



# PUBLICATIONS LIST (2000-2020)

## INVESTMENT AND ENTERPRISE DIVISION

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The UNCTAD Investment and Enterprise Division is the focal point in the United Nations System for investment and enterprise development. As a global centre of excellence, the Division conducts leading-edge policy research, provides technical assistance and builds international consensus among the 195 member States of the organization. Its mission is to promote investment and enterprise for sustainable and inclusive development. The Division provides, among others, 2 flagship products (the World Investment Report and the World Investment Forum) and 7 core services (i. Investment information and research (GTM, FDI/TNC/GVCs databases); ii. Investment policies (IPFSD, IPRs, IIA, IPM); iii. Investment promotion (IPA network, iGuides, SPN); iv. Responsible investment (PRAI, SSE, IP/AtM); v. Business facilitation (eRegulation, eRegistration); vi. Entrepreneurship development (EPF, EMPRETEC, linkages); and vii. Accounting and reporting (ISAR)). Most of the publications of the Division are downloadable free-of-charge from UNCTAD's website (<https://unctad.org/diae>) or the organization's investment policy hub (<https://investmentpolicy.unctad.org>).



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## A. FLAGSHIP PRODUCTS

### 1. WORD INVESTMENT REPORT (WIR)

- WIR 2020: International Production Beyond the Pandemic
- WIR 2019: Special Economic Zones
- WIR 2018: Investment and New Industrial Policy
- WIR 2017: Investment and the Digital Economy
- WIR 2016: Investor Nationality: Policy Challenges
- WIR 2015: Reforming International Investment Governance
- WIR 2014: Investing in the SDGs: An Action Plan
- WIR 2013: Global Value Chains: Investment and Trade for Development
- WIR 2012: Towards a New Generation of Investment Policies
- WIR 2011: Non-equity Modes of International Production and Development
- WIR 2010: Investing in a Low Carbon Economy
- WIR 2009: Transnational Corporations, Agricultural Production and Development
- WIR 2008: Transnational Corporations, and the Infrastructure Challenge
- WIR 2007: Transnational Corporations, Extractive Industries and Development
- WIR 2006: FDI from Developing and Transition Economies: Implications for Development
- WIR 2005: TNCs and the Internationalization of Research & Development
- WIR 2004: The Shift Towards Services
- WIR 2003: FDI Policies for Development: National and International Perspectives
- WIR 2002: Transnational Corporations and Export Competitiveness
- WIR 2001: Promoting Linkages
- WIR 2000: Cross-border Mergers and Acquisitions and Development

### 2. WORLD INVESTMENT FORUM

- World Investment Forum 2018 Review
- World Investment Forum 2016 Review
- World Investment Forum 2014 Review
- World Investment Forum 2012 (UNCTAD/DIAE/2012/1)
- Report of the World Investment Forum 2010: Investing in Sustainable Development, Xiamen City, China (UNCTAD/DIAE/PCB/2011/1)
- World Investment Forum 2008, Accra, Ghana

## B. KEY BRAND PRODUCTS

### 1. INVESTMENT INFORMATION AND RESEARCH

#### 1.1 SDG Investment Trends Monitor

- International SDG Investment flows to developing economies down by one third due to COVID-19 (UNCTAD/DIAE/MISC/2020/3)
- Investment Trends and monitoring capacities across 10 SDG sectors (UNCTAD/DIAE/MISC/2019/4)

## 1.2 Global Investment Trends Monitors (GITM)

- GITM 36: 2020 H1 FDI down 49%. Biggest decline in Europe and the United States. Outlook negative as new projects announcements drop 37% (UNCTAD/DIAE/IA/INF/2020/4), 27/10/20
- GITM 35: Special Issue: Impact of the Covid-19 pandemic on global FDI and GVCs (UNCTAD/DIAE/IA/INF/2020/3)27/03/20
- GITM 34: Special Issue: Impact of the Coronavirus outbreak on global FDI (UNCTAD/DIAE/IA/INF/2020/2), 08/03/20
- GITM 33: Global FDI flows flat in 2019; moderate increase expected in 2020 (UNCTAD/DIAE/INF/2020/1), 20/01/20
- GITM 32: Global FDI flows up 24% in H1 2019; underlying trend up 4% (UNCTAD/DIAE/IA/INF/2019/2) 29/10/19
- GITM 31: Global FDI flows continue their slide in 2018 (UNCTAD/DIAE/IA/INF/2019/1), 21/01/19
- GITM Special Edition: Davos (UNCTAD/DIAE/IA/INF/2019/1/SE), 21/01/19
- GITM 30: Global FDI down, but greenfield investment announcements point at improving prospects (UNCTAD/DIAE/IA/INF/2018/1), 15/10/18
- GITM Special Edition: Tax Reforms in the United States: Implications for International Investment (UNCTAD/DIAE/IA/2018/2), 05/02/18
- GITM 28: Global FDI Flows Slipped Further in 2017 (UNCTAD/DIAE/IA/2018/1) 22/01/18
- GITM 27: Foreign Direct Investment and Economic Zones in ASEAN (UNCTAD/DIAE/IA/2017/5), 22/01/18
- GITM Special Edition: Investment and Trade in Services: The Out-sized of Holding and Intra-Firm Services, June 2017
- GITM 26: FDI to the most vulnerable economies declined in 2016, with possible modest recovery in 2017(UNCTAD//DIAE/IA/2017/1), 22/05/17
- GITM 25: Global FDI Flows slip in 2016, modest recovery expected in 2017 (UNCTAD/WEB/DIAE/IA/2017/1), 02/02/17
- GITM 24: Global Investment prospects assessment 2016-2018 (UNCTAD/WEB/DIAE/IA/2016/3), 07/10/16
- GITM 23: Investment Flows through offshore financial hubs declined but remain at high level, (UNCTAD/WEB/DIAE/IA/2016/2), 04/05/16
- GITM 22: FDI Recovery is unexpectedly strong, but lacks productive impact (UNCTAD/WEB/DIAE/IA/2016/1), 21/01/16
- GITM 21: Recovery in cross-border mergers and acquisitions (UNCTAD/WEB/DIAE/IA/2015/5), 17/11/15
- GITM 20: FDI can be an important source of external development financing for LDCs, LLDCs and SIDS, (UNCTAD/WEB/DIAE/IA/2015/3), 05/06/15
- GITM 19: Investment by south TNCs continues to grow: Developing Asia became the worlds' largest investor region, (UNCTAD/WEB/DIAE/IA/2015/3), 05/06/15
- GITM 18: Global FDI Flows declined in 2014 - China becomes the world's top FDI recipient (UNCTAD/WEB/DIAE/IA/2015/1), 29/01/15
- GITM 17: FDI in Small Island Developing States: its limitations and potential (UNCTAD/WEB/DIAE/IA/2014/6), 01/09/14
- GITM 16: Investment by South TNCs reached a record level acquiring developed country foreign affiliates in the developing world (UNCTAD/WEB/DIAE/IA/2014/5), 28/04/14
- GITM 15: Global FDI rose by 11 per cent; Developed economies are trapped in a historically low share (UNCTAD/WEB/DIAE/IA/2014/1), 28/01/14
- GITM 14: Strengthening the links between intra-OIC FDI and regional integration (UNCTAD/WEB/DIAE/IA/2013/11), 15/11/13

- **GITM 13: Developing and Transition economies absorbed more than 60 per cent of global FDI inflows – A Record share – in the first half of 2013** (UNCTAD/WEB/DIAE/IA/2013/10), 31/10/13
- **GITM 12: The rise of BRICS FDI and AFRICA** (UNCTAD/WEB/DIAE/IA/2013/6), 25/03/13
- **GITM 11: Global FDI recovery derails** (UNCTAD/WEB/DIAE/IA/2013/1), 23/01/13
- **GITM 10: FDI flows retreated in the first half of 2012, UNCTAD revises down its full year forecast** (UNCTAD/WEB/DIAE/IA/2012/2), 20/10/12
- **GITM 9: Global FDI outflows continued to rise in 2011 despite economic uncertainties, however prospects remain guarded** (UNCTAD/WEB/DIAE/IA/2012/19), 12/04/12
- **GITM 8: Global flows of Foreign Direct Investment exceeding pre-crisis levels in 2011, Despite turmoil in the global economy** (UNCTAD/WEB/DIAE/IA/2012/1), 24/01/12
- **GITM 7: FDI recovery continued in first half of 2011, but second half prospects are bleaker** (UNCTAD/WEB/DIAE/IA/2011/13), 17/10/11
- **GITM 6: Global and Regional Trends of FDI Outflows in 2010,** (UNCTAD/WEB/DIAE/IA/2011/4), 27/04/11
- **GITM 5: Global and Regional FDI Trends in 2010** (UNCTAD/WEB/DIAE/IA/2011/1), 17/01/11
- **GITM 4: Second and Third Quarters of 2010** (UNCTAD/WEB/DIAE/IA/2010/7), 14/10/10
- **GITM 3: Fourth Quarter of 2009 and First Quarter of 2010** (UNCTAD/WEB/DIAE/IA/2010/4), 23/04/10
- **GITM 2: Global and Regional FDI Trends in 2009** (UNCTAD/WEB/DIAE/2010/1), 19/01/10
- **GITM 1: Second and Third Quarters of 2009** (UNCTAD/WEB/DIAE/IA/2009/10), 30/11/09

### **1.3 Investment Statistics**

#### **1.3.1 UNCTAD Manual on FDI statistics**

- The UNCTAD Manual on Statistics of FDI and the operations of TNCs, Vol. I (UNCTAD/DIAE/IA/2009/1), 12/10/09
- The UNCTAD Manual on Statistics of FDI and the operations of TNCs, Vol. II (UNCTAD/DIAE/IA/2009/2), 12/10/09
- The UNCTAD Manual on Statistics of FDI and the operations of TNCs, Vol. III (UNCTAD/DIAE/IA/2009/4), 12/10/09

#### **1.3.2 World Investment Directory**

- Volume X, Africa 2008, CD Rom (UNCTAD/ITE/IIT/2007/5 (CD Rom)), 2008
- Volume IX, Latin America and the Caribbean (UNCTAD/ITE/IIT/2004/4), 2004
- Volume VIII, Central and Eastern Europe, 2003
- Volume VII, Asia and Pacific, 2000

#### **1.3.3 Investment Country Profiles**

- India (UNCTAD/WEB/DIAE/IA/2013/4), 02/04/13
- Jordan (UNCTAD/WEB/DIAE/IA/2012/14), 02/04/13
- Chile (UNCTAD/WEB/DIAE/IA/2012/11), 01/03/13

- Croatia (UNCTAD/WEB/DIAE/IA/2012/12), 01/03/13
- Denmark (UNCTAD/WEB/DIAE/IA/2013/2), 01/03/13
- Portugal (UNCTAD/WEB/DIAE/IA/2012/16), 01/03/13
- Sweden (UNCTAD/WEB/DIAE/IA/2012/18), 01/03/13
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- Argentina (UNCTAD/WEB/DIAE/IA/2012/5), 24/02/12
- France (UNCTAD/WEB/DIAE/IA/2012/8), 24/02/12
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#### **1.3.4 World Investment Prospects Survey (WIPS)**

- WIPS 2014-2016 (UNCTAD/WEB/DIAE/IA/2015/4), 25/09/15
- WIPS 2013-2015 (UNCTAD/WEB/DIAE/IA/2013/9), 29/10/13
- WIPS 2012-2014 (UNCTAD/WEB/DIAE/IA/2012/21), 18/12/12
- WIPS 2010-2012 (UNCTAD/DIAE/IA/2010/4), 01/09/10
- WIPS 2009-2011 (UNCTAD/DIAE/IA/2009/8), 22/07/09
- WIPS 2008-2010 (UNCTAD/DIAE/IA/2008/1), 24/09/08
- WIPS 2007-2009 (UNCTAD/ITE/IIA/2007/4), 01/11/07

#### **1.3.5 Global Investment Prospects Assessment (GIPA)**

- [Prospects for Foreign Direct Investment and the strategies of transnational corporations, 2005-2008, 01/12/05](#)
- [Prospects for Foreign Direct Investment and the strategies of transnational corporations, 2004-2007, 01/10/04](#)

#### **1.3.6 World Economic Situation and Prospects (WESP)**

- WESP 2020, 16/1/2020
- WESP 2019, 22/01/2019
- WESP 2018, 17/05/2018
- WESP 2017, 17/01/2017
- WESP 2016, 06/05/16
- WESP 2015, 06/05/16
- WESP 2014, 20/01/14
- WESP 2014 [Advance release of the Global Outlook 2014], 18/12/13
- WESP 2013, 17/04/13
- WESP 2012, 17/01/12
- WESP 2012 [Advance release of the Global Outlook 2012], 01/12/11
- WESP 2011, 18/01/11

- WESP 2011 [Advance release of the Global Outlook 2011], 01/12/10
- WESP 2010, 19/01/09
- WESP 2010 [Advance release of the Global Outlook 2010], 02/12/09
- WESP 2009, 14/01/09
- WESP 2009 [Advance release of the Global Outlook 209], 30/11/08
- WESP 2008, 31/03/08
- WESP 2007, 10/01/07
- WESP 2006, 01/01/06
- WESP 2005, 25/01/05
- WESP 2004
- WESP 2003
- WESP 2002

### 1.3.7 FDI in LDC at a glance

- Overview of FDI in LDCs: Trends and Issues 2019
- Overview of FDI in LDCs: Trends and Issues 2018
- Overview of FDI in LDCs: Trends and Issues 2017
- FDI in LDCs: Lessons Learned from the Decade 2001–2010 and the Way Forward (UNCTAD/DIAE/IA/2011/1), 02/05/11
- FDI in Least developed countries at a glance: 2005/2006 (UNCTAD/ITE/IIA/2005/7), 15/09/06
- FDI in landlocked developing countries at a glance (UNCTAD/ITE/IIA/2003/5), 30/08/03
- FDI in Least developed countries at a glance 2002 (UNCTAD/ITE/IIA/6), 2003
- FDI in Least developed countries at a glance 2001 (UNCTAD/ITE/IIA/3), 31/03/01

### 1.4. FDI and development studies

#### 1.4.1 G20 Indicators Report: Measuring the Development and Employment Impact of Investment

- Country- Pilot Study on Mozambique (May 2013)
- Country- Pilot Study on Lao People's Democratic Republic (April 2013)
- Country- Pilot Study on Cambodia (February 2013)
- Country- Pilot Study on Mongolia (November 2012)
- Country- Pilot Study on Bangladesh (September 2012)
- Country Pilot Study on the Dominican Republic (May 2012)
- Indicators for measuring and maximizing economic value added and job creation arising from private sector investment in value chains - Report to the High-Level Development Working Group (September 2011)
- G20 Multi-Year Action Plan – Mandate on Private Investment and Job Creation

#### 1.4.2 Studies on FDI and Development

- ASEAN Investment Report 2019 - FDI in Services: Focus on Health Care (UNCTAD/ASEAN/AIR2019)
- ASEAN Investment Report 2018: Foreign Direct Investment and the Digital Economy in ASEAN, ASEAN Secretariat and UNCTAD
- ASEAN Investment Report 2017: FDI and Economic Zones in ASEAN, ASEAN Secretariat and UNCTAD



- ASEAN Investment Report 2016: Foreign Direct Investment and MSME Linkages (2016) ASEAN Secretariat and UNCTAD
- ASEAN Investment Report 2015: Infrastructure Investment and Connectivity (2015). ASEAN Secretariat and UNCTAD
- ASEAN Investment Report 2013-2014: FDI Development and Regional Value Chains (2014). ASEAN Secretariat and UNCTAD
- ASEAN Investment Report 2012: The Changing FDI Landscape (2013). ASEAN Secretariat and UNCTAD

## Series

- Investment by TNCs and Gender: Preliminary Assessment and Way Forward (UNCTAD/WEB/DIAE/IA/2014/4), 14/10/14
- Assessing the impact of the current financial and economic crisis on global FDI flows (UNCTAD/DIAE/IA/2009/3), 01/04/09
- FDI and Tourism: the development dimension, East and Southern Africa, UNCTAD Current Studies on FDI and Development, 01/11/08
- FDI in Tourism: the development dimension, UNCTAD Current Studies on FDI and Development No. 4, 01/02/08
- Elimination of TRIMS: the experience of selected developing countries, UNCTAD Current Studies on FDI and Development No.5, 01/12/07
- The Universe of the largest Transnational Corporations, UNCTAD Current Studies on FDI and Development No.3, 01/10/07
- Asian Foreign Direct Investment in Africa: Towards a New Era of Cooperation among Developing Countries, UNCTAD Current Studies on FDI and Development No.3, 01/02/07
- Measuring Restrictions on FDI in Services in Developing Countries and Transition Economies, UNCTAD Current Studies on FDI and Development No.2, Sales no. E.06.II.D.13, 01/07/06
- Globalization of R&D and Developing Countries, Proceedings of the Expert Meeting, Geneva, 24-26 January 2005, 1/04/06
- TNCs and the Removal of Textiles and Clothing Quotas, UNCTAD Current Studies on FDI and Development No.1, 11/06/05
- Foreign Direct Investment and Performance Requirements: New evidence from selected countries, 26/01/04
- The development dimension of FDI: Policy and Rule-making perspectives, 01/09/03
- FDI in ACP economies: Recent trends and development (UNCTAD/ITE/IIA/Misc.2), 30/09/02
- Foreign Direct Investment in the World and Poland: Trends, determinants and Economic impact, 2002
- Tradability of consulting services and its implications for developing countries (UNCTAD/ITE/IPC/Misc.8, 2002), 25/06/02
- Measures of the transnationalization of the economic activity, 2001
- The competitiveness challenge: Transnational corporations and industrial restructuring in developing countries, 2000
- FDI determinants and TNC strategies: The case of Brazil, 2000
- Strategies for diversification and adding value to food exports: A Value Chain Perspective (UNCTAD/ITE//Misc.23), 13/11/00
- The Social Responsibility of Transnational Corporations (UNCTAD/ITE/IIT/Misc.21), 31/10/99
- Foreign Direct Investment in Africa: Performance and Potential (UNCTAD/ITE/IIT/Misc.15), 07/09/98
- The Financial Crisis in Asia and Foreign Direct Investment: An Assessment (UNCTAD/ITE/IIT/8), 03/09/98

### **1.4.3 Occasional Notes on FDI**

- Investment Policy Developments in G-20 Countries (UNCTAD/WEB/DIAE/IA/2009/9), 08/07/09
- Revisiting FDI in extractive industries (UNCTAD/ITE/IIA/2008/1)
- Promotion of investment into infrastructure: A survey of investment promotion agencies (Occasional note) (UNCTAD/WEB/DIAE/IA/2008/2), 26/09/08
- UNCTAD survey on internationalization of R&D, Current Patterns and Prospects on the Internationalization of R&D (Occasional Note) (UNCTAD/WEB/ITE/IIA/2005/12), 12/12/05
- Many BITs have yet to enter into force Occasional Note (UNCTAD/WEB/ITE/IIA/2005/10), 09/11/05
- Outward FDI from Brazil: Poised to take off? Occasional Note (UNCTAD/WEB/ITE/IIA/2004/16), 08/12/04

### **1.4.4 Investment Briefs**

## **2. INVESTMENT POLICIES**

### **2.1 Investment Policy Framework for Sustainable Development**

- Investment Policy Framework for Sustainable Development 2.0, (UNCTAD/WEB/DIAE/PCB/2015/5), July 2015
- Investment Policy Framework for Sustainable Development, (UNCTAD/WEB/DIAE/PCB/2012/6), June 2012
- APEC handbook for IIAs negotiators, May 2013

### **2.2. Investment Policy Monitors**

A Periodic Report by the UNCTAD Secretariat, Vols. 1-23

Special Issues

- Special Issue (2020): Investment Policies Related to SDG Sectors. Recent Developments
- Special issue (2020): Investment Policy Responses to the Covid-19 Pandemic
- Special issue (2019): National Security-Related Screening Mechanisms for Foreign Investment: An Analysis of Recent Policy Developments
- Special issue (2017): Promoting Investment in the Digital Economy
- Special issue (2016): Investment Laws

### **2.3 UNCTAD-OECD-WTO Reports on G-20 Trade and Investment Measures**

Periodic joint UNCTAD-OECD Reports on G20 Investment Measures, Vols. 1-24

### **2.4 International Investment Agreements (IIAs)**

#### **2.4.1 IIA Issues Notes**

- No. 2 (2020) Investor-State Dispute Settlement Cases Pass the 1,000 Mark: Cases and Outcomes in 2019

- No.1 (2020) The Changing IIA Landscape: New Treaties and Recent Policy Developments.
- No. 4 (2019) Review of ISDS Decisions in 2018: Selected IIA Reform Issues
- No. 4 (2019) Supplementary Material to Review of ISDS Decisions in 2018: Selected IIA Reform Issues
- No. 3 (2019) Tacking Stock of IIA Reform: Recent Developments
- No. 2 (2019) Fact Sheet on Investor-State Dispute Settlement Cases in 2018
- No. 1 (2019) Reforming Investment Dispute Settlement: A Stocktaking
- No. 3 (2018) Fact sheet on Intra-European Union Investor-State Arbitration cases
- No. 2 (2018) Investor-State Dispute Settlement: Review of Developments in 2017
- No. 1 (2018) Recent developments in the international investment regime
- No. 4 (2017) Improving Investment Dispute Settlement: UNCTAD Policy Tools
- No. 3 (2017) Special update on Investor-State Dispute Settlement: Facts and Figures
- No. 2 (2017) Phase 2 of IIA Reform: Modernizing the existing stock of old-generation treaties
- No. 1 (2017) Investor-State Dispute Settlement: Review of Developments in 2016
- No. 2 (2016) Investor-State Dispute Settlement: Review of Developments in 2015
- No. 1 (2016) Taking Stock of IIA Reform
- No. 2 (2015) Investor-State Dispute Settlement: Review of Development in 2014
- No. 1 (2015) Recent Trends in IIAs and ISDS
- The Impact of International Investment Agreements on Foreign Direct Investment: An Overview of Empirical Studies 1998-2014
- No. 3 (2014). Reform of the IIA Regime: Four Paths of Action and a Way Forward
- No. 2 (2014). Investor-State Dispute Settlement: An Information Note on the United States and the European Union
- No. 1 (2014). Recent Developments in Investor-State Dispute Settlement (ISDS)
- No. 5 (2013). Towards a New Generation of International Investment Policies: UNCTAD's Fresh Approach to Multilateral Investment Policy-Making
- No. 4 (2013). International Investment Policymaking in Transition: Challenges and Opportunities of Treaty Renewal
- No. 3 (2013). The Rise of Regionalism in International Investment Policymaking: Consolidation or Complexity?
- No. 2 (2013). Reform of Investor-State Dispute Settlement: In Search of a Roadmap Special issue for the Multilateral Dialogue on Investment
- No. 1 (2013). Recent Developments in Investor-State Dispute Settlement
- No. 1 (2012). Latest Developments in Investor-State Dispute Settlement
- No. 3 (2011). Interpretation of IIAs: What States Can Do
- No. 2 (2011). Sovereign Debt Restructuring and International Investment Agreements
- No. 1 (2011). Latest Developments in Investor-State Dispute Settlement
- No. 2 (2010). Denunciation of the ICSID Convention and BITs: Impact on Investor-State Claims
- No. 1 (2010). Latest Developments in Investor-State Dispute Settlement

## Section 2

- No. 3 (2009). Recent developments in international investment agreements (2008–June 2009)
- No. 2 (2009). Selected Recent Developments in IIA Arbitration and Human Rights
- No. 1 (2009). Latest Developments in Investor-State Dispute Settlement
- No. 2 (2008). Recent developments in international investment agreements (2007–June 2008)
- No. 1 (2008). Latest Developments in Investor-State Dispute Settlement

- No. 3 (2007). Recent developments in international investment agreements (2006–June 2007)
- No. 2 (2007). Development implications of international investment agreements
- No. 1 (2007). Intellectual Property Provisions in International Investment Arrangements
- No. 4 (2006). Latest Developments in Investor-State Dispute Settlement
- No. 3 (2006). The Entry into Force of Bilateral Investment Treaties (BITs)
- No. 2 (2006). Developments in International Investment Agreements in 2005
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- No. 4 (2005). Latest Developments in Investor-State Dispute Settlement
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#### 2.4.2 Ad Hoc Documents

- [International Investment Agreements Reform Accelerator \(2020\)](#)
- Guiding Principles for Investment Policymaking for the countries of the D-8 Organization for Economic Cooperation. January 2020
- UNCTAD Reform Package for the International Investment Regime (2018)
- UNCTAD Reform Package for the International Investment Regime (2017)
- [Global Action Menu for Investment Facilitation \(2016\)](#) (available in English, Spanish and French)
- Investment Facilitation: A Review of Policy Practices (2017)
- Policy options for IIA reform: treaty examples and data (supplementary material to World Investment Report 2015)

#### 2.4.3 UNCTAD Series on International Investment Policies for Development

- Investor–State Disputes: Prevention and Alternatives to Arbitration: Proceedings of Symposium held on 29 March 2010 (UNCTAD/WEB/DIAE/IA/2010/8), 13/07/11
- Investor–State Disputes: Prevention and Alternatives to Arbitration (UNCTAD/DIAE/IA/2009/11), 01/08/10
- The Role of International Investment Agreements in Attracting Foreign Direct Investment (UNCTAD/DIAE/IA/2009/5)
- The Protection of National Security in IIAs, 01/08/09
- International Investment Rule-making: Stocktaking, Challenges and the Way Forward, 10/02/09
- Identifying Core Elements in Investment Agreements in the APEC Region, 01/12/08
- Investment Promotion Provisions in International Investment Agreements, 02/07/08
- Investor–State Dispute Settlement and Impact on Investment Rulemaking, 08/01/08
- [Investment Provisions in Economic Integration Agreements](#) (UNCTAD/ITE/IIT/2005/10), 13/06/06
- Preserving Flexibility in IIAs: The Use of Reservations, Sales no. E.06.II.D.14, 01/06/06
- International Investment Agreements: Trends and Emerging Issues, 01/04/06
- Investor-State Disputes Arising from Investment Treaties: A Review, 01/02/06
- South-South Cooperation in International Investment agreements, 14/10/05
- International Investment Agreements in Services, 01/10/05
- The REIO Exception in MFN treatment Clauses, 01/12/04

#### 2.4.4 UNCTAD Series on Issues in International Investment Agreements

- International Investment Agreements: Key Issues, Volumes I, II and III.

- State Contracts
- Competition
- Key Terms and Concepts in IIAs: a Glossary
- Incentives
- Transparency
- Dispute Settlement: Investor-State
- Dispute Settlement: State-State
- Transfer of Technology
- Home Country Measures
- Illicit Payments
- Host Country Operational Measures
- Social Responsibility
- Environment
- Transfer of Funds
- Flexibility for Development
- National Treatment
- Taxation
- Employment
- Taking of Property
- Admission and Establishment
- Trends in International Investment Agreements: An Overview
- Lessons from the MAI
- Fair and Equitable Treatment
- Transfer Pricing
- Scope and Definition
- Most-Favoured Nation Treatment
- Foreign Direct Investment and Development
- Investment-Related Trade Measures

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- Investor-State Dispute Settlement: A Sequel
- Transparency: A Sequel
- Expropriation: A Sequel
- Scope and Definition: A Sequel
- Most-Favoured-Nation Treatment: A Sequel
- Fair and Equitable Treatment: A Sequel

### 2.4.5 Bilateral Investment Treaties (BITs)

- BITs 1995–2006: Trends in Investment Rulemaking, 01/02/07
- BITs 1959-1999, 2000
- BITs in the mid-1990s, 1998

### 2.4.6 International Investment Instruments: A Compendium

- Vol. XIV, 01/03/05
- Vol. XIII, 01/03/05
- Vol. XII, 01/03/04
- Vol. XI, 29/01/04
- Vol. X, 23/09/02
- Vol. IX, 24/06/02
- Vol. VIII, 24/06/02

- Vol. VII, 24/06/02
- Vol. VI, 10/01/02
- Vol. V, Regional Integration, Bilateral and Non-governmental Instruments, 30/05/00
- Vol. IV, Multilateral and Regional Instruments, 30/05/00
- Vol. III, Regional Integration, Bilateral and Non-governmental Instruments, 31/05/96
- Vol. II, Regional Instruments, 31/05/96
- Vol. I, Multilateral Instruments, 31/05/96

## 2.5 Investment Policy Reviews (IPR)

### 2.5.1 Investment Policy Review Series

- Seychelles (UNCTAD/DIAE/PCB/2020/1), 19/11/20
- Côte d'Ivoire (UNCTAD/DIAE/PCB/2019/5), 25/02/20
- Angola (UNCTAD/DIAE/PCB/2019/4), 06/01/20
- Armenia (UNCTAD/DIAE/PCB/2019/3), 21/10/19
- Chad (UNCTAD/DIAE/PCB/2019/1), 06/06/19
- Lebanon (UNCTAD/DIAE/PCB/2017/11), 03/10/18
- Cabo Verde (UNCTAD/DIAE/PCB/2018/2), 02/10/18
- South-East Europe (UNCTAD/DIAE/PCB/2017/6)
- The Gambia (UNCTAD/DIAE/PCB/2017/5), 03/10/17
- Tajikistan (UNCTAD/DIAE/PCB/2016/1), 15/06/16
- Madagascar (UNCTAD/DIAE/PCB/2015/4), 15/11/15
- Kyrgyzstan (UNCTAD/DIAE/PCB/2015/3), 15/02/16
- Bosnia and Herzegovina (UNCTAD/DIAE/PCB/2015/1), 15/10/15
- Sudan (UNCTAD/DIAE/PCB/2014/5), 15/03/15
- République du Congo (UNCTAD/DIAE/PCB/2014/4), 15/04/15
- Bangladesh (UNCTAD/DIAE/PCB/2013/4), 12/12/13
- Mongolia (UNCTAD/DIAE/PCB/2013/3), 12/12/13
- Republic of Moldova (UNCTAD/DIAE/PCB/2013/5), 12/12/13
- Djibouti (Summary) (UNCTAD/DIAE/PCB/2013/1), 18/04/13
- Djibouti (UNCTAD/DIAE/PCB/2013/1), 17/04/13
- [The Investment Policy Reviews - Shaping investment policies around the world](#) (UNCTAD/DIAE/PCB/2011/9), 21/07/12
- Mozambique (UNCTAD/DIAE/PCB/2012/1), 11/09/12
- The former Yugoslav Republic of Macedonia (UNCTAD/DIAE/PCB/2011/3), 31/03/11
- Guatemala (UNCTAD/DIAE/PCB/2010/9), 01/04/11
- El Salvador (UNCTAD/DIAE/PCB/2009/20), 01/03/2010
- Sierra Leone (UNCTAD/DIAE/PCB/2009/14), 08/11/09
- Belarus (UNCTAD/DIAE/PCB/2009/10), 01/10/09
- Burundi (UNCTAD/PCB/2009/17)
- Burkina Faso (UNCTAD/DIAE/PCB/2009/4), 01/05/09
- All Investment Policy Reviews (CD - Rom) (UNCTAD/ITE/PCB/2008/4/CD)
- Mauritania (UNCTAD/ITE/IPC/2008/5), 01/05/09
- Nigeria (UNCTAD/DIAE/PCB/2008/1), 02/04/09
- Dominican Republic (UNCTAD/ITE/IPC/2007/9), 01/04/09
- Viet Nam (UNCTAD/ITE/IPC/2007/10), 09/02/09
- Zambia (UNCTAD/ITE/IPC/2006/14), 01/02/07
- Morocco (UNCTAD/ITE/IPC/2006/16), 29/01/07
- Rwanda (UNCTAD/ITE/IPC/2006/11), 01/07/06

- Colombia (UNCTAD/ITE/IPC/2005/11), 01/01/06
- Kenya (UNCTAD/ITE/IPC/2005/8), 21/07/05
- Bénin (UNCTAD/ITE/IPC/2004/4), 21/10/04
- Sri Lanka (UNCTAD/ITE/IPC/2003/8), 03/02/04
- Lesotho (UNCTAD/ITE/IPC/2003/4), 12/01/04
- Algeria (UNCTAD/ITE/IPC/2003/9), 23/12/03
- Nepal (UNCTAD/ITE/IPC/Misc/2003/1), 30/10/03
- Ghana (UNCTAD/ITE/IPC/Misc.14/Rev.1), 17/06/03
- Botswana (UNCTAD/ITE/IPC/Misc.10), 14/04/03
- Ethiopia (UNCTAD/ITE/IPC/Misc.4), 25/01/02
- United Republic of Tanzania (UNCTAD/ITE/IPC/Misc.9), 14/01/02
- Mauritius (UNCTAD/ITE/IPC/Misc.1), 01/02/01
- Ecuador (UNCTAD/ITE/IPC/Misc.2), 01/02/01
- Peru (UNCTAD/ITE/IIP/Misc.19), 01/12/00
- Uganda (UNCTAD/ITE/IPP/Misc.17), 01/03/00
- Egypt (UNCTAD/ITE/IIP/Misc.11), 30/09/99
- Uzbekistan (UNCTAD/ITE/IIP/Misc.13), 707/03/99

## 2.5.2 Implementation Reports and Other Studies

- Report on the implementation of the Investment Policy Review of Nepal (UNCATD/DIAE/PCB/2019/6)
- Report on the implementation of the Investment Policy Review of Nigeria (UNCTAD/DIAE/PCB/2018/6), 08/03/2019
- Investment Policy Review Implementation Report: Lessons Learned (UNCTAD/DIAE/PCB/2018/3), 03/10/18
- Report on the implementation of the Investment Policy Review of Mauritius (UNCTAD/DIAE/PCB/2017/9), 15/01/2018
- Report on the implementation of the Investment Policy Review of Dominican Republic (UNCTAD/DIAE/PCB/2016/2), 15/01/2017
- Report on the implementation of the Investment Policy Review of Botswana (UNCTAD/DIAE/PCB/2016/4), 15/12/2016
- Report on the implementation of the Investment Policy Review of Benin (UNCTAD/DIAE/PCB/2016/3), 15/12/2016
- Report on the implementation of the Investment Policy Review of Morocco (UNCTAD/DIAE/PCB/2015/2), 16/11/15
- Report on the implementation of the Investment Policy Review of Colombia (UNCTAD/DIAE/PCB/2014/1), 07/07/14
- Report on the implementation of the Investment Policy Review of Zambia (UNCTAD/DIAE/PCB/2014/2), 07/07/14
- Report on the Implementation of the Investment Policy Review of Lesotho (UNCTAD/DIAE/PCB/2013/6), 27/01/14
- Report on the Implementation of the Investment Policy Review for Kenya (UNCTAD/DIAE/PCB/2012/6), 01/02/13
- Report on the Implementation of the Investment Policy Review of Ethiopia (UNCTAD/DIAE/PCB/2010/7), 01/03/11
- Report on the Implementation of the Investment Policy Review of the United Republic of Tanzania (UNCTAD/DIAE/PCB/2010/6), 01/03/11
- Report on the Implementation of the Investment Policy Review: Ghana (UNCTAD/DIAE/PCB/2009/5), 01/03/10
- The Investment Policy Review Programme: A Framework for Attracting and Benefiting from FDI (UNCTAD/ITE/IPC/2008/3), 01/11/08

- [Report on the Implementation of the Investment Policy Review: Uganda](#) (UNCTAD/ITE/IPC/2006/15), 01/02/07
- [Report on the Implementation of the Investment Policy Review for Egypt](#) (UNCTAD/ITE/IPC/2006/1), 01/01/06
- [Investment compass users' guide](#) (UNCTAD/ITE/IPC/2005/10), 07/02/06
- [Algérie: Evaluation des capacités de promotion des investissements de l'ANDI](#) (UNCTAD/WEB/ITE/IPC/2005/8), 31/10/05

## 2.6 Investment Advisory Series B - Best Practices in Investment for Development

- [How to Prevent and Manage Investor-State Disputes - Lessons from Peru](#) (UNCTAD/WEB/DIAE/PCB/2011/9), 01/02/12
- [How to Utilize FDI to Improve Transport Infrastructure - Ports: Lessons from Nigeria](#) (UNCTAD/DIAE/PCB/2011/8), 31/01/12
- [How to Attract and Benefit from FDI in Mining - Lessons from Canada and Chile](#) (UNCTAD/DIAE/PCB/2010/11), 23/09/11
- [How to Attract and Benefit from FDI in Small Countries - Lessons from Estonia and Jamaica](#) (UNCTAD/DIAE/PCB/2010/4), 12/07/11
- [How to Integrate FDI and Skill Development - Lessons from Canada and Singapore](#) (UNCTAD/DIAE/PCB/2010/5), 05/07/11
- [How to Create and Benefit from FDI-SME Linkages - Lessons from Malaysia and Singapore](#) (UNCTAD/DIAE/PCB/2009/18), 27/06/11
- [How Post-Conflict Countries Can Attract and Benefit from FDI - Lessons from Croatia and Mozambique](#) (UNCTAD/DIAE/PCB/2009/15), 31/10/09
- [How to Utilize FDI to Improve Transport Infrastructure – Roads - Australia and Peru, June 2009](#)
- [How to Utilize FDI to Improve Infrastructure – Electricity - Chile and New Zealand, June 2009](#)

## 3. INVESTMENT PROMOTION AND FACILITATION

### 3.1 Investment Advisory Series A - Investment Promotion

- [The IPA Observer No. 10: Mainstreaming Gender Equality in Investment Promotion](#), 10/11/20
- [The IPA Observer No.9 Special Issue: Post-COVID-19: Investment Promotion Agencies and the “New Normal.”](#) 13/07/20
- [The IPA Observer No.8 Special Issue: Investment promotion agencies: striving to overcome the covid-19 challenge.](#) 20/04/20
- [The IPA Observer No. 7: Promoting Investment for Sustainable Development in Cities](#), (UNCTAD/DIAE/PCB/INF/2019/1), 15/03/19
- [The IPA Observer No. 6: Investment Facilitation: The perfect match for investment promotion](#), (UNCTAD/WEB/DIAE/PCB/2017/4), 6/07/17
- [The IPA Observer No. 5: Promoting Green FDI: Practices and Lessons from the Field](#) (UNCTAD/WEB/DIAE/PCB/2015/6), 09/05/16
- [The IPA Observer No. 4: Outward Investment Agencies: Partners in Promoting Sustainable Development](#) (UNCTAD/WEB/DIAE/PCB/2015/4), 04/01/16
- [The IPA Observer No. 3: Skills and Foreign Direct Investment Promotion: What Can an Investment Promotion Agency Do?](#) (UNCTAD/WEB/DIAE/PCB/2014/2), 07/07/14



- The IPA Observer No.2: Investment Promotion in an Era of GVCs: Maximizing impact through business linkages (UNCTAD/WEB/DIAE/2013/1), 27/01/14
- The IPA Observer No.1: Optimizing Government Services: A Case for Joint Investment and Trade Promotion? (UNCTAD/WEB/DIAE/PCB/2013/1), 13/03/13

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- Investment Advisory Series No. 8: Promoting Investment in the Sustainable Development Goals (UNCTAD/DIAE/PCB/2018/4), 15/03/19
- Investment Advisory Series No. 7: Promoting Low-Carbon Investment, IAS number 7 (UNCTAD/DIAE/PCB/2013/2), 07/01/14
- Investment Advisory Series No. 6: Investment Promotion Handbook for Diplomats, IAS number 6 (UNCTAD/DIAE/PCB/2011/2), 30/11/11
- Investment Advisory Series No. 5: Promoting Foreign Investment in Tourism, IAS number 5 (UNCTAD/DIAE/PCB/2009/16), 01/04/10
- Investment Advisory Series No.4: Promoting Investment and Trade: Practices and Issues, IAS number 4 (UNCTAD/DIAE/PCB/2009/9), 30/09/09
- Investment Advisory Series No. 3: Evaluating Investment Promotion Agencies, IAS number 3 (UNCTAD/DIAE/PCB/2008/2), 01/11/08
- Investment Advisory Series No. 2: Investment Promotion Agencies as Policy Advocates, IAS number 2 (UNCTAD/ITE/IPC/2007/6), 03/06/08
- Investment Advisory Series No. 1: Aftercare: A Core Function in Investment Promotion, IAS number 1 (UNCTAD/ITE/IPC/2007/1), 01/10/07

### 3.2 Advisory Studies

- La Promoción de Inversión Extranjera en las Regiones del Perú (UNCTAD/ITE/IPC/2006/3), 1/03/06
- Good governance in investment promotion and facilitation programme booklet, 2004
- A Survey of Support by Investment Promotion Agencies to Linkages, Advisory Studies no. 18 (UNCTAD/ITE/IPC/2005/12), 11/05/06
- The world of investment promotion at a glance: A survey of investment promotion, ASIT Advisory Studies, No. 17 (UNCTAD/ITE/IPC/3), 13/01/02
- Tax incentives and foreign direct investment: A global survey, ASIT Advisory Studies, No. 16 (UNCTAD/ITE/IPC/Misc.3), 31/01/01
- Investment regimes in the Arab world: Issues and Policies, ASIT Advisory Studies, No. 15, 2000
- Handbook on Outward Investment Agencies and Institutions, ASIT Advisory Studies, No. 14, /09/99
- Survey of best practices in investment promotion, ASIT Advisory Studies, 31/01/97

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- [Joint Report by the Office to the President of the Republic of Armenia and UNCTAD: Investment Promotion Strategy in times of the global crisis and beyond](#), June 2020.
- [UNCTAD- UN Habitat Discussion Paper on Special Economic Zones and Urbanization](#) (UNCTAD-UNHabitat/2020/1), June 2020
- Investing in the sustainable Development Goals: The role of Diplomats (issues note). November 2019
- Mobilizing Investment for the Sustainable Development Goals: A Strategic Approach (UNCTAD/DIAE/MISC/2017/5), 2017

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### 3.4 Blue Book on Best Practice in Investment Promotion and Facilitation

- Nigeria (UNCTAD/DIAE/PCB/2009/7), 11/08/09
- Zambia (UNCTAD/ITE/IPC/Misc/2006/3), 23/03/07
- Ghana (UNCTAD/ITE/IPC/2006/13), 08/08/06
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- Cambodia (dite\_pcbb\_iprs0001), 26/01/05
- Lao PDR (dite\_pcbb\_iprs0002), 26/01/05

### 3.5 Investment Guides

- iGuide: Antigua and Barbuda
- iGuide: Bangladesh
- iGuide: Barbados
- iGuide: Benin
- iGuide: Bhutan
- iGuide: Burkina Faso
- iGuide: Burundi
- iGuide: Cambodia
- iGuide: Curaçao
- iGuide: Comoros
- iGuide: Congo, Republic of the
- iGuide: East African Community
- iGuide: Ethiopia
- iGuide: Jamaica
- iGuide: Kenya
- iGuide: Lao People's Democratic Republic
- iGuide: Madagascar
- iGuide: Malawi
- iGuide: Mali
- iGuide: Mauritania
- iGuide: Mongolia
- iGuide: Morocco
- iGuide: Morocco, The Oriental Region
- iGuide: Mauritania
- iGuide: Mozambique
- iGuide: Nepal
- iGuide: Nigeria
- iGuide: Rwanda
- iGuide: Saint Lucia
- iGuide: Saint Vincent and the Grenadines
- iGuide: Tanzania
- iGuide: The Silk Road Region
- iGuide: Uganda
- iGuide: Uzbekistan
- iGuide: Zambia

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- Investment Guide to the Silk Road (UNCTAD/DIAE/2014/3), 16/10/14
- An Investment Guide to the Oriental Region of Morocco - Opportunities and Conditions (UNCTAD/DIAE/PCB/2010/10), 07/12/12
- Investment Guide to Rwanda, Opportunities and Condition (UNCTAD/DIAE/PCB/2012/4), 02/10/06
- Guide de l'Investissement au Burkina Faso, Opportunités et Conditions (UNCTAD/DIAE/PCB/2012/3), 01/10/12
- An Investment Guide to Kenya, Opportunities and Conditions (UNCTAD/DIAE/PCB/2012/2), 01/05/12
- An Investment Guide to Zambia - Opportunities and conditions (UNCTAD/DIAE/PCB/2010/8), 01/04/11
- An Investment guide to the Lao People´s Democratic Republic - Opportunities and Conditions 2010 (UNCTAD/DIAE/PCB/2010/02), 01/08/10
- The Silk Road (UNCTAD/DIAE/IA/2009/6), 01/09/09
- Rwanda (UNCTAD/ITE/IIA/2006/3), 03/10/06
- Mali: Opportunities and Conditions (UNCTAD/ITE/IIA/2006/2), 01/09/06
- The East African Community (UNCTAD/ITE/IIA/2005/4), 01/09/05
- Kenya (UNCTAD/ITE/IIA/2005/2), 29/09/05
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- Nepal (UNCTAD/ITE/IIA/2003/2), 01/04/03
- Mozambique (UNCTAD/ITE/IIA/4), 22/01/02
- Bangladesh (UNCTAD/ITE/IIT/Misc.29), 01/11/00

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### 4.1 Principles for Responsible Agricultural Investment

- The Impact of Larger-Scale Agricultural Investments on Local Communities: Updated Voices from the Field (WB/UNCTAD/2017), 26/04/17
- Investment Contracts for Agriculture: Maximizing Gains and Minimizing Risks (WBG/2015/DP3), 01/07/15
- The Practice of Responsible Investment Principles in Larger-Scale Agricultural Investments - Implications for corporate performance and impact on local communities (World Bank/UNCTAD, 29/04/14)
- [Principles for Responsible Agricultural Investment that Respects Rights, Livelihoods and Resources, December 2011](#)
- [Report to G20 Development Working Group: Options for Promoting Responsible Investment in Agriculture, June 2011](#)

### 4.2 Sustainable Stock Exchanges

- How exchanges can embed sustainability within their operations: A blueprint to advance action (2019)
- United Nations SSE Initiative: 10 Years of Impact and Progress (2019)
- How securities regulators can support the Sustainable Development Goals (2018)
- Sustainable Stock Exchanges (SSE) 2018 Report on Progress (2018)
- How Stock Exchange can Grow Green Finance (2017)

- [The Role of Stock Exchanges in Fostering Economic Growth and Sustainable Development \(2017\)](#)
- [How Stock Exchanges can Advance Gender Equality \(2017\)](#)
- [2016 Sustainable Stock Exchanges Report: A Report on Progress. SSE Secretariat.](#)
- [Green Finance: What can Stock Exchanges do? \(2016\)](#)
- [Climate, Carbon & Stranded Assets: What do they mean for Stock Exchanges? \(2015\)](#)
- [Sustainable Development Goals: What they mean for Stock Exchanges? \(2015\)](#)
- [Model Guidance on Reporting ESG Information to Investors: A Voluntary tool for Stock Exchanges to Guide Issuers \(2015\)](#)
- [Communication to Stakeholders: Framework for adaptation by Stock Exchanges \(2015\)](#)
- [2014 Sustainable Stock Exchanges Report: A Report on Progress. SSE Secretariat](#)
- [2013 Best Practice Guidance for Policymakers and Stock Exchanges on Sustainability Reporting Initiatives. UNCTAD](#)
- [2012 Sustainable Stock Exchanges Report: A Report on Progress. SSE Secretariat](#)
- [2010 Sustainable Stock Exchanges Report: Real Obstacles, Real Opportunities. SSE Secretariat](#)

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- [How public pension and sovereign wealth funds mainstream sustainability: Practices of the frontrunners and a proposed integration framework \(UNCTAD/DIAE/2020/3\) 18/09/20](#)
- [Leveraging the Potential of ESG ETFs for Sustainable Development \(UNCTAD/DIAE/2020/1\), 26/02/20](#)
- [Promoting standards for responsible investment in value chains - Report to the High-Level Development Working Group, 17/09/11](#)
- [Corporate Social Responsibility in Global Value Chains - Evaluation and monitoring challenges for small and medium sized suppliers in developing countries \(UNCTAD/DIAE/ED/2012/3\), 17/10/12](#)
- [Investment and Enterprise Responsibility Review - Analysis of investor and enterprise policies on corporate social responsibility \(UNCTAD/DIAE/ED/2010/1\), 31/08/10](#)

### **4.4 Development Dimensions of Intellectual Property Rights**

#### **4.4.1 Guides and Tools**

- [Tool Box for Policy Coherence in Access to Medicines and Local Pharmaceutical Production, 2017 \(UNCTAD/DIAE/PCB/2017/2\) 30/11/17](#)
- [The Convention on Biodiversity and the Nagoya Protocol: Intellectual Property Implications: A Handbook on the Interface between Global Access and Benefit Sharing Rules and Intellectual Property \(UNCTAD/DIAE/PCB/2014/3\), 01/10/14](#)
- [Investment in Pharmaceutical Production in the Least Developed Countries: A Guide for Policymakers and Investment Promotion Agencies \(UNCTAD/DIAE/PCB/2011/5\), 01/04/11](#)
- [Guidelines for the examination of pharmaceutical patents: Developing a public health perspective, WHO-ICTSD-UNCTAD, by Carlos Correa, Professor, University of Buenos Aires, 01/01/07](#)
- [Resource Book on TRIPS and Development: An authoritative and practical guide to the TRIPS Agreement, Cambridge University Press, 01/01/05](#)

- Intellectual Property Rights: Implications for Development, Policy Discussion Paper, 01/01/03

#### 4.4.2 Policy Briefs

- Policy Brief No. 10: The Structural Changes in the Global Pharmaceutical Marketplace and Their Possible Implications for Intellectual Property - by Brian Tempest, Partner, Hale & Tempest and former CEO, Ranbaxy, 29/07/11
- Policy brief No.7: The Research and Experimentation Exceptions in Patent Law: Jurisdictional Variations and the WIPO Development Agenda, Evans Misati, Kenya Industrial Property Office and Kiyoshi Adachi, UNCTAD, 28/02/10
- Policy Brief No. 5: The Bayh-Dole Model in Developing Countries: Reflections on the Indian Bill on Publicly Funded Intellectual Property, Bhaven N. Sampat, Assistant Professor, Department of Health Policy and Management, Columbia University, 30/09/09
- Policy Brief No.4: Negotiating Trade, Innovation and Intellectual Property: Lessons from the CARIFORUM EPA Experience from a Negotiator's Perspective, 01/09/09
- Policy Brief No.3: Addressing the Interface between Patents and Technical Standards in International Trade Discussions, 01/02/09
- Policy Brief No.2: Does TRIPS Art. 66.2 Encourage Technology Transfer to LDCs? An Analysis of Country Submissions to the TRIPS Council (1999-2007), by Suerie Moon, Giorgio Ruffolo, Doctoral Research Fellow and Doctoral Candidate, Center for International Development, Kennedy School of Government, Harvard University, 01/12/08
- Policy Brief No.1: A2K and the WIPO Development Agenda: Time to List the Public Domain, by Uma Suthersanen, School of law, Queen Mary University of London, 01/12/08
- Information Note 7: Post-mortem for the Geneva mini-ministerial: Where does TRIPs go from here? by Frederick M. Abbott, Edward Ball Eminent Scholar, Professor of Law, Florida State University College of Law, 01/08/08

#### 4.4.3 Issue Papers

- Issue Paper No. 33: The Influence of Preferential Trade Agreements on the Implementation of Intellectual Property Rights in Developing Countries: A First Look - by Ermias Tekeste Biadgleng, UNCTAD and Jean-Christophe Maur, World Bank Institute, (UNCTAD/ITE/IPC/2011/1), 01/12/11
- Issue Paper No. 17: Exceptions to Patent Rights in Developing Countries, by Christopher Garrison, 2006
- Issue Paper No. 13: Utility Models and Innovation in Developing Countries, , by Uma Suthersanen, 2006
- Issue Paper No. 12: Intellectual Property Provisions of Bilateral and Regional Trade Agreements in Light of U.S. Federal Law, by Frederick M. Abbott, 2006
- Issue Paper No. 8: The Socio-Economics of Geographical Indications, A Review of Empirical Evidence from Europe, by Dwijen Rangnekar, 2004
- Issue Paper No. 7: Encouraging International Technology Transfer, by Keith E. Maskus, 2004
- Issue Paper No. 6: Nutrition and Technology Transfer Policies, by John H. Barton, 2004
- Issue paper No.5: Non-Voluntary Licensing of Patented Inventions: Historical Perspective, Legal Framework under TRIPS, and an Overview of the Practice in Canada and the United States of America, by Jerome H. Reichman and Catherine Hasenzahl, 2003

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- Issue paper No.3: Indicators of the relative importance of IPRs in developing countries, by Sanjaya Lall, with the collaboration of Manuel Albaladejo, 2003
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#### **4.4.4 Intellectual Property, Technology Transfer and Development**

- Issue Paper No. 15: The International Copyright System: Limitations, Exceptions and Public Interest Considerations for Developing Countries in the Digital Environment (français), by Ruth Okediji, 01/05/06
- Issue Paper No. 10: Intellectual Property and Computer Software, A Battle of Competing Use and Access Visions for Countries of the South, by Alan Story, 01/05/04
- Issue Paper No. 9: Development in the Information Age: Issues in the Regulation of Intellectual Property Rights, Computer Software and Electronic Commerce, by Ruth L. Okediji, 01/05/04
- Key Issues in Biotechnology (UNCTAD/ITE/TEB/10), 05/05/2002

#### **4.4.5 Enhancing Productive Capacity**

- Intellectual Property: How much Room is left for industrial policy? By Carlos M. Correa (UNCTAD/OSG/DP/2015/5), 06/11/15
- Local Production of Pharmaceuticals and Related Technology Transfer in Developing Countries: A series of case studies, (UNCTAD/DIAE/PCB/2011/7), 19/09/12
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- Harmonisation of TRIPS-Plus IPR Policies and Potential Impacts on Technological Capability: A Case Study of the Pharmaceutical Industry in Thailand by Jakkrit Kuanpoth (UNCTAD/ICTSD/IDRC/2006/1), 01/11/06
- Post-2005 TRIPS Scenario in patent protection in the pharmaceutical sector: The case of generic pharmaceutical industry in India by Biswajit Dhar (UNCTAD/ICTSD/IDRC/2006/2), 01/11/06
- Transfer of technology for successful integration into global economy - A case study of the salmon industry in Chile (UNCTAD/ITE/IIT/2005/12), 01/03/06
- The Genetic Use Restriction Technologies, Intellectual Property Rights and Sustainable Development in Eastern and Southern Africa by Patricia Kameri-Mbote and James Otieno-Odek (UNCTAD/ICTSD/TRALAC/2006/2), 01/01/06
- Taxation and Technology transfer: Key Issues, Transfer of Technology for Successful Integration into the Global Economy, Sales no. E.05.II.D.24, 02/11/05
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- Facilitating transfer of technology to developing countries: A survey of home-country measures, UNCTAD Series on Technology Transfer and Development (UNCTAD/ITE/IPC/2004/5), 01/03/05
- Transfer of Technology for Successful Integration Into the Global Economy (UNCTAD/ITE/IPC/2003/6) 26/01/04

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- Transfer of Technology for Successful Integration into the Global Economy - A case study of the south African automotive industry Trudi Hartzenberg and Samson Muradzikwa (UNCTAD/ITE/IPC/Misc.21), 07/11/02
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- Compendium of international arrangements on transfer of technology: Selected Instruments, Sales no. E.01.II.D.28, 31/08/01
- Making North-South Research Networks (UNCTAD/ITE/EDS/7), 31/10/99

#### 4.4.6 Partnerships for the SDGs

- Development Dimensions of Intellectual Property in Nepal: Transfer of Technology, Access to Medicines, Genetic Resources and Traditional Knowledge (UNCTAD/DIAE/PCB/2015/7), 22/09/16
- TRIPS Flexibilities and Anti-Counterfeit Legislation in Kenya and the East African Community: Implications for Generic Producers, An UNCTAD-UNIDO Discussion Paper (UNCTAD/DIAE/PCB/2015/6), 14/09/16
- Development Dimensions of Intellectual Property in Indonesia: Access to Medicines, Transfer of Technology and Competition (UNCTAD/DIAE/PCB/2011/6), 30/11/11
- Development Dimensions of Intellectual Property in Uganda: Transfer of Technology, Access to Medicines and Textbooks - A Report by the UNCTAD-ICTSD Project on Intellectual Property Rights and Sustainable Development (UNCTAD/PCB/2009/13), 01/06/10
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- Exploring Components and Elements of Sui Generis Systems for Plant Variety Protection and Traditional Knowledge in Asia by Daniel Robinson (UNCTAD/ICTSD/IDRC/2007/1), 01/03/07
- Implementación de la Protección de Datos de Prueba de Productos Farmacéuticos y Agroquímicos en DR-CAFTA-ley Modelo by Carlos Correa (UNCTAD/ICTSD/2006/1), 01/10/06
- The ability of select sub-Saharan African countries to utilise TRIPs Flexibilities and Competition Law to ensure a sustainable supply of essential medicines: A study of producing and importing countries by Tenu Avafia, Jonathan Berger and Trudi Hartz (UNCTAD/ICTSD/TRALAC/2006/3), 01/01/06
- Intellectual Property, Education and Access to Knowledge in Southern Africa by Andrew Rens, Achal Prabhala and Dick Kawooya (UNCTAD/ICTSD/TRALAC/2006/1), 01/01/06
- Small-scale Agriculture and the Nutritional Safeguard under Article 8(1) of the Uruguay Round Agreement on Trade-Related Aspects of Intellectual Property Rights: Case Studies from Kenya and Peru, UNCTAD-ICTSD Regional Research Agenda, by Robert J. L. Lettington, (UNCTAD/ICTSD/2003/2) 01/11/03
- Intellectual Property Rights: Implications for Development (UNCTAD/ICTSD/2003/1), 01/01/03

#### 4.4.7 Research Papers

- [ATAS XII: The role of publicly funded research and publicly owned technologies in the transfer and diffusion of environmentally and technologies \(ESTs\)](#), 01/11/00

- UNCTAD/ICTSD Project on Intellectual Property Rights and Sustainable Development (UNCTAD/DIAE/PCB/2009/13), 01/06/2010
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- Literature survey on intellectual property rights and sustainable human development, selected and compiled by Graham Dutfield, April 2003
- Inventory of Relevant International Negotiations, Activities and Processes on Intellectual Property, by David Vivas Eugui, Center for International Environmental Law (CIEL), July 2002
- Key issues in bio-economy (UNCTAD/ITE/TEB/10 - E/CN.16/2001/Misc.7), 06/05/02
- Negotiating Health: Intellectual Property and Access to Medicines, edited by Pedro Roffe, Geoff Tansey and David Vivas-Eugui, Earthscan, 2006

## **5. BUSINESS FACILITATION**

### **5.1 Business Linkages**

- Developing Business Linkages for Green Affordable Housing in Zambia (UNCTAD/WEB/DIAE/ED/2015/3), 01/09/15
- Creating Business Linkages: A Policy Perspective (UNCTAD/DIAE/ED/2009/1), 01/03/10
- Integrating Developing Countries' SMEs into Global Value Chains (UNCTAD/DIAE/ED/2009/5), 01/03/2010
- Creating Business Linkages, Spanish Version (UNCTAD/DIAE/ED/2009/2)
- Deepening Development through Business Linkages (UNCTAD/ITE/TEB/2006/7), 01/09/06
- Business Linkages Programme guidelines (UNCTAD/ITE/TEB/2005/11), 01/07/06
- Business Linkages Roster of Good Practices (UNCTAD/WEB/ITE/TEB/2004/2), 10/11/2004

## **6. Enterprise Development**

### **6.1 Entrepreneurship and SMEs development**

- 2020 Empretec Women in Business Awards, (UNCTAD/DIAE/ED/2020/1)
- Policy Guide on Entrepreneurship for Migrants and Refugees, (UNCTAD/DIAE/2018/2), 24/10/18
- 2018 Empretec Women in Business Awards, (UNCTAD/DIAE/ED/2018/1), 15/10/18
- Promoting Entrepreneurship for development: A selection of cases from the Empretec Network (UNCTAD/DIAE/ED/2017/6), 21/12/18
- 2016 Empretec Women in Business Awards, (UNCTAD/DIAE/ED/2016/1)
- Business Schools for Impact: Teaching skills to build base of the pyramid businesses (UNCTAD/DIAE/MISC/2015/4), 04/05/15
- Entrepreneurship Policy Framework and Implementation Guidance (UNCTAD/DIAE/ED/2012/1), 13/09/12
- Empretec Programme: The Entrepreneur's Guide (UNCTAD/DIAE/ED/2009/3), 01/01/10
- Small businesses as a way out of poverty, 31/12/07
- Global players from emerging markets: Strengthening enterprise competitiveness through outward investment (UNCTAD/ITE/TEB/2006/9), 30/09/07



- Improving the competitiveness of SMEs in developing countries: The Role of Finance to enhance Enterprise Development, Enterprise Development Series (UNCTAD/ITE/TEB/Misc.3), 24/01/03
- Entrepreneurship and Economic Development – The Empretec Showcase (UNCTAD/WEB/ITE/TEB/2004/3), 04/01/05
- Modalities for financing SMEs in Uganda, [Proceedings of the Symposium on] (UNCTAD/ITE/TEB/Misc.8), 17/04/03
- Improving the competitiveness of SMEs through enhancing productive capacity (UNCTAD/ITE/TEB/2005/1), 01/07/05
- Growing Micro and Small Enterprises in the LDCs – The “Missing Middle” in LDCs: Why Micro and Small Enterprises are not Growing (UNCTAD/ITE/TEB/5), 2/12/01
- Survey of good practice in public-private sector dialogue (UNCTAD/ITE/TEB/Misc. 4), 2001
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- 2014 Empretec Women in Business Awards, (UNCTAD/DIAE/ED/2014/1)
- A survey on Women’s Entrepreneurship and Innovation (UNCTAD/DIAE/ED/2013/1)
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- [2012 Empretec Women in Business Awards \(UNCTAD/DIAE/ED/2012/8\)](#)
- Empretec entrepreneurship training: a trainer's guide (UNCTAD/DIAE/ED/2013/8)
- Empretec: Exchange of Experiences (UNCTAD/DIAE/ED/2013/2)
- [Integrating developing countries SMEs into Global Value Chain \(UNCTAD/DIAE/ED/2009/5\), 01/03/10](#)
- How to prepare your business plan (UNCTAD/ITE/IIA/5), 14/03/03
- Women entrepreneurs in Africa: Experience from Selected Countries, 2001

## 6.2 Country Case Studies

- Ecuador Empretec; plan de implementacion de la Estrategie AEI, (EPF/NPD01/Ecuador)
- Ecuador, un pais emprendedor e innovador en el 2020: Estrategia para desarrollar un ecosistema de emprendimiento e innovacion, (EPF/NPD02/Ecuador)

## 6.3 EMPRETEC Newsletter

A periodic newsletter for [Empretec](#)

## 6.4 Insurance

- Guide d'assurance de la PME au Maroc (DIAE/ED/IU/MISC.1), 08/08/12
- PME: Où chercher l'argent? Guide pratique sur les sources de financement (DIAE/ED/IU/MICS.2), 08/08/12
- Guide du Capital Investissement au Maroc pour Investisseurs Institutionnels (DIAE/ED/IU/MICS.3), 08/08/12
- Manuel de formation pour intermédiaires d'assurance (DIAE/ED/IU/MICS.4), 08/08/12
- Capital Investissement au Maroc: Guide Pratique pour Entrepreneurs 2012 (DIAE/ED/IU/MICS.5), 08/08/12
- Private Equity Activity, Growth, and Performance in Morocco - Report 2011 (DIAE/ED/IU/MICS.6), 08/08/12

- La conception d'un modèle de gestion du risque sécheresse, cas des céréales au Maroc - Le Standardized Precipitation Index (SPI) comme méthode de base pour l'élaboration de l'assurance indexée (DIAE/ED/IU/MICS.7), 08/08/12
- Compendium of publications 1964-2006 (UNCTAD Insurance Programme), electronic PDF archive on a CD-ROM, 10/06
- Report of the Expert Group Meeting on Prudential Regulation and Supervision of Insurance Markets in Developing Countries and Countries in Transition to Market Economies (UNCTAD/SDD/INS/12), 19/09/95
- Regulation and Supervision of Insurance Operations: Analysis of responses to a questionnaire and possible elements for establishing an effective insurance supervisory authority (UNCTAD/SDD/INS/10), 29/08/95

## 7. ACCOUNTING

### 7.1 International Accounting and Reporting Issues

- 2019 Review (UNCTAD/DIAE/ED/2019/1), 29/10/20
- 2018 Review (UNCTAD/DIAE/ED/2018/3), 26/03/19
- 2017 Review (UNCTAD/DIAE/ED/2017/8), 20/07/18
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- 2015 Review (UNCTAD/DIAE/ED/2015/3), 01/3/16
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- 2011 Review (UNCTAD/DIAE/ED/2012/4), 31/10/12
- 2010 Review (UNCTAD/DIAE/ED/2011/1), 01/01/11
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- 2008 Review (UNCTAD/WEB/DIAE/ED/2009/6), 01/03/10
- 2007 Review (UNCTAD/ITE/TEB/2007/5), 01/11/08
- 2006 Review (UNCTAD/ITE/TEB/2007/2), 01/06/07
- 2005 Review (UNCTAD/ITE/TEB/2005/7), 01/08/06
- 2004 Review (UNCTAD/ITE/TEB/2005/2), 01/07/05
- 2003 Review (UNCTAD/ITE/TEB/2003/9), 01/09/04
- 2002 Review (UNCTAD/ITE/TEB/2003/4), 01/07/04
- 2001 Review (UNCTAD/ITE/TEB/14), 16/09/03

### 7.2 Accounting and Financial Reporting Guidelines and other studies

- [Guidance on Core indicators for entity reporting on the contribution towards the implementation of the Sustainable Development Goals \(UNCTAD/DIAE/2019/1\), 31/05/19](#)
- Monitoring of Compliance and Enforcement for High-quality Reporting: Guidance and Good Practices (UNCTAD/WEB/DIAE/2016/2), 28/09/16
- The Accounting Development Tool: Building Accounting for Development (UNCTAD/DIAE/ED/2013/7), 11/11/15
- Model Accounting Curriculum (Revised) (UNCTAD/DIAE/MISC/2011/1), 06/11/14
- Corporate Governance Disclosure in Emerging Markets: Statistical analysis of legal requirements and company practices (UNCTAD/DIAE/ED/2011/3), 01/12/11
- Corporate Governance in the Wake of the Financial Crisis, (UNCTAD/DIAE/ED/2010/2), 30/09/10

- Investment and Enterprise Responsibility Review - Analysis of Investor and enterprise policies on corporate social responsibility (UNCTAD/DIAE/ED/2010/1), 01/09/10
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- Promoting Transparency in Corporate Reporting: A quarter century of ISAR (UNCTAD/DIAE/ED/2008/3), 30/09/08
- Self-regulation of environmental management, Guidelines set by world industry associations for their members' firms: An update, 1996-2003, 20/10/03
- Accounting and Financial Reporting for Environmental Costs and Liabilities (UNCTAD/ITE/EDS/4), 31/01/99

## **Section 2**

- SMEGA – Accounting and Financial Reporting Guidelines for Small and Medium-sized Enterprises, Level 3 Guidance, 01/06/09
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- Practical implementation of international financial reporting standards: Lessons learned, 01/10/08

## **Section 3**

- Enhancing the Contribution of Export Processing Zones to the Sustainable Development Goals – An analysis of 100 EPZs and a Framework for Sustainable Economic Zones (UNCTAD/DIAE/IPB/2015/5), 14/12/15
- Corporate Social Responsibility in Global Value Chains (UNCTAD/DIAE/ED/2012/3), 17/10/12
- Reporting on the Sustainable Development Goals (UNCTAD/SER.RP/2018/1)
- Accounting and Financial Reporting by SMEs: Trends and Prospects (UNCTAD/DIAE/ED/2013/5)
- [Managing the environment across borders \(UNCTAD/ITE/IPC/Misc.12\)](#), 01/08/02
- Integrating environmental and performance at the enterprise level: A Methodology for Standardizing Eco-efficiency Indicators, 01/09/00

### **7.3 Environmental, Social and Governance Disclosure**

- Guidance on Corporate Responsibility Indicators in Annual Reports, 01/02/08
- Guidance on Good Practices in Corporate Governance Disclosure, 13/06/06
- Disclosure of the impact of corporations on society: Current trends and Issues, 01/09/04
- A manual for the preparers and users of eco-efficiency indicators, 01/02/04
- Selected issues in corporate governance: Regional and Country experiences, 06/10/03
- Accounting and Financial Reporting for Environmental Costs and Liabilities, 31/01/99

### **7.4 ISAR Update (Newsletter)**

A periodic update on ISAR

## **8. TRANSNATIONAL CORPORATIONS JOURNAL**

A peer-reviewed academic journal, Vols. 1 to 27

- [Global Value Chains and Development: Investment and Value Added Trade in the Global Economy \(UNCTAD/DIAE/2013/1\)](#), 27/02/13

## **C. BROCHURES**

- [Investment and Enterprise: A Compact to Deliver the SDGs](#)
- [DIAE – Products and Services](#)

## **D. IMPACT AND RESULTS REPORTS**

- [Results and Impact Report 2019 \(UNCTAD/DIAE/2019/2\)](#)
- [Results and Impact Report 2018 \(UNCTAD/DIAE/2018/1\)](#)
- [Results and Impact Report 2017 \(UNCTAD/DIAE/2017/1\)](#)
- [Results and Impact Report 2016 \(UNCTAD/DIAE/2016/1\)](#)
- [Results and Impact Report 2015 \(UNCTAD/DIAE/2015/1\)](#)
- [Results and Impact Report 2014 \(UNCTAD/DIAE/2014/2\)](#)
- [Results and Impact Report 2013 \(UNCTAD/DIAE/2013/2\)](#)
- [Results and Impact Report 2012 \(UNCTAD/DIAE/2012/2\)](#)