crucial aspect in the context of research collaboration. Despite the many challenges they should face - such as accessibility across borders, lack of specific standards, coordination and data quality, as well as guaranteeing that data are findable, accessible, interoperable and reusable (OECD, 2023a) - global data repositories have the potential to progressively assume a critical role in R&D, as they have demonstrated in the healthcare sector.

Two successful examples of global data repositories are the Coalition for Epidemic Preparedness Innovations (CEPI) and Accelerating COVID-19 Therapeutic Interventions and Vaccines (ACTIV), which represent an unprecedented level of global collaboration that leverages STI to combat emerging infectious diseases (ACTIV, 2023; CEPI, 2022). They play a leading role in fostering public-private partnerships to optimize the allocation

of resources and effectiveness of crisis response (Katz et al., 2018). Their success could be attributed to the efforts in coordinating and streamlining processes to make the best use of biomedical research resources, enabling access to data and information for continuous generation and review of knowledge, as well as promoting collaboration among stakeholders via rapid response platforms.

The conservation of biodiversity is another field where data sharing and open access are developing significantly (Lacher et al., 2012). For example, the Global Biodiversity Information Facility (GBIF) provides a standardized biodiversity data-sharing platform, which is instrumental for R&D in the context of biodiversity protection and green technologies. GBIF's objective is to ensure critical data for human and environmental welfare are open access and fully shared without restriction. Researchers making substantial contributions to research products are acknowledged as authors to ensure accountability and recognition of their efforts. Similar cooperation can also be found in the field of disater resilience planning. For instance, NASA and ImageCat, Inc., in collaboration with partners in India and Mexico, developed and implemented the use of the Global Economic Disruption Index (GEDI) into their disaster resilience planning. The GEDI tool leverages satellite data, Al and socioeconomic data to provide a comprehensive analysis of the extent of disruption that acute disasters can have on local communities.48

Participatory approaches have emerged to include citizen participation and direct STI toward specific development goals. For example, Grand Challenges spur the imagination of the public to solve important national or global problems by linking local communities to a global network of problem solvers. Many countries and organizations such as the Gates Foundation have taken this approach to spark innovation and accelerate development by identifying

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<sup>&</sup>lt;sup>47</sup> Contribution from the Government of Hungary.

<sup>&</sup>lt;sup>48</sup> Contribution from the Government of the United States of America.

challenges tied to specific development needs. This can prove particularly relevant to address needs concerning for example diseases affecting particularly people living in poverty, who are less likely to attract the attention of business innovators.

Lessons drawn from the examples above underscore the importance of equitable partnerships, commitment to knowledgesharing, definition of minimum quality standards, as well as open and inclusive collaboration mechanisms.<sup>49</sup> In particular, the efficacy of governance models are key elements of successful international STI collaboration that foster quality outputs and ensure the continued involvement of different actors and attract new contributors (OECD, 2012). Enhancing trust, transparency and inclusivity among parties coupled with monitoring and accountability mechanisms increase the chances of collaborations to be effective.50

The value of open innovation has received strong interest in recent years.

The open approach to technology creation and distribution is in essence a model aiming at exploring different knowledge sources, as well as sharing (non critical) knowledge.

# 3. Alternative modes of technology creation and distribution

Apart from the traditional model characterizing the internal innovation processes, the value of open innovation has received strong interest in recent years. Open innovation encompasses a paradigm shift in how companies and institutions approach R&D: instead of relying solely on internal resources, open innovation posits that organizations could leverage external R&D and market solutions that, in some cases, can take place in a non-proprietary manner (Chesbrough et al., 2008; Chesbrough, 2003). The open approach to technology creation and distribution is in essence a model aiming at exploring different knowledge sources, as well as sharing (non critical)

knowledge. In other words, it helps facilitate collaborations between institutions, companies and independent innovators around the world by allowing a richer pool of ideas and faster time-to-market solutions, thereby accelerating the R&D process and increasing internationalization potential<sup>51</sup>.

One of the pioneering models is the opensource paradigm that promotes the free and open sharing of software source code to encourage collective improvement and distribution by users (Fitzgerald, 2006). Successful cases in the open software paradigm are Linux, MySql, Firefox and WordPress. Android, one of the most widely diffused operating system is also based on open source technologies. Beyond software, the concept has found applicability in diverse areas such as hardware (Open Source Hardware Association, 2023) and scientific research. The Human Genome Project, for instance, adopted an open-source approach to generate the first sequence of the human genome. The landmark agreement of rapid pre-publication data release (i.e., release of all DNA sequence data in publicly accessible databases within a day after generation) has been credited with establishing a greater awareness and openness to the sharing of data in biomedical research, revolutionizing global collaboration (Open Source Hardware Association, 2023).

Open based approaches may be limited by the lack of incentives and recognition; thus it is key to develop income-generating models based on open approaches and provide other forms of financial and non-financial incentives. The American Geophysical Union's Open Science Recognition Award<sup>52</sup> aims to address this issue bringing recognition to researchers that incorporate and advance elements of open science.<sup>53</sup> Recently, NASA has also launched the Transform to Open Science (TOPS) initiative, designed to

<sup>&</sup>lt;sup>49</sup> In line with the contribution from the Government of Gambia.

<sup>&</sup>lt;sup>50</sup> Contribution from the Government of Türkiye.

<sup>&</sup>lt;sup>51</sup> Contribution from the Government of Brazil.

<sup>&</sup>lt;sup>52</sup> For more information, see: <a href="https://www.agu.org/honors/open-science">https://www.agu.org/honors/open-science</a>.

<sup>&</sup>lt;sup>53</sup> Contribution from the Government of the United States of America.

transform agencies, organizations, and communities to an inclusive culture of open science through the development of an open science curriculum.<sup>54</sup> This could help gaining support to the open paradigm from public institutions.

Parallel to open-source is the approach of crowdsourcing that utilizes collective intelligence to solve specific problems or generate ideas. While Wikipedia is one of the earliest applications of crowdsourcing, the potential of crowdsourcing is vast, ranging from data collection to ideation, from micro-tasking to testing, and it is often used in creative design industries, such as Istockphoto and Threadless, InnoCentive is an example of crowdsourcing for R&D. The platform, which can be customized and branded as required, allows companies and research institutions to post challenges to a global talent pool of independent researchers and enables scientists to receive professional recognition and financial awards for finding innovative solution (Brabham, 2008). In medical research, platforms such as PatientsLikeMe allow patients worldwide to share and analyze data related to their conditions, making them stakeholders in the R&D process and facilitating rapid, large-scale and real-world studies (Wicks et al., 2011).

Moreover, hackathons, which are originally technology-focused events where programmers collaborate intensively over a short period to produce a working software solution, have evolved to address socioeconomic and climate-related challenges. The NASA Space Apps Challenge uncovers the potential of hackathons in global STI cooperation. It is the largest annual global hackathon that unites participants across continents to foster innovative

solutions for global challenges related to space exploration and earth science.<sup>55</sup>

The United Nations has also been leveraging these new approaches to drive global STI collaboration for sustainable development. Examples include Unite Ideas, UN Big Data Hackathon, Open SDG Data Hub, and Building Blocks. 56 As the Secretary-General's innovation hub, the UN Global Pulse could coordinate related efforts and foresee partnerships with successful non-profit experiences, especially for digital innovation, to promote global STI collaboration.

#### **D.** Innovation

## 1. Technology and knowledge transfer

Technology and knowledge transfer is a multifaceted process involving the conveyance of knowledge, skills, procedures and equipment from one organization or country to another (Etzkowitz and Leydesdorff, 2000). Traditionally, technology and knowledge transfer was seen as a linear process from advanced economies to less advanced ones. However, recent decades have witnessed a shift towards more interactive and networked technology and knowledge transfers, encompassing transfers within and between sectors and involving public and private partners (Etzkowitz and Leydesdorff, 2000). The benefits derived from these interactions vary according to the capacity to absorb and effectively utilize transferred technologies (Cohen and Levinthal, 1990). Indeed, wide disparities in technological capabilities may impede effective transfers,57 especially in frontier technologies such as AI, where AI talent training programs and the set up of

<sup>&</sup>lt;sup>54</sup> For more information, see: <a href="https://nasa.github.io/Transform-to-Open-Science/">https://nasa.github.io/Transform-to-Open-Science/</a>

The success of global hackathons lies in the diversity of perspectives, backgrounds, cultures and education of participants. For instance, in 2022, the NASA Space Apps Challenge registered over 30 thousand participants, coming from 162 different countries, with a total of over 3 thousand projects submitted and 22 challenges addressed. For more information, please refer to: <a href="https://assets.spaceappschallenge.org/media/documents/2022\_Space\_Apps\_Infographic\_FINAL.pdf">https://assets.spaceappschallenge.org/media/documents/2022\_Space\_Apps\_Infographic\_FINAL.pdf</a>.

<sup>&</sup>lt;sup>56</sup> Contribution from the WFP. This initiative leverages Blockchain technology to enhance cooperation on humanitarian assistance. For more information, please refer to: <a href="https://www.wfp.org/building-blocks">https://www.wfp.org/building-blocks</a>.

<sup>&</sup>lt;sup>57</sup> In line with the contribution from UNESCO.

A better understanding of the sociotechnology context would help facilitate the adoption of technology and create transformative change compared to a technologycentric approach with weak stakeholder engagement. cooperative laboratories may make the process smooth (WIPO, 2019). Moreover, a lack of commitment and limited financial resources could threaten the sustainability of knowledge transfer mechanisms.<sup>58</sup>

Experiences from the UN system show that a better understanding of the sociotechnology context would help facilitate the adoption of technology and create transformative change compared to a technology-centric approach with weak stakeholder engagement. The success of international programmes supporting technology and knowledge transfer could be favoured when initiatives target developing country challenges or their integration in the global economy as in the case of the UN Technology Bank for technology assessment and technology transfer, the Global Environment Facility (GEF) for the transfer of environmentally sound technologies, the UN Climate Technology Centre and Network (CTCN) for capacity building in technologies for climate adaptation and mitigation, and UNCTAD Automated System for Customs Data (ASYCUDA).

The UN Technology Bank, established in 2017, aims to assist least developed countries (LDCs) to build their STI capacities and technological base. The support is implemented through three pillars of work that facilitate South-South and North-South technology transfers by aligning the technology demands of LDCs with appropriate solutions. The first focuses on the assessment of the STI ecosystem of LDCs, mapping the key developmental challenges and identifying the technologies, technical know-how and innovative capabilities required to find

sustainable solutions (Technology Bank for the Least Developed Countries, 2023a). The second focuses on the identification of appropriate technologies for transfer to LDCs (Technology Bank for the Least Developed Countries, 2023b). The third focuses on capacity building to ensure that the technologies transferred are sustainable and that LDCs develop the technological and innovative capabilities required for seamless and sustainable development (Technology Bank for the Least Developed Countries, 2023c) 59. However, despite some positive results in certain areas, progress fell short of the goals and targets set, requiring increasing efforts and a more coordinated implementation and coherence across the UN system in supporting STI in the least developed countries (United Nations, 2023d).

Regarding the transfer of environmentally sound technologies, the GEF is the largest public-sector funding source. It supports innovation and technology transfer at the early and middle stages, emphasizing the demonstration and early deployment of innovative options. For instance, the GEF conducts technology needs assessment to identify what technologies are needed to mitigate and adapt to climate change, and it provides financial and technical support to local communities via the GEF Small Grants Programme, for example. By utilizing these programmes, the GEF has gained insights into local-level barriers to technology adoption and has been able to foster grassroots solutions and facilitate effective technology integration.60

<sup>&</sup>lt;sup>58</sup> Contribution from ESCWA.

A number of initiatives have been introduced including: STI capacity-building programmes in LDCs in the areas of biotechnology; SDG Impact Accelerator projects in Bangladesh and Uganda to unlock entrepreneurial talent and leverage emergent technologies to improve livelihoods; and an innovation programme focused on supporting LDCs to exploit their latecomer advantages and leverage existing technologies through entrepreneurial activity as well as enhancing their capacity to find, adapt and adopt proven, off-the-shelf technologies. For more information, please refer to <a href="https://www.un.org/ldcportal/content/technology-bank-ldcs-0">https://www.un.org/ldcportal/content/technology-bank-ldcs-0</a>.

<sup>&</sup>lt;sup>60</sup> Since its inception, the GEF has allocated more than \$22 billion in grants and blended finance and mobilized \$120 billion in co-financing. This has been channeled into more than 5,000 projects in 170 countries, supplemented by 27,000 community-led initiatives through the Small Grants Programme (UNCTAD, 2023a).

As part of the technology transfer framework of the United Nations Framework Convention on Climate Change (UNFCCC), CTCN responds to countrydriven requests for services with a focus on building and strengthening developing country capacity to address technology challenges and opportunities for adaptation and mitigation. It supports countries in technology development and transfers across five systems transformations (i.e., water-energy-food nexus, building and infrastructure, sustainable mobility, energy systems and business and industry) through technical assistance, capacity building and networking and knowledge sharing (Climate Technology Centre & Network, 2022).

The ASYCUDA Programme is UNCTAD's largest technical cooperation initiative

with 51 operational projects, including 7 regional and interregional projects. ASYCUDA is an integrated customs management system for international trade and transport operations in a modern automated environment adopted by more than one hundred countries and territories worldwide (UNCTAD, 2023f). Reducing transaction time and costs while improving security and transparency will favor the integration of regional markets and reduce the risks from fluctuations or shocks of single economies. The programme activities highlight the important role played by technologies to facilitate cross-border trade and the need to accompany the transfer of technologies with capacity building activities to support the development of know-how in receiving countries.



## Box 2 ASYCUDA, technology and knowledge transfer for trade facilitation

ASYCUDA is the largest technical cooperation programme at UNCTAD, <sup>61</sup> working collaboratively since the 1980s to help developing countries access and use technologies to modernize and automate trade processes and procedures and to better capitalize on the growth and development potential of international trade. The ASYCUDA Programme helps Governments create systems that connect partner government agencies involved in the customs clearance process, for example through an electronic single window.

The ASYCUDA Programme collaborates with other international organizations, such as the United Nations Environment Programme, the United Nations Office for the Coordination of Humanitarian Affairs and the World Customs Organization, to design and build systems supporting mutual objectives, such as the software system built in collaboration with the secretariat of the Convention on International Trade in Endangered Species of Wild Fauna and Flora, to help preserve ecosystems and biodiversity through the digitalization of trade-related procedures, and currently implemented in Mozambique and Sri Lanka.

Key for ASYCUDA is the transferring of technology and know-how. The Programme responds to Government requests for technical assistance, delivering customized solutions to digitalize trade processes and procedures that meet specific needs. Local IT, customs and partner government agency staff are upskilled to use, adapt and manage the new tools through comprehensive training. The aim is to hand over ownership of customized systems to the countries that receive technical assistance.

Source: ASYCUDA, UNCTAD.

<sup>61</sup> See https://asycuda.org/en/programme/

Open access to physical facilities and services represents a promising approach to serve startups and small and medium technological enterprises with limited financial resources to test and develop new products.

In the digital economy, a common architectural framework is important to ensure interoperability of systems for diverse applications and across a broad spectrum of industries.

The experiences of the above initiatives highlight the importance of providing tailormade technical assistance to developing countries by harnessing the expertise of a global network of technology companies and institutions. Better understanding of the socio-technology context would help facilitate the adoption of technology and create transformative change compared to a technology-centric approach with weak stakeholder engagement (United Nations Framework Convention on Climate Change - Technology Executive Committee, 2022). Moreover, fostering interlinkages and coherence in technology assessment through regional collaborations supported by partnerships of international institutions could help countries identify the technical support required and formulate a roadmap with detailed and effective implementation actions 2023).

#### 2. Test beds

Test beds, which are controlled experimental platforms that mimic specific conditions for conducting testing of new technologies, products or services, play a crucial role in ensuring that technologies are thoroughly evaluated and refined before widespread implementation. As the innovation landscape has grown more interconnected, test beds have expanded beyond individual institutions or corporations and are now often open and shared platforms. Apart from facilitating collaboration among stakeholders, open test beds can reduce the cost and difficulty of setting up individual test environments and collecting user feedback, especially for developing countries, by pooling resources and existing knowledge while supporting users from their geographical location.

Open access to physical facilities and services represents a promising approach to serve start-ups and small and medium technological enterprises with limited financial resources to test and develop new products. To guarantee financial sustainability and effectiveness, these facilities should be designed to capture

an existing and potential demand from the industry. For example, the EU has launched the Open Innovation Test Beds (OITBs) to offer, also to non-EU firms, a single-entry point to test beds' facilities, capabilities and services required for the development, testing and upscaling of nanotechnology and advanced materials in industrial environments, thereby bringing innovation to the market faster, easier and with lower costs and technological risks (European Commission, 2019 and 2021). Complementary to this initiative are the European Pilot Production Network (EPPN) and European Digital Innovation Hubs (EDIHs). EPPN connects the actors along the value chain of pilot facilities to leverage existing European pilot line production facilities in nanotechnology and advanced material technologies (European Commission, 2022). The EDIHs support companies to improve business and production processes, products or services using digital technologies by providing access to technical expertise and testing as well as different innovation services, such as financing advice, training, and skills development (European Commission, 2023b). Some of the lessons learned from this initiatives include the importance of focusing on SMEs and their needs, targeting complementary expertise along the value chain, offering regulatory and financial advice to users, as well as cooperating with technical committees to promote new standards (European Commission, 2021).

In the digital economy, a common architectural framework is important to ensure interoperability of systems for diverse applications and across a broad spectrum of industries. The Industry IoT Consortium (IIC) is a global partnership of industry, government and academia dedicated to accelerating the adoption of IoT (Industry IoT Consortium, 2023a). The IIC addresses the need for a common architectural framework to develop compatible IoT systems suitable for a wide range of applications across various industrial sectors via the Industrial Internet Reference Architecture (IIRA) (Industry IoT Consortium, 2022). One

of its major initiatives is the Business Deployment Accelerator which identifies end-user business pain points and advises on deployment through test beds and test drives that help resolve them (Industry IoT Consortium, 2023b). The Testbed program is a controlled experiment platform that replicates real-world conditions for effective testing, covering a wide range of industry IoT technologies, products and services (Industry IoT Consortium, 2023c), which can be coupled with short-term pilots to users to employ and adopt industrial IoT technologies (Industry IoT Consortium, 2023d). This cooperative model ensures that solutions are comprehensive, adaptable and meet the varied needs of all involved parties.

The abovementioned initiatives offer insights and good practices on how developing countries could benefit from test beds and similar approaches. For instance, the OITBs' single entry point model provides open access solutions to innovators in developing regions to test frontier technologies and accelerate innovation. The EPPN and EDIH approaches interlink the entire value chain of innovation and could be pivotal in integrating fragmented innovation ecosystems, as it is the case in many developing economies (Cunningham et al., 2014). While these programmes focus on developed countries, it is essential to understand how to replicate such initiatives in developing countries, addressing their specific challenges and needs.

#### 3. Incubators and accelerators

For a new product or service to be transformed into a thriving business, innovators often face a challenging financial gap from ideation to scale-up in the early stage as well as the other difficulties related both to technical and business aspects (Branscomb and Auerswald, 2002). To stand out in a competitive market with rapid technology development and business dynamics, innovators have to build viable products and services from ideas quickly. In this regard, incubators and accelerators

could guide innovators through turbulent journeys and build a successful business.

To speed up the process from ideation to commercialization, incubators and accelerators offer financial, technical, organizational and marketing solutions, such as seed funding, business support, market insights and networking opportunities, to help innovators build a successful business. Y Combinator (YC) has been recognized as one of the most successful startup accelerators thanks to its emphasis on product development and market fit and by nurturing an environment where startups can iterate their products based on realworld feedback (Geron, 2023: Cremades. 2018; Pitchbook, 2023). During the 3-month programme, it provides seed money, advice and connections in exchange for equity in the startup. Furthermore, through weekly meetings, it facilitates interactions between current participants and alumni, ensuring a continual flow of guidance and mentorship (Y Combinator, 2023). Key to the success of YC is the strength and relevance of the network connecting investors and the cohort of start-ups to foster co-funding matches (Y Combinator, 2023). Although YC does not aim at promoting collaboration between countries, it demonstrates the importance of a dynamic, high-quality feedback environment and the significance of networking in the early stages of business development that can help design international incubators and accelerators.

Start-up Chile is an example of a successful public accelerator focusing on technological and innovative businesses with scale-up opportunities, which has supported over 2,200 innovative start-ups. The key strengths of the initiative are its lean organizational activities, the prominent role of entrepreneurs in comanaging the initiative and the openness to business ideas worldwide, which include support for the necessary visas for foreign start-ups. In 2021, over 80 per cent of the budget was allocated to foreign entrepreneurs, representing a noticeable example of the capacity to attract talents

from abroad for a developing country to nurture the national innovation system through public-private partnerships.

At the global level, the UNDP Accelerator Labs represents the world's largest and fastest-learning network dedicated to sustainable development challenges. With 91 Lab teams covering 115 countries, the extensive network promotes learning across development practitioners in the world (UNDP, 2023). The network keeps expanding with the support of new partners to strengthen international collaboration while offering assistance tailored to local circumstances. For example, the Japan Cabinet Office collaborates with the UNDP Japan Unit and UNDP Accelerator Labs to support the SDGs Innovation Challenge with the aim of co-creating solutions to address local needs with the participation of the Japanese private sector. 62 A critical aspect of the Labs' work is their alignment with the SDGs. Not only do they contribute directly to these goals, but they also support innovators to align their business model with the global vision for sustainability. Another main feature is the focus on grassroots innovation that taps into local innovations to create actionable insights, especially in the field of sustainable energy solutions, through a multifaceted approach that centers on identifying local solutions by understanding community needs as well as emphasizing experimentation.

Targeting developing countries, InfoDev is a World Bank Group multi-donor program that supports entrepreneurs to grow their businesses. One of the partnerships is the Climate Technology Program (CTP), which helps clean-tech companies commercialize and scale the most innovative private-sector solutions to climate change through a global network of incubators called Climate Innovation Centers (CICs) (World Bank, 2010, World Bank, 2023). The interesting feature of the program is that CICs function as networked region-specific hubs that offer a range of services, including

business support services, financing and market insights based on an adaptive and localized approach according to the specific regional contexts and needs.

At UNCTAD, the project "Science, Technology and Innovation Parks for Sustainable Development" is designed to enhance knowledge and build capacity in four developing countries across Africa and Asia (UNCTAD, 2023g). The goal is to empower these countries through South-South cooperation to craft robust, integrated policies and establish institutional frameworks that favor the growth of their STI parks in order to provide an effective setting for collaboration among researchers, entrepreneurs, and businesses. These parks support the international reach of research endeavors and assist local businesses in becoming integral parts of global value chains. The project includes hands-on training and practical knowledge sharing, drawing lessons from the successful implementation of STI parks in other developing nations, thereby invigorating national and regional innovation ecosystems, promoting economic diversification and fostering talent development.

The above examples demonstrate how incubators and accelerators could help overcome obstacles in developing innovative business solutions and bring them to the market. Lessons learned include the vital role of localized insights and collaboration, adaptive approaches to meet local needs, the value of robust and open networking and partnerships, and a lean organizational structure. The success of incubators and accelerators depends on their capability to create sustainable and competitive start-ups over time and link them both with the rest of the economy and with the international markets. In this respect, it appears beneficial to target small businesses providing services to firms integrated into international markets (usually more dynamic) or local entrepreneurs seeking to apply globally available technologies to

The success of incubators and accelerators depends on their capability to create sustainable and competitive start-ups over time and link them both with the rest of the economy and with the international markets.

<sup>62</sup> Contribution from the Government of Japan. Also, through the SDG Holistic Innovation Platform, an open innovation platform run by UNDP in collaboration with the Japan Innovation Network, Japanese partners are identified, and this provides an entry point for companies that would typically not work with UNDP.

provide key services (e.g., off-grid electricity or potable water) to local consumers.

#### E. ODA for STI

As discussed insofar, the functioning of international STI collaborations extends far beyond funding issues. However, collaboration is hard between partners separated by huge capability gaps. Financial support from the international community, including through official development assistance (ODA), is crucial to strengthen the STI capacities of developing countries. If channeled toward collaborative projects, it would also strengthen the inclusion of developing countries into international research and innovation networks.

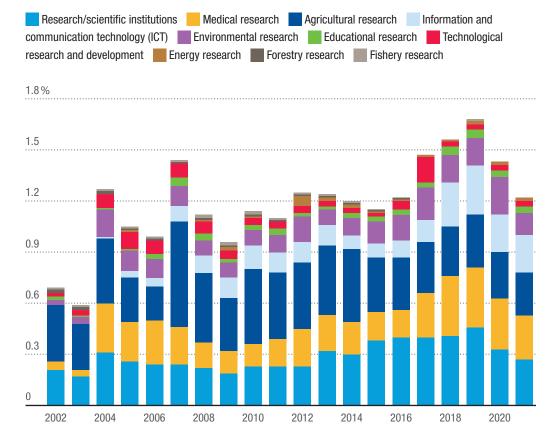
In 2022, ODA by member countries of the Development Assistance Committee (DAC) amounted to \$204 billion, about 0.36% of

their combined GNI and far below the UN target of 0.7% (OECD, 2023b). Progress towards the target has been limited in the last 15 years, and every year only a handful of countries meet the target. Moreover, the share of ODA dedicated to STI-related projects is rather marginal. Over the last two decades, the share of STI in total ODA has been fluctuating around 1.2% (Figure 3).

After showing a positive trend in the aftermath of the Adis Abeba Action Agenda, with a peak of 1.7% in 2019, the share of STI in total ODA has been declining in 2020 and 2021 back to the levels registered in 2012. The decline has been particularly marked for ODA supporting research and scientific institutions but has affected all the purpose categories, including medical research despite its high ranking in the policy agenda due to the COVID pandemic.

## >

## Figure 3 Share of STI in total ODA by main purpose category



Note: ICT included among STI as a feature of its enablers.

Source: UNCTAD based on OECD development finance data, gross disbursement of official DAC donors.

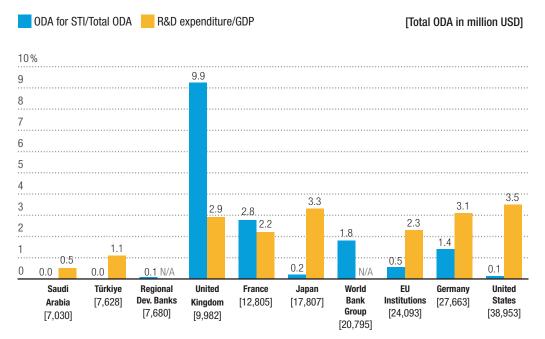
The low budgetary relevance of STI among ODA does not reflect the increasing importance that R&D and innovation have in determining countries' competitiveness in today's economy.

The low budgetary relevance of STI among ODA does not reflect the increasing importance that R&D and innovation have in determining countries' competitiveness in today's economy. Moreover, it does not reflect the increasing R&D investments among advanced economies. Figure 4 compares the share of STI in total ODA with the share of R&D with respect to GDP (R&D intensity) for the top ten official DAC donors in 2021.

The share of STI in total ODA of most countries is dwarfed by their R&D intensity. In particular, the share of ODA in STI for the US and Japan is below 0.2% compared to an R&D intensity of over 3%. Also institutional donors, such as the various Regional Development Banks, invest a marginal share of their budget in STI projects. The World Bank somehow sets a case apart thanks to its strong focus on ICT (3 quarter of its ODA in STI). The United Kingdom and France are the only top official donors showing a strong engagement in supporting STI activities.

#### Figu Sha

# Share of STI in total ODA vs. share of R&D over GDP, top 10 official donors in 2021



Relatively small rellocations of ODA budgets can make a big difference in the overall assistance toward the strengthening of the STI capacities in developing countries.

 $\it Note: R\&D \ over \ GDP \ cannot \ be \ computed for the World Bank \ Group \ and \ Regional \ Development \ banks. \ ICT included \ among \ STI \ as \ a \ feature \ of its \ enablers.$ 

Source: UNCTAD based on OECD development finance data, gross disbursement of official DAC donors.

The main takeaway is the presence of a remarkable gap in ODA for STI. Relatively small rellocations of ODA budgets can make a big difference in the overall assistance toward the strengthening of the

STI capacities in developing countries. If channelled toward collaborative projects, it would also strengthen the inclusion of developing countries in international research and innovation networks.

#### F. Summary

This work has reviewed key programmes and initiatives on regional and global STI cooperation and highlighted the good

practices and lessons learned. Table 3 presents a summary of examples discussed under each of the four elements for STI development for easy reference.

Table 3: Summary of examples on regional and global STI cooperation

Key elements	Collaborations	Examples
Strategic Planning	International STI agenda	<ul> <li>ASEAN Plan of Action on Science, Technology and Innovation 2016–2025 (APASTI)</li> <li>African Union's STI Strategy for Africa 2024 (STISA-2024)</li> <li>European Organization for Nuclear Research (CERN)</li> <li>Consultative Group on International Agricultural Research (CGIAR)</li> <li>Commission on Science and Technology for Development (CSTD)</li> <li>Technology Facilitation Mechanism (TFM)</li> </ul>
	Multilateral STI foresight and assessment system	<ul> <li>UNCTAD's technology assessment and Science, Technology and Innovation Policy Review</li> <li>Ethics Guidelines for Trustworthy AI</li> <li>UN multi-stakeholder High-level Advisory Body on AI</li> </ul>
	Supportive international rules	<ul> <li>WTO agreement on Trade-Related Aspects of Intellectual Property Rights (TRIPS)</li> <li>Trade and Environmental Sustainability Structured Discussions (TESSD) to link trade and the environment</li> </ul>
STI Enablers	Digital infrastructure	<ul> <li>Programme for Infrastructure Development in Africa</li> <li>Central Asia Regional Economic Cooperation Program</li> <li>Asia-Pacific Information Superhighway (AP-IS)</li> <li>Broadband Commission for Sustainable Development</li> <li>Alliance for Affordable Internet (A4AI)</li> <li>European Data Act</li> </ul>
	Capacity building activities	<ul> <li>Foundation for Science and Technology (FCT)</li> <li>Africa Higher Education Centers of Excellence (ACE) Project</li> <li>Marie Skłodowska-Curie Actions (MSCA)</li> <li>The Global Learning and Observations to Benefit the Environment (GLOBE) Program</li> <li>UN interagency task team on STI for the SDGs (IATT)</li> <li>UNCTAD's Young Female Scientist Programme, Young Scientist PhD Programme and Bio-Circular-Green Economic Model</li> </ul>

Key elements	Collaborations	Examples
R&D	Research funding	<ul> <li>Horizon Europe</li> <li>European Cooperation in Science and Technology (COST)</li> <li>Green Climate Fund (GCF)</li> <li>The Bill and Melinda Gates Foundation</li> </ul>
	International research collaboration	<ul> <li>The Asia-Pacific Research and Training Network on STI Policy (ARTNET on STI Policy)</li> <li>Ibero-American Science and Technology for Development Program (CYTED)</li> <li>EUREKA</li> <li>International Science Council (ISC)</li> <li>Coalition for Epidemic Preparedness Innovations (CEPI)</li> <li>Accelerating COVID-19 Therapeutic Interventions and Vaccines (ACTIV)</li> <li>Global Biodiversity Information Facility (GBIF)</li> <li>Grand Challenges</li> </ul>
	Alternative modes of technology creation and distribution	<ul> <li>The Human Genome Project</li> <li>The American Geophysical Union's Open Science Recognition Award</li> <li>InnoCentive</li> <li>The NASA Space Apps Challenge</li> </ul>
Innovation	Technology and knowledge transfer	<ul> <li>UN Technology Bank</li> <li>Global Environment Facility (GEF)</li> <li>UN Climate Technology Center and Network (CTCN)</li> </ul>
	Test beds	<ul> <li>Open Innovation Test Beds (OITBs)</li> <li>European Pilot Production Network (EPPN)</li> <li>European Digital Innovation Hubs (EDIHs)</li> <li>Industry IoT Consortium (IIC)</li> </ul>
	Incubators and accelerators	<ul> <li>Y Combinator (YC)</li> <li>Start-up Chile</li> <li>UNDP Accelerator Labs</li> <li>InfoDev Climate Technology Program (CTP)</li> <li>UNCTAD's STI Park Development project</li> </ul>

Source: UNCTAD.



# IV. The role of the CSTD in facilitating global STI cooperation

The CSTD facilitates global cooperation in science, technology, and innovation (STI) by providing a forum for strategic policy discussions, fostering partnerships, and driving knowledge-sharing programs that support sustainable development, particularly in developing countries.

The CSTD was established in 1992 as the United Nations focal point for STI for sustainable development. Over the years, the CSTD has contributed to facilitating global cooperation in science and technology by acting as a forum to discuss policy issues raised by rapid technological change. The CSTD favors the sharing of best practices and lessons learned, the foresight of critical STI trends in key sectors of the economy and society, the strategic planning in STI, and draws attention to new and emerging technologies (Economic and Social Council, 2023).

The works of the CSTD cover specific issues related to science and technology and their implications for development. It contributes to advancing the understanding of science and technology policies, particularly for developing countries, as well as to the formulation of recommendations and guidelines on science and technology matters within the United Nations system (UNCTAD, 2023d). The CSTD provides in-depth analysis and proposes recommendations on priority themes defined for the annual meeting with the aim of leveraging STI for sustainable development. See Table 4 for an overview of the themes discussed in the last six sessions.

Table 4: List of priority themes discussed in the past five sessions of the CSTD

#### Meeting **Priority theme** Twenty-seventh session • Data for development (15 - 19 April 2024) · Global cooperation in science, technology and innovation for development • Technology and innovation for cleaner and more productive and competitive Twenty-sixth session production (27 - 31 March 2023) • Ensuring safe water and sanitation for all: a solution by science, technology and innovation • Industry 4.0 for inclusive development Twenty-fifth session • Science, technology and innovation for sustainable urban development in a (28 March - 1 April 2022) post-pandemic world • Using science, technology and innovation to close the gap on Sustainable Twenty-fourth session Development Goal 3, on good health and well-being (17 - 21 May 2021) • Harnessing blockchain for sustainable development: prospects and challenges • Harnessing rapid technological change for inclusive and sustainable Twenty-third session development (10 - 12 June 2020) • Exploring space technologies for sustainable development and the benefits of international research collaboration in this context • The impact of rapid technological change on sustainable development Twenty-second session • The role of science, technology and innovation in building resilient communities, (13 - 17 May 2019) including through the contribution of citizen science

Source: UNCTAD.

The twenty-sixth session of the CSTD in March 2023 covered two priority themes: *i)* Technology and innovation for cleaner and more productive and competitive production, and *ii)* Ensuring safe water and sanitation for all: a solution through science, technology and innovation.

Under the priority theme "Technology and innovation for cleaner and more productive and competitive production" participants discussed how countries could take advantage of technologies and innovation for cleaner, more productive and competitive production. The discussion highlighted the differences in speed and capacity to develop and adopt green technologies across countries and the risk of leaving countries with low resources and capacity behind (i.e., missing the green windows of opportunity). These considerations lead to the recognition that the international community should support countries in need by facilitating joint initiatives and sharing technical know-how (Commission on Science and Technology for Development, 2023a). The critical role of international cooperation in adopting a more partnershiporiented approach and in supporting North-South, South-South and triangular cooperation on STI for green technologies was also highlighted, as well as the need to establish a multilateral system for technology assessment (Commission on Science and Technology for Development, 2023b).

Under the priority theme "Ensuring safe water and sanitation for all: a solution through science, technology and innovation", participants recognized that partnerships with non-governmental organizations could play an effective role in helping underserved communities access water and sanitation, and recommended countries to build a bridge between science and politics by creating a community of parliamentary experts to address water access challenges neutrally under the

umbrella of science (Commission on Science and Technology for Development, 2023a).

These discussions and recommendations demonstrate the role of the CSTD in providing an open platform for strategic planning as well as coordinating and imparting directionality to international STI collaboration.

During the years, interaction among CSTD members in response to the needs countries raised at the CSTD, has resulted in several programmes for international collaboration in STI, ranging from knowledge and technology sharing to research capability building. Some of the recent activities include: i) the CropWatch Innovative Cooperation programme which aims to facilitate and stimulate agricultural monitoring of developing countries for the advancement of SDG2 of zero hunger<sup>63</sup>; ii) the Young Female Scientist Programme and the Young Scientist PhD Programme aiming at building human capital in STIrelated fields in developing countries through educational programmes<sup>64</sup>; iii) a South-South cooperation training workshop on the Bio-Circular-Green economy model for inclusive and sustainable growth<sup>65</sup>; iv) a technical cooperation activity on satellite technologies for sustainable urban development (Pinelo, 2023); and v) a workshop in harnessing STI for disaster risk reduction. These activities signify the role of the CSTD in sharing lessons learned and best practices and more importantly, in channelling experiences and theoretical knowledge to have policy impact and facilitate concrete collaborations between Member States and other actors in the STI sphere.

<sup>63 &</sup>lt;u>https://unctad.org/project/cropwatch-innovative-cooperation-programme.</u>

<sup>64</sup> https://unctad.org/topic/science-technology-and-innovation/young-female-scientist-programme.

<sup>65</sup> https://unctad.org/news/unctad-and-thailand-partner-strengthen-womens-capacity-use-technology.



#### V. Conclusion and recommendations

Only a truly global innovation system could address global challenges in a way that will benefit all.

Science, technology and innovation offer transformative solutions that can accelerate progress towards an inclusive, sustainable and resilient world. Yet the opportunities and benefits brought by technological advancement are not equally distributed, with most of them being captured by developed countries, as reflected by the significant concentration of knowledge creation in terms of patents and scientific publications.

The growing technological complexity, the fast pace of technological change and the massive impact of recent waves of innovations call for a collaborative approach to STI; business as usual will not close but widen inequalities, making it more difficult for latecomers to catch up. What is urgently needed is to enhance international solidarity and cooperation, revitalize global partnerships, and give renewed impetus to open, inclusive and equitable collaboration mechanisms. Only a truly global innovation system could address global challenges in a way that will benefit all.

This paper provides a comprehensive review of the status of global STI cooperation under four key elements for STI policy development: strategic planning, STI enablers, R&D and innovation. The aim is to highlight good practices and lessons learned from various STI cooperation models that can inform possible approaches to strengthen international collaboration in STI.

The findings stress the importance of guaranteeing open, inclusive and equitable collaboration mechanisms that take into account the needs and priorities of developing countries. Some of the key features include a good governance structure, strong political will coupled with funding commitment, clear and transparent decision-making and implementation processes, as well as mechanisms that consolidate feedback from different stakeholders.

To strengthen global STI cooperation for sustainable development, national governments, the international community and the CSTD, as the forum for science

and technology matters within the UN system, are encouraged to:

# 1. Reinforce the efforts toward building an inclusive global STI agenda

Developing countries should formulate strategic plans for STI with clear, specific and measurable goals to seize the opportunities brought by technological advancement. The planning should reflect country's strengths and weaknesses in STI and highlight the connections (and missing links) between the national needs and objectives and the international STI agenda.

The international community should support the inclusion of developing countries in the international research networks both financially and by providing assistance on how to participate in and benefit from specific international settings. Regional mechanisms should put more effort into mediating between national STI needs and challenges and opportunities at the global level.

The CSTD and the UN system should support coordination among different international bodies active in STI (e.g., OECD, UNESCO, CERN) and facilitate the sharing of respective agendas and initiatives to address the issues common to different countries. It is important for the international community to put together the comparative advantages (e.g., technology versus resources) of developed and developing countries on an equitable basis and build consensus on a shared vision and objectives to guide global STI development.

# 2. Develop a multilateral STI foresight and assessment system

These recommendations largely recall those made during the 19th Annual session of the CSTD (2016).

Countries should conduct assessments of strengths and weaknesses of NIS, as well as technology assessment exercises, at regular intervals drawing experiences from regional and international foresight exercises. The results of these exercises should be shared with other countries to foster mutual learning, favour the creation of synergies on common issues and provide inputs for the strategic planning of international STI collaboration.

The international community should cooperate to establish a mapping system to review and make sense of different technology foresight outcomes, making use also of existing regional mechanisms, and in collaboration with relevant stakeholders.

The CSTD should strengthen its role in coordinating different foresight approaches, at least within international organizations, and favour the convergence to a common understanding of long-term issues. The CSTD and the IATT could leverage regional organizations (through consultations, regional STIP reviews or technology assessment exercises) to foster the convergence of priority themes/ needs. This will support the efforts in providing directionality to technological development and building consensus on future policies that incorporate the specific challenges and opportunities of countries with different STI strengths and at different stages of development.

## 3. Build enabling digital and skill environments

Governments should create the conditions for accessible, affordable and high-quality digital infrastructure that supports STI development. This involves bridging the digital divide within the country, engaging in international standards setting, and creating a regulatory environment that ensures sound competition in the telecommunications sector and interoperability at the international level. Governments should also reinforce their efforts to upgrade STI skills, as well as those required by the digital revolution at all levels, including government officials for an effective design and implementation of STI policy.

The international community has a crucial role to play in providing funding and technical assistance to support digital infrastructure and to upgrade STI and related skills in developing countries. Capacity-building activities could include international training programmes, international mobility of researchers, and public-private partnerships dedicated to specific areas (e.g., digital or entrepreneurial training) while emphasizing the empowerment of the disadvantaged groups.

The CSTD should promote interoperability to facilitate seamless communication and connectivity and raise awareness about international digital standards and regulations. Moreover, it should promote best practices in STI training and the removal of obstacles (e.g., via supporting administrative and regulatory agreements) limiting the international mobility of researchers, and advocate increasing efforts in promoting the development of capabilities related to STEM subjects in developing countries.

# 4. Foster investment in STI and public-private partnerships

The scarcity of resources for investment in R&D in developing countries hinders their STI development. Governments could mobilize domestic resources by facilitating co-funding schemes and cooperation involving the private sector, as well as target the attraction of foreign direct investments in knowledge-intensive activities in specific areas of interest. Moreover, synergies between research and education, and industry and economic ministries could be leveraged to finance those STI efforts closer to commercial applications.

International public-private partnerships could bring in financial support and industry expertise to speed up technological uptake in developing countries. In addition, the international community should increase the share of ODA dedicated to STI. Small changes in budgetary allocations would offer a notable increase in the support of STI in developing countries, providing the means

to finance a strengthened international cooperation in STI. Funding can also be channelled to support the exchange of technical personnel between private and public institutions at the international level.

The CSTD should explore the potential for innovative financing models, public-private partnerships, open-source approaches and other resources to strengthen the position of developing countries in collaborative STI projects and initiatives. The CSTD should enhance collaboration with institutions providing project finance and resources (e.g., World Bank and different development banks) to guarantee that STI initiatives are supported by adequate and sustained funding.

# 5. Strengthen research networks and collaboration among different actors

Governments should actively engage with key private actors of the innovation ecosystem and promote collaborations between the public and private entities to overcome the gap between science and technology and the introduction of innovations in the market. Affiliates of foreign companies can be leveraged to strengthen knowledge exchanges with international partners.

The success of collaborations lies in the commitment to share knowledge and set-up equitable partnerships; political commitment is also key to support international collaborative frameworks. Supporting the participation of researchers from developing countries in international research networks (including through mobility schemes), supporting the organization of international scientific events in developing countries and augmenting research grants with supplementary funding for travel from and to developing countries can facilitate the inclusion of researchers from developing countries in international networks and build up long-lasting collaborations.

The CSTD and the UN system should support the establishment of monitoring,



evaluation and accountability mechanisms to foster international STI collaboration through enhanced trust, transparency, inclusivity and directionality. Partnerships with existing collaborating STI schemes (e.g., Horizon Europe) could help extend them to include developing countries and design global collaborative schemes to pool resources from the existing fragmented experiences.

## 6. Promote technology and knowledge transfer

Developed and developing countries should develop collaborative mechanisms to incentivize technology and knowledge transfer among universities, research institutions and the private sector. Priority could be given to the transition from basic to applied research and the application and diffusion of technologies and innovations in the economy.

International partnerships should aim at closing gaps in knowledge capabilities that hamper an effective transfer of technologies. The international community should explore ways to guarantee that the transfer of technologies from the private sector (capital investment) will also benefit the development of STI capabilities and originate business ideas for innovation in the receiving country (e.g., linking it with training programmes).

The CSTD should support the exchange not only of success stories but also failures to identify key challenges, foster mutual learning and guarantee an effective design of technology transfer and knowledge exchange activities. The CSTD can also establish a dialogue with organizations monitoring technology transfer projects (e.g., WTO under article 66.2) aiming to define common reporting standards that enable the collection of structured and harmonized information for systematic analyses of mechanisms for knowledge transfer. Moreover, given their prominence in today global economy and developmental structures, the inclusion of international organizations and multinational corporations in the monitoring system should be considered.

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